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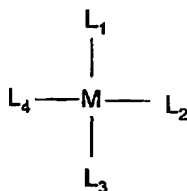
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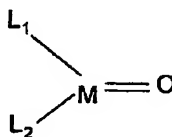
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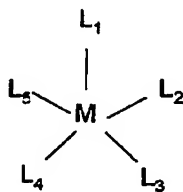
(54) Title: ELECTROLUMINESCENT MATERIALS AND DEVICES



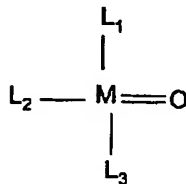
(A)



(B)



(C)



(D)

(57) Abstract: An electroluminescent compound is a organometallic complex in which the metal is titanium, zirconium, hafnium, vanadium, niobium or tantalum.

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## Electroluminescent Materials and Devices

The present invention relates to electroluminescent materials and to electroluminescent devices.

5

Materials which emit light when an electric current is passed through them are well known and used in a wide range of display applications. Liquid crystal devices and devices which are based on inorganic semiconductor systems are widely used; however these suffer from the disadvantages of high energy consumption, high cost  
10 of manufacture, low quantum efficiency and the inability to make flat panel displays.

Organic polymers have been proposed as useful in electroluminescent devices, but it is not possible to obtain pure colours, they are expensive to make and have a relatively low efficiency.

15

Patent application WO98/58037 describes a range of lanthanide complexes which can be used in electroluminescent devices which have improved properties and give better results. Patent Applications PCT/GB98/01773, PCT/GB99/03619, PCT/GB99/04030, PCT/GB99/04024, PCT/GB99/04028, PCT/GB00/00268 describe electroluminescent  
20 complexes, structures and devices using rare earth chelates.

Another compound which has been proposed as an electroluminescent material for use in electroluminescent device is aluminium quinolate.

25 US Patent 3,995,299 (Partridge) discloses an electroluminescent device comprising in sequence, an anode, an organic hole injecting and transporting zone, a luminescent zone, an electron transporting zone and a cathode. The luminescent zone can be an organic polymer such as a polyvinyl carbazole doped with a fluorescent dye such as a perylene, an acridine, etc.

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US Patent 4769292 (Kodak) discloses an electroluminescent device comprising in sequence, an anode, an organic hole injecting and transporting zone, a luminescent zone, and a cathode. The EL device is characterized in that the luminescent zone is formed by a thin film of less than 1  $\mu\text{m}$  in thickness comprised of an organic host material and a fluorescent material capable of emitting light. The luminescent zone exemplified in the specification contains aluminium quinolate, and other metal quinolates with a valency of 1 to 3 are also referred to and claimed.

We have now surprisingly found that the use of organo metal complexes of metals with a valency of greater than 3, e.g. of 4, 5 or higher, give improved performance; preferably the organo metallic complex is a metal quinolate.

According to the invention there is provided electroluminescent compounds of formula  $M(L)_n$  and  $MO(L)_{n-2}$  where M is a metal in a valency state n of greater than 3 and L is an organic ligand, the ligands L can be the same or different, e.g.  $M(L_1)(L_2)(L_3)(L_4)\dots$  or  $MO(L_1)(L_2)\dots$

The invention also provides an electroluminescent device comprising sequentially an anode, a layer of an electroluminescent material comprising a compounds of formula  $M(L)_n$  and  $MO(L)_{n-2}$  where M is a metal in a valency state n of greater than 3 and L is an organic ligand; the ligands L can be the same or different, e.g.  $M(L_1)(L_2)(L_3)(L_4)\dots$  or  $MO(L_1)(L_2)\dots$  and a cathode.

Preferably the metal M is a transition metal such as titanium, zirconium or hafnium in the four valency state or vanadium, niobium or tantalum in the five valency state.

Preferably the electroluminescent compound is doped with a minor amount of a fluorescent material as a dopant, preferably in an amount of 5 to 15% of the doped mixture.

As discussed in US 4769292, the contents of which are included by reference, the presence of the fluorescent material permits a choice from among a wide latitude of wavelengths of light emission.

- 5 By blending with the organo metallic complex, a minor amount of a fluorescent material capable of emitting light in response to hole-electron recombination, the hue light emitted from the luminescent zone can be modified. In theory, if an organo metallic complex and a fluorescent material could be found for blending which have exactly the same affinity for hole-electron recombination, each material should emit  
10 light upon injection of holes and electrons in the luminescent zone. The perceived hue of light emission would be the visual integration of both emissions.

- Since imposing such a balance of the organo metallic complex and fluorescent materials is highly limiting, it is preferred to choose the fluorescent material so that it  
15 provides the favoured sites for light emission. When only a small proportion of fluorescent material providing favoured sites for light emission is present, peak intensity wavelength emissions typical of the organo metallic complex can be entirely eliminated in favour of a new peak intensity wavelength emission attributable to the fluorescent material. While the minimum proportion of fluorescent material sufficient  
20 to achieve this effect varies by the specific choice of organo metallic complex and fluorescent materials, in no instance is it necessary to employ more than about 10 mole percent fluorescent material, based on moles of organo metallic complex and seldom is it necessary to employ more than 1 mole percent of the fluorescent material. On the other hand, for any organo metallic complex capable of emitting  
25 light in the absence of fluorescent material, limiting the fluorescent material present to extremely small amounts, typically less than about  $10^{-3}$  mole percent, based on organo metallic complex, can result in retaining emission at wavelengths characteristic of the organo metallic complex. Thus, by choosing the proportion of a fluorescent material capable of providing favoured sites for light emission, either a  
30 full or partial shifting of emission wavelengths can be realized. This allows the

spectral emissions of the EL devices of this invention to be selected and balanced to suit the application to be served.

5 Choosing fluorescent materials capable of providing favoured sites for light emission, necessarily involves relating the properties of the fluorescent material to those of the organo metallic complex. The organo metallic complex can be viewed as a collector for injected holes and electrons with the fluorescent material providing the molecular sites for light emission. One important relationship for choosing a fluorescent material capable of modifying the hue of light emission when present in a organo  
10 metallic complex is a comparison of the reduction potentials of the two materials. The fluorescent materials demonstrated to shift the wavelength of light emission have exhibited a less negative reduction potential than that of the organo metallic complex. Reduction potentials, measured in electron volts, have been widely reported in the literature along with varied techniques for their measurement. Since it is a  
15 comparison of reduction potentials rather than their absolute values which is desired, it is apparent that any accepted technique for reduction potential measurement can be employed, provided both the fluorescent and organo metallic complex reduction potentials are similarly measured. A preferred oxidation and reduction potential measurement techniques is reported by R. J. Cox, Photographic Sensitivity,  
20 Academic Press, 1973, Chapter 15.

A second important relationship for choosing a fluorescent material capable of modifying the hue of light emission when present in a organo metallic complex is a comparison of the bandgap potentials of the two materials. The fluorescent materials  
25 demonstrated to shift the wavelength of light emission have exhibited a lower bandgap potential than that of the organo metallic complex. The bandgap potential of a molecule is taken as the potential difference in electron volts (eV) separating its ground state and first singlet state. Bandgap potentials and techniques for their measurement have been widely reported in the literature. The bandgap potentials  
30 herein reported are those measured in electron volts (eV) at an absorption wavelength

- 5 -

which is bathochromic to the absorption peak and of a magnitude one tenth that of the magnitude of the absorption peak. Since it is a comparison of bandgap potentials rather than their absolute values which is desired, it is apparent that any accepted technique for bandgap measurement can be employed, provided both the fluorescent and organo metallic complex band gaps are similarly measured. One illustrative measurement technique is disclosed by F. Gutman and L. E. Lyons, Organic Semiconductors, Wiley, 1967, Chapter 5.

Where an organo metallic complex is chosen, which is itself capable of emitting light in the absence of the fluorescent material, it has been observed that suppression of light emission at the wavelengths of emission characteristics of the organo metallic complex alone and enhancement of emission at wavelengths characteristic of the fluorescent material occurs when spectral coupling of the organo metallic complex and fluorescent material is achieved. By spectral coupling it is meant that an overlap exists between the wavelengths of emission characteristic of the organo metallic complex alone and the wavelengths of light absorption of the fluorescent material in the absence of the organo metallic complex. Optimal spectral coupling occurs when the  $m \pm 25\text{nm}$  the maximum absorption of the fluorescent material alone. In practice advantageous spectral coupling can occur with peak emission and absorption wavelengths differing by up to 100 nm or more, depending on the width of the peaks and their hypsochromic and bathochromic slopes. Where less than optimum spectral coupling between the organo metallic complex and fluorescent materials is contemplated, a bathochromic as compared to a hypsochromic displacement of the fluorescent material produces more efficient results.

Although the foregoing discussion has been undertaken by reference to organo metallic complexes which are known to themselves emit light in response to hole and electron injection, in fact light emission by the organo metallic complex itself can entirely cease where light emission by the fluorescent material is favoured by any one or combination of the various relationships noted above. It is appreciated that shifting

- 6 -

the role of light emission to the fluorescent material allows a still broader range of choices of organo metallic complexes. For example, one fundamental requirement of a material chosen to emit light is that it must exhibit a low extinction coefficient for light of the wavelength it emits to avoid internal absorption. The present invention permits use of organo metallic complexes which are capable of sustaining the injection of holes and electrons, but are themselves incapable of efficiently emitting light.

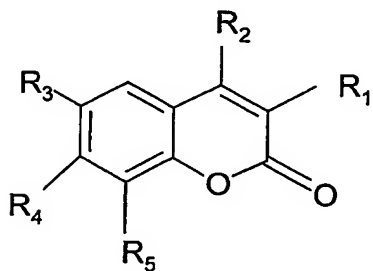
Useful fluorescent materials are those capable of being blended with the organo metallic complex and fabricated into thin films satisfying the thickness ranges described above forming the luminescent zones of the EL devices of this invention. While crystalline organo metallic complexes do not lend themselves to thin film formation, the limited amounts of fluorescent materials present in the organo metallic complex materials permits the use of fluorescent materials which are alone incapable of thin film formation. Preferred fluorescent materials are those which form a common phase with the organo metallic complex material. Fluorescent dyes constitute a preferred class of fluorescent materials, since dyes lend themselves to molecular level distribution in the organo metallic complex. Although any convenient technique for dispersing the fluorescent dyes in the organo metallic complexes can be undertaken, preferred fluorescent dyes are those which can be vacuum vapor deposited along with the organo metallic complex materials. Assuming other criteria, noted above, are satisfied, fluorescent laser dyes are recognized to be particularly useful fluorescent materials for use in the organic EL devices of this invention. Dopants which can be used include diphenylacridine, coumarins, perylene and their derivatives.

Useful fluorescent dopants are disclosed in US 4769292 the contents of which are included by reference.

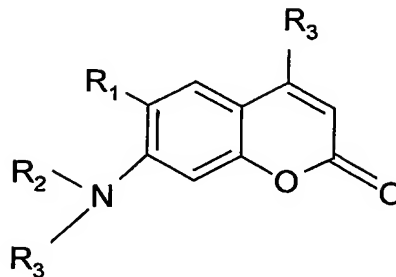


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The preferred dopants are coumarins such as those of formula



(A)



(B)

where  $R_1$  is chosen from the group consisting of hydrogen, carboxy, alkanoyl, alkoxy carbonyl, cyano, aryl, and a heterocyclic aromatic group,  $R_2$  is chosen from the group consisting of hydrogen, alkyl, haloalkyl, carboxy, alkanoyl, and alkoxy carbonyl,  $R_3$  is chosen from the group consisting of hydrogen and alkyl,  $R_4$  is an amino group, and  $R_5$  is hydrogen, or  $R_1$  or  $R_2$  together form a fused carbocyclic ring, and/or the amino group forming  $R^4$  completes with at least one of  $R^4$  and  $R^6$  a fused ring.

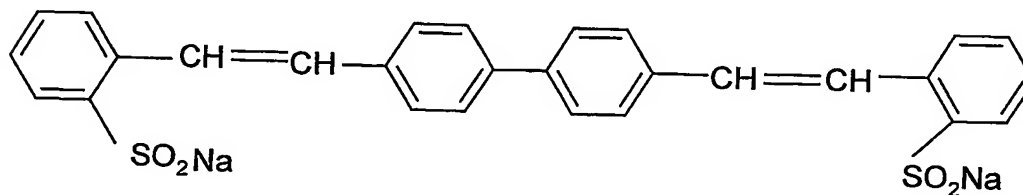
The alkyl moieties in each instance contain from 1 to 5 carbon atoms, preferably 1 to 3 carbon atoms. The aryl moieties are preferably phenyl groups. The fused carbocyclic rings are preferably five, six or seven membered rings. The heterocyclic aromatic groups contain 5 or 6 membered heterocyclic rings containing carbon atoms and one or two heteroatoms chosen from the group consisting of oxygen, sulfur, and nitrogen. The amino group can be a primary, secondary, or tertiary amino group. When the amino nitrogen completes a fused ring with an adjacent substituent, the ring is preferably a five or six membered ring. For example,  $R^4$  can take the form of a pyran ring when the nitrogen atom forms a single ring with one adjacent substituent ( $R^3$  or  $R^5$ ) or a julolidine ring (including the fused benzo ring of the coumarin) when the nitrogen atom forms rings with both adjacent substituents  $R_3$  and  $R_5$ .

The following are illustrative fluorescent coumarin dyes known to be useful as laser dyes: FD-1 7-Diethylamino-4-methylcoumarin, FD-2 4,6-Dimethyl-7-

- ethylaminocoumarin, FD-3 4-Methylumbelliferone, FD-4 3-(2'-Benzothiazolyl)-7-diethylaminocoumarin, FD-5 3-(2'-Benzimidazolyl)-7-N,N-diethylaminocoumarin, FD-6 7-Amino-3-phenylcoumarin, FD-7 3-(2'-N-Methylbenzimidazolyl)-7-N,N-diethylaminocoumarin, FD-8 7-Diethylamino-4-trifluoromethylcoumarin, FD-9
- 5 2,3,5,6-1H,4H-Tetrahydro-8-methylquinolazino[9,9a,1-gh]coumarin, FD-10 Cyclopenta[c]julolindino[9,10-3]-11H-pyran-11-one, FD-11 7-Amino-4-methylcoumarin, FD-12 7-Dimethylaminocyclopenta[c]coumarin, FD-13 7-Amino-4-trifluoromethylcoumarin, FD-14 7-Dimethylamino-4-trifluoromethylcoumarin, FD-15
- 10 1,2,4,5,3H,6H,10H-Tetrahydro-8-trifluoromethyl[1]benzopyrano[9,9a,1-gh]quinolizino-10-one, FD-16 4-Methyl-7-(sulfomethylamino)coumarin sodium salt, FD-17 7-Ethylamino-6-methyl-4-trifluoromethylcoumarin, FD-18 7-Dimethylamino-4-methylcoumarin, FD-19
- 15 1,2,4,5,3H,6H,10H-Tetrahydro-carbethoxy[1]benzopyrano[9,9a,1-gh]quinolizino-10-one, FD-20 9-Acetyl-1,2,4,5,3H,6H,10H-tetrahydro[1]benzopyrano[9,9a,1-gh]quinolizino-10-one, FD-21
- 20 9-Cyano-1,2,4,5,3H,6H,10H-tetrahydro[1]benzopyrano[9,9a,1-gh]quinolizino-10-one, FD-22 9-(t-Butoxycarbonyl)-1,2,4,5,3H,6H,10H-tetrahydro[1]benzopyrano[9,9a,1-gh]quinolizino-10-one, FD-23 4-Methylpiperidino[3,2-g]coumarin, FD-24 4-Trifluoromethylpiperidino[3,2-g]coumarin, FD-25 9-Carboxy-1,2,4,5,3H,6H,10H-tetrahydro[1]benzopyrano[9,9a,1-gh]quinolizino-10-one, FD-26 N-Ethyl-4-trifluoromethylpiperidino[3,2-g].

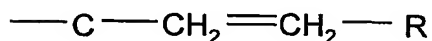
Other examples of coumarins are given in fig. 9 of the drawings.

Other dopants include salts of bis benzene sulphonic acid such as



(C)

and perylene and perylene derivatives and dopants of the formulae of figs. 11 to 13 of the drawings where  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  are R,  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  can be the same or different and are selected from hydrogen, hydrocarbyl groups, substituted and unsubstituted aromatic, heterocyclic and polycyclic ring structures, fluorocarbons such as trifluoromethyl groups, halogens such as fluorine or thiophenyl groups; R,  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  can also form substituted and unsubstituted fused aromatic, heterocyclic and polycyclic ring structures and can be copolymerisable with a monomer e.g. styrene. R,  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  can also be unsaturated alkylene groups such as vinyl groups or groups



where R is as above.

Other dopants are dyes such as the fluorescent 4-dicyanomethylene-4H-pyrans and 4-dicyanomethylene-4H-thiopyrans, e.g. the fluorescent dicyanomethylenepyran and thiopyran dyes.

Useful fluorescent dyes can also be selected from among known polymethine dyes, which include the cyanines, merocyanines, complex cyanines and merocyanines (i.e. tri-, tetra- and poly-nuclear cyanines and merocyanines), oxonols, hemioxonols, styryls, merostyryls, and streptocyanines.

The cyanine dyes include, joined by a methine linkage, two basic heterocyclic nuclei, such as azolium or azinium nuclei, for example, those derived from pyridinium, quinolinium, isoquinolinium, oxazolium, thiazolium, selenazolium, indazolium, pyrazolium, pyrrolium, indolium, 3H-indolium, imidazolium, oxadiazolium, thiadioxazolium, benzoxazolium, benzothiazolium, benzoselenazolium, benzotellurazolium, benzimidazolium, 3H- or 1H-benzoindolium, naphthoxazolium, naphthothiazolium, naphthoselenazolium, naphthotellurazolium, carbazolium,

- 10 -

pyrrolopyridinium, phenanthrothiazolium, and acenaphthothiazolium quaternary salts.

Other useful classes of fluorescent dyes are 4-oxo-4H-benz-[d,e]anthracenes and pyrylium, thiapyrylium, selenapyrylium, and telluropirylium dyes.

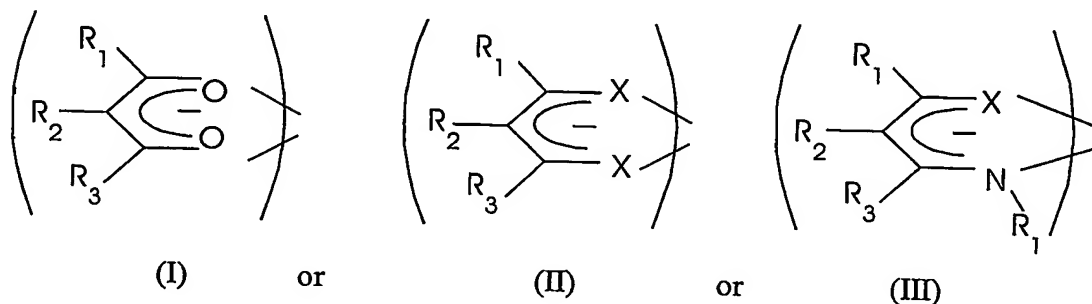
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The formulae of examples of the organo metallic complexes are shown in fig. 1a and fig. 1b of the accompanying drawings where M is titanium, zirconium or hafnium in the four valency state and (L<sub>1</sub>), (L<sub>2</sub>), (L<sub>3</sub>) and (L<sub>4</sub>) can be the same or different and can form fused cyclic, heterocyclic, aromatic or substituted aromatic rings or fig 1c and 1d where M is vanadium, niobium or tantalum in the five valency state and (L<sub>1</sub>), (L<sub>2</sub>), (L<sub>3</sub>) (L<sub>4</sub>) and (L<sub>5</sub>) can be the same or different and can form fused cyclic, heterocyclic, aromatic or substituted aromatic rings.

10

Other preferred ligands L are quinolate, porphoryin, porphines, pyrazalones, such as 5,10,15,20-tetra(4-pyridyl) 21H,23H-porphine 5,10,15,20-tetra(p-tolyl) 21H,23H-porphine and meso- tetraphenyl porphine and β diketones such as dibenzoyl methane and ligands of formula

15



20

where R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> can be the same or different and are selected from hydrogen, and substituted and unsubstituted hydrocarbyl groups such as substituted and unsubstituted aliphatic groups, substituted and unsubstituted aromatic, heterocyclic and polycyclic ring structures, fluorocarbons such as trifluoromethyl groups, halogens such as fluorine or thiophenyl groups; R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> can also form

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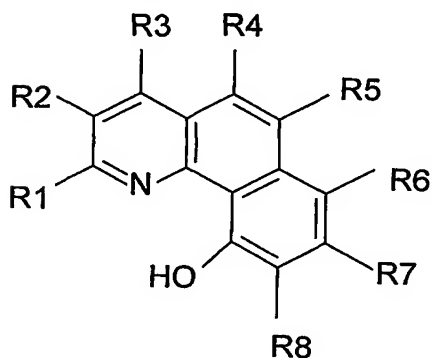
- 11 -

substituted and unsubstituted fused aromatic, heterocyclic and polycyclic ring structures and can be copolymerisable with a monomer, e.g. styrene. X is Se, S or O, Y can be hydrogen, substituted or unsubstituted hydrocarbyl groups, such as substituted and unsubstituted aromatic, heterocyclic and polycyclic ring structures, fluorine, fluorocarbons such as trifluoromethyl groups, halogens such as fluorine or thiophenyl groups or nitrile.

Examples of  $R_1$  and/or  $R_2$  and/or  $R_3$  include aliphatic, aromatic and heterocyclic alkoxy, aryloxy and carboxy groups, substituted and substituted phenyl, fluorophenyl, biphenyl, phenanthrene, anthracene, naphthyl and fluorene groups alkyl groups such as t-butyl, heterocyclic groups such as carbazole.

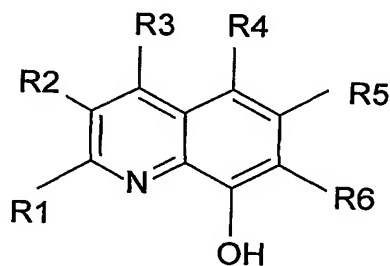
In dibenzoyl methane in (I)  $R_1$  and  $R_3$  are phenyl groups and  $R_2$  is hydrogen.

Other ligands L can be



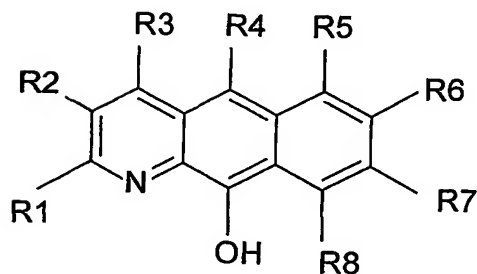
(IIIa)

or



(IIIb)

or

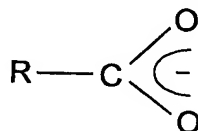


(IIIc)

- 12 -

where the groups R can be the same or different and are selected from hydrogen, and substituted and unsubstituted hydrocarbyl groups such as substituted and unsubstituted aliphatic groups, substituted and unsubstituted aromatic, heterocyclic and polycyclic ring structures, fluorocarbons such as trifluoromethyl groups, halogens such as fluorine or thiophenyl groups; R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> can also form substituted and unsubstituted fused aromatic, heterocyclic and polycyclic ring structures and can be copolymerisable with a monomer, e.g. styrene.

Some of the different groups L may also be the same or different charged groups such as carboxylate groups so that the group L<sub>1</sub> can be as defined above and the groups L<sub>2</sub>, L<sub>3</sub>... can be charged groups such as



(IV)

where R is R<sub>1</sub> as defined above or the groups L<sub>1</sub>, L<sub>2</sub> can be as defined above and L<sub>3</sub>... etc. are other charged groups.

R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> can also be



(V)

where X is O, S, Se or NH.

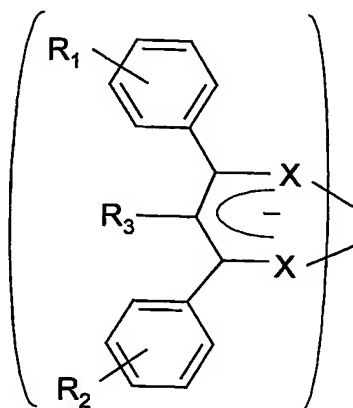
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A preferred moiety R<sub>1</sub> is trifluoromethyl CF<sub>3</sub> and examples of such diketones are, benzoyltrifluoroacetone, p-chlorobenzoyltrifluoroacetone, p-bromotrifluoroacetone, p-phenyltrifluoroacetone, 1-naphthoyltrifluoroacetone, 2-naphthoyltrifluoroacetone, 2-phenanthroyltrifluoroacetone, 3-phenanthroyltrifluoroacetone, 9-anthroyltrifluoroacetone, 2-anthroyltrifluoroacetone, cinnamoyltrifluoroacetone, and 2-thenoyltrifluoroacetone.

25

- 13 -

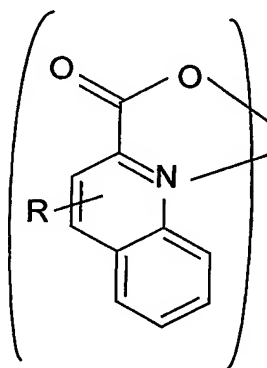
The different groups L may be the same or different ligands of formulae



(VI)

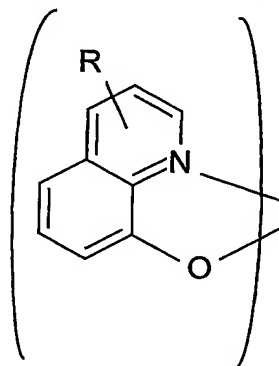
- 5 where X is O, S, or Se and R<sub>1</sub> R<sub>2</sub> and R<sub>3</sub> are as above.

The different groups L may be the same or different quinolate derivatives such as



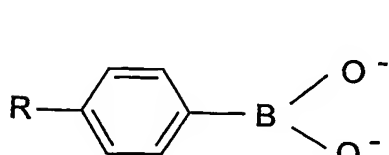
(VII)

or

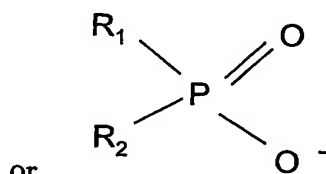


(VIII)

- 10 where R is hydrocarbyl, aliphatic, aromatic or heterocyclic carboxy, aryloxy, hydroxy or alkoxy, e.g. the 8 hydroxy quinolate derivatives or



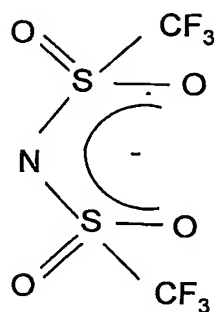
(IX)



(X)

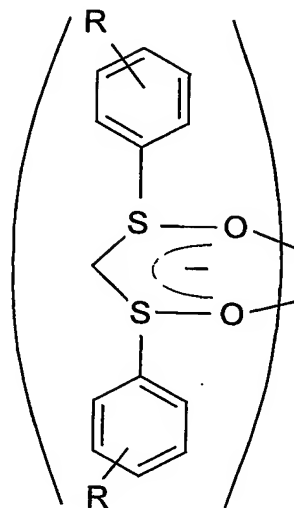
- 14 -

where R, R<sub>1</sub>, and R<sub>2</sub> are as above or are H or F e.g. R<sub>1</sub> and R<sub>2</sub> are alkyl or alkoxy groups



(XI)

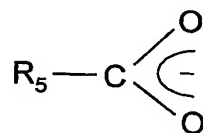
or



(XII)

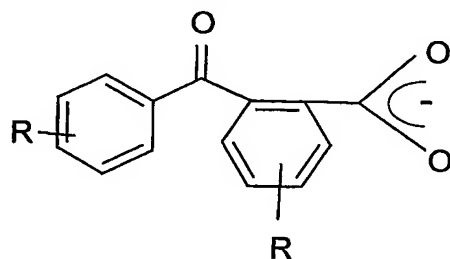
5

As stated above the different groups L may also be the same or different carboxylate groups e.g.



(XIII)

- 10 where R<sub>5</sub> is a substituted or unsubstituted aromatic, polycyclic or heterocyclic ring a polypyridyl group, R<sub>5</sub> can also be a 2-ethyl hexyl group so L<sub>n</sub> is 2-ethylhexanoate or R<sub>5</sub> can be a chair structure so that L<sub>n</sub> is 2-acetyl cyclohexanoate or L<sub>a</sub> can be

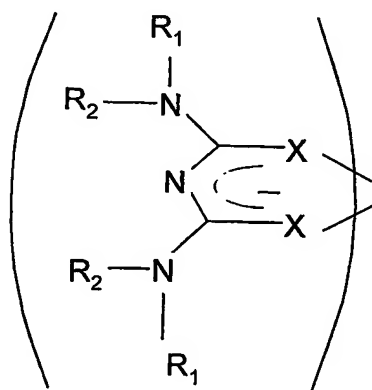


(XIV)



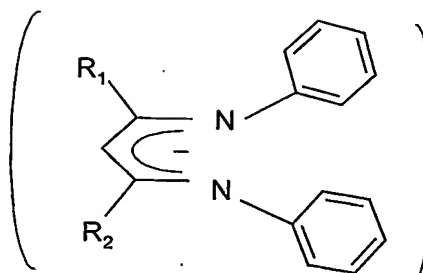
where R is as above e.g. alkyl, allenyl, amino or a fused ring such as a cyclic or polycyclic ring.

5 The different groups  $L_i$  may also be



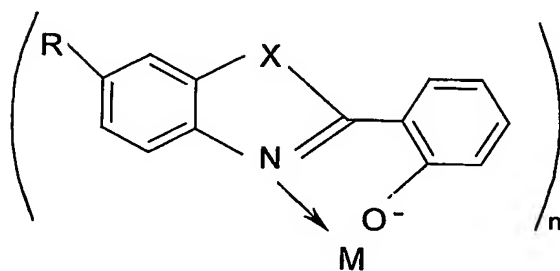
(XV)

or



(XVI)

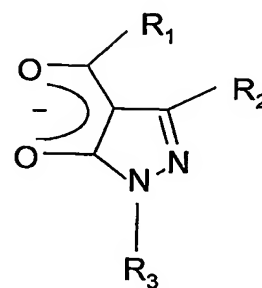
or



where X is O, S or Se

(XVII)

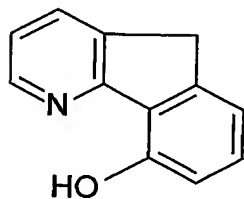
or



(XVIIa)

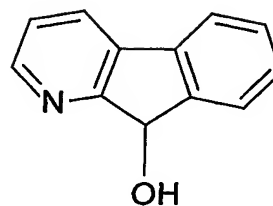
10

where R,  $R_1$  and  $R_2$  are as above.



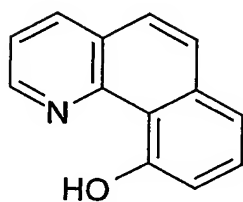
(XVIIb)

or



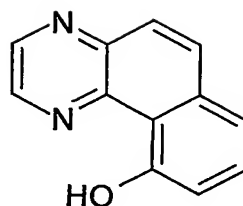
(XVIIc)

- 16 -



(XVIIIa)

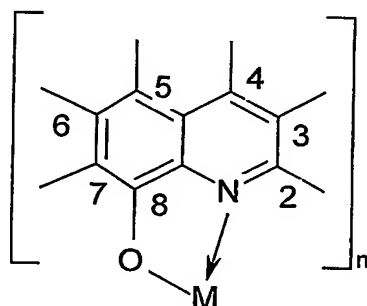
or



(XVIIIb)

- 5 A preferred ligand is quinolate and preferred electroluminescent compounds are the metal salts of substituted or unsubstituted 8-hydroxy quinoline which are referred to herein as metal quinolates. Thus the preferred electroluminescent compounds are titanium, zirconium, hafnium, vanadium, niobium and tantalum quinolates.

- 10 These have the general formula



where m is the metal, n is the valency state of the metal and the where the substituents are the same or different in the 2, 3, 4, 5, 6 and 7 positions and are preferably selected from alky, alkoxy, aryl, aryloxy, sulphonic acids, esters, carboxylic acids, amino and amido groups or are aromatic, polycyclic or heterocyclic groups. The preferred quinolates are the 2-methyl and the 5- methyl quinolates.

Metal quinolates can be synthesised by the reaction of a metal compound such as a salt, ethoxide or alkyl 8-hydroxyquinoline in accordance with well known methods.

- 17 -

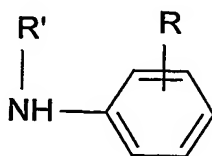
For electroluminescent materials the reaction preferably takes place in a nitrile solvent such as acetonitrile, phenyl nitrile, tolyl nitrile, etc.

5 The invention also provides an electroluminescent device which comprises (i) a first electrode, (ii) a layer of a electroluminescent compound as described above and (iii) a second electrode.

10 The first electrode can function as the anode and the second electrode can function as the cathode and preferably there is a layer of a hole transporting material between the anode and the layer of the electroluminescent compound.

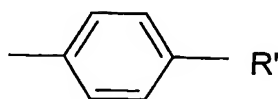
The hole transporting material can be any of the hole transporting materials used in electroluminescent devices.

15 The hole transporting material can be an amine complex such as poly (vinylcarbazole), N, N'-diphenyl-N, N'-bis (3-methylphenyl) -1,1' -biphenyl -4,4'-diamine (TPD), an unsubstituted or substituted polymer of an amino substituted aromatic compound, a polyaniline, substituted polyanilines, polythiophenes, substituted polythiophenes, polysilanes etc. Examples of polyanilines are polymers  
20 of



(XIX)

where R is in the ortho – or meta-position and is hydrogen, C1-18 alkyl, C1-6 alkoxy, amino, chloro, bromo, hydroxy or the group

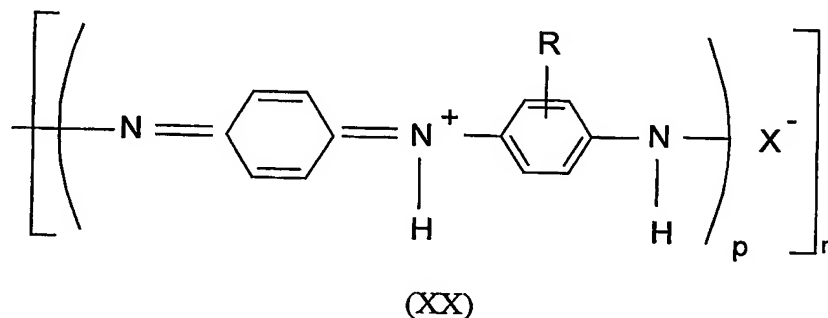


25

- 18 -

where R is alkyl or aryl and R' is hydrogen, C1-6 alkyl or aryl with at least one other monomer of formula I above.

Or the hole transporting material can be a polyaniline; polyanilines which can be used in the present invention have the general formula



where p is from 1 to 10 and n is from 1 to 20, R is as defined above and X is an anion, preferably selected from Cl, Br, SO<sub>4</sub>, BF<sub>4</sub>, PF<sub>6</sub>, H<sub>2</sub>PO<sub>3</sub>, H<sub>2</sub>PO<sub>4</sub>, arylsulphonate, arenedicarboxylate, polystyrenesulphonate, polyacrylate alkylsulphonate, vinylsulphonate, vinylbenzene sulphonate, cellulose sulphonate, camphor sulphonates, cellulose sulphate or a perfluorinated polyanion.

Examples of arylsulphonates are p-toluenesulphonate, benzenesulphonate, 9,10-anthraquinone-sulphonate and anthracenesulphonate; an example of an arenedicarboxylate is phthalate and an example of arenecarboxylate is benzoate.

We have found that protonated polymers of the unsubstituted or substituted polymer of an amino substituted aromatic compound such as a polyaniline are difficult to evaporate or cannot be evaporated, however we have surprisingly found that if the unsubstituted or substituted polymer of an amino substituted aromatic compound is deprotonated, then it can be easily evaporated, i.e. the polymer is evaporable.

Preferably evaporable deprotonated polymers of unsubstituted or substituted polymer of an amino substituted aromatic compound are used. The de-protonated

- 19 -

unsubstituted or substituted polymer of an amino substituted aromatic compound can be formed by deprotonating the polymer by treatment with an alkali such as ammonium hydroxide or an alkali metal hydroxide such as sodium hydroxide or potassium hydroxide.

5

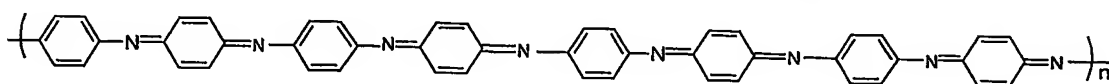
The degree of protonation can be controlled by forming a protonated polyaniline and de-protonating. Methods of preparing polyanilines are described in the article by A. G. MacDiarmid and A. F. Epstein, Faraday Discussions, Chem Soc.88 P319 1989.

- 10 The conductivity of the polyaniline is dependant on the degree of protonation with the maximum conductivity being when the degree of protonation is between 40 and 60%, for example, about 50%.

Preferably the polymer is substantially fully deprotonated.

15

A polyaniline can be formed of octamer units. i.e. p is four, e.g.



- 20 The polyanilines can have conductivities of the order of  $1 \times 10^{-1}$  Siemen  $\text{cm}^{-1}$  or higher.

The aromatic rings can be unsubstituted or substituted, e.g. by a C1 to 20 alkyl group such as ethyl.

- 25 The polyaniline can be a copolymer of aniline and preferred copolymers are the copolymers of aniline with o-anisidine, m-sulphanilic acid or o-aminophenol, or o-toluidine with o-aminophenol, o-ethylaniline, o-phenylene diamine or with amino anthracenes.

- 20 -

Other polymers of an amino substituted aromatic compound which can be used include substituted or unsubstituted polyaminonaphthalenes, polyaminoanthracenes, polyaminophenanthrenes, etc. and polymers of any other condensed polyaromatic compound. Polyaminoanthracenes and methods of making them are disclosed in US  
5 Patent 6,153,726. The aromatic rings can be unsubstituted or substituted, e.g. by a group R as defined above.

Other hole transporting materials are conjugated polymer and the conjugated polymers which can be used can be any of the conjugated polymers disclosed or  
10 referred to in US 5807627, PCT/WO90/13148 and PCT/WO92/03490.

The preferred conjugated polymers are poly (p-phenylenevinylene)-PPV and copolymers including PPV. Other preferred polymers are poly(2,5 dialkoxyphenylene vinylene) such as poly (2-methoxy-5-(2-methoxypentyloxy-1,4-phenylene vinylene),  
15 poly(2-methoxypentyloxy)-1,4-phenylenevinylene), poly(2-methoxy-5-(2-dodecyloxy-1,4-phenylenevinylene) and other poly(2,5 dialkoxyphenylenevinylenes) with at least one of the alkoxy groups being a long chain solubilising alkoxy group, poly fluorenes and oligofluorenes, polyphenylenes and oligophenylenes, polyanthracenes and oligo anthracenes, ploythiophenes and oligothiophenes.

20

In PPV the phenylene ring may optionally carry one or more substituents, e.g. each independently selected from alkyl, preferably methyl, alkoxy, preferably methoxy or ethoxy.

25 Any poly(arylenevinylene) including substituted derivatives thereof can be used and the phenylene ring in poly(p-phenylenevinylene) may be replaced by a fused ring system such as anthracene or naphthylene ring and the number of vinylene groups in each polyphenylenevinylene moiety can be increased, e.g. up to 7 or higher.

- 21 -

The conjugated polymers can be made by the methods disclosed in US 5807627, PCT/WO90/13148 and PCT/WO92/03490.

The thickness of the hole transporting layer is preferably 20nm to 200nm.

5

The polymers of an amino substituted aromatic compound such as polyanilines referred to above can also be used as buffer layers with or in conjunction with other hole transporting materials.

- 10 The structural formulae of some other hole transporting materials are shown in Figures 4, 5, 6, 7 and 8 of the drawings, where  $R_1$ ,  $R_2$  and  $R_3$  can be the same or different and are selected from hydrogen, and substituted and unsubstituted hydrocarbyl groups such as substituted and unsubstituted aliphatic groups, substituted and unsubstituted aromatic, heterocyclic and polycyclic ring structures, fluorocarbons  
15 such as trifluoromethyl groups, halogens such as fluorine or thiophenyl groups;  $R_1$ ,  $R_2$  and  $R_3$  can also form substituted and unsubstituted fused aromatic, heterocyclic and polycyclic ring structures and can be copolymerisable with a monomer, e.g. styrene. X is Se, S or O, Y can be hydrogen, substituted or unsubstituted hydrocarbyl groups, such as substituted and unsubstituted aromatic, heterocyclic and polycyclic  
20 ring structures, fluorine, fluorocarbons such as trifluoromethyl groups, halogens such as fluorine or thiophenyl groups or nitrile.

- Examples of  $R_1$  and/or  $R_2$  and/or  $R_3$  include aliphatic, aromatic and heterocyclic alkoxy, aryloxy and carboxy groups, substituted and unsubstituted phenyl, fluorophenyl,  
25 biphenyl, phenanthrene, anthracene, naphthyl and fluorene groups alkyl groups such as t-butyl, heterocyclic groups such as carbazole.

- Optionally there is a layer of an electron injecting material between the anode and the electroluminescent material layer. The electron injecting material is a material which  
30 will transport electrons when an electric current is passed through electron injecting

- 22 -

5 materials include a metal complex such as a metal quinolate, e.g. an aluminium quinolate, lithium quinolate, zirconium quinolate, a cyano anthracene such as 9,10 dicyano anthracene, cyano substituted aromatic compounds, tetracyanoquinodimethane a polystyrene sulphonate or a compound with the structural formulae shown in figures 2 or 3 of the drawings in which the phenyl rings can be substituted with substituents R as defined above.

10 When the electroluminescent layer in an electroluminescent device comprises a doped zirconium quinolate, then a preferred electron injecting material is zirconium quinolate.

15 The first electrode is preferably a transparent substrate such as a conductive glass or plastic material which acts as the anode; preferred substrates are conductive glasses such as indium tin oxide coated glass, but any glass which is conductive or has a conductive layer such as a metal or conductive polymer can be used. Conductive polymers and conductive polymer coated glass or plastics materials can also be used as the substrate.

20 The cathode is preferably a low work function metal, e.g. aluminium, calcium, lithium, silver/magnesium alloys, rare earth metal alloys etc; aluminium is a preferred metal. A metal fluoride such as an alkali metal, rare earth metal or their alloys can be used as the second electrode, for example by having a metal fluoride layer formed on a metal.

25 The improved performance of the organo metallic quinolates where the metal has a valency greater than 3 compared with the state of the art, aluminium quinolate is particularly shown in the efficiency of the electroluminescent compound although there is an improvement in a range of properties, e.g. lifetime, stability etc.



The invention is further described with reference to the Examples.

#### Example 1

5 A pre-etched ITO coated glass piece ( $10 \times 10\text{cm}^2$ ) was used. The device was fabricated by sequentially forming on the ITO, by vacuum evaporation using a Solciet Machine, ULVAC Ltd. Chigacki, Japan the active area of each pixel was 3mm by 3mm, the layers comprised:-

10 ITO( $100\Omega/\text{sqr. m}$ ) /CuPc (15nm) /  $\alpha$ -NPB (75 nm)/Zr<sub>q4</sub>:DPQA (75:0.75nm) /LiF (0.4 nm)/Al.

Where ITO is indium tin oxide coated glass Cu Pc is copper phthalocyanine,  $\alpha$ -NPB is as defined in the specification, Zr<sub>q4</sub> is zirconium quinolate and DPQA is diphenylquinacridine.

15

The Zr<sub>q4</sub> is zirconium quinolate and the Zr<sub>q4</sub>:DPQA layer was formed by concurrent vacuum deposition to form a zirconium quinolate layer doped with DPQA. The weight ratio of the Zr<sub>q4</sub> and DPQA is conveniently shown by a relative thickness measurement.

20

The coated electrodes were stored in a vacuum desiccator over a molecular sieve and phosphorous pentoxide until they were loaded into a vacuum coater (Edwards,  $10^{-6}$  torr) and aluminium top contacts made. The devices were then kept in a vacuum desiccator until the electroluminescence studies were performed.

25

The ITO electrode was always connected to the positive terminal. The current vs. voltage studies were carried out on a computer controlled Keithly 2400 source meter.

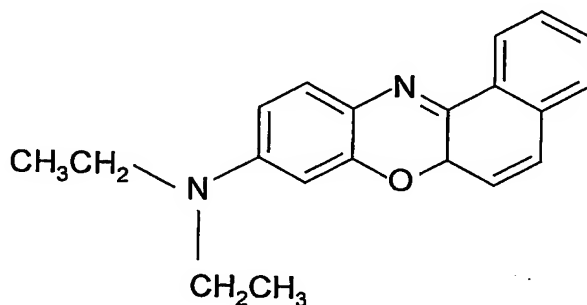
- 24 -

An electric current was applied across the device and the performance shown in fig. 14; the electroluminescence spectrum is shown in fig. 15.

The device had the structure of fig. 16 and the colours are as in the CIE colour chart of fig. 17.

#### Example 2

A device was fabricated according to the method of Example 1 with the structure ITO /CuPc (25nm) /  $\alpha$ -NPB (75 nm)/Zr<sub>q</sub>4:Nilered (75:0.38nm) /LiF (0.4 nm)/Al, where nilered is



An electric current was applied across the device and the performance shown in fig. 18; the electroluminescence spectrum is shown in fig. 19.

#### Example 3

A device was fabricated according to the method of Example 1 with the structure ITO(100 $\Omega$ / sqr. m ) /CuPc (25nm) /  $\alpha$ -NPB (75 nm)/Zr<sub>q</sub>4:DPQA (60:0.4nm) /Zr<sub>q</sub>4(10nm)/LiF (0.4 nm)/Al.

An electric current was applied across the device and the performance shown in fig. 20; the electroluminescence spectrum is shown in fig. 21.

- 25 -

## Example 4

A device was fabricated according to the method of Example 1 with the structure ITO(100Ω/ sq. m ) /CuPc:TPTP (15:15nm) / α-NPB (75 nm)/Zr<sub>q</sub><sub>4</sub>:DPQA (60:0.5nm) /LiF (0.2 nm)/Al.

5

An electric current was applied across the device and the performance shown in fig. 22; the electroluminescence spectrum is shown in fig. 23.

## Example 5

10

A device was fabricated according to the method of Example 1 with the structure ITO(100Ω/ sq. m ) /CuPc (25nm) / α-NPB (75 nm)/Zr<sub>q</sub><sub>4</sub>:DPQA (60:0.4nm) / Zr<sub>q</sub><sub>4</sub>/LiF (0.4 nm)/Al.

15

An electric current was applied across the device and the performance shown in fig. 24; the electroluminescence spectrum is shown in fig. 25.

## Example 6

20

A device was fabricated according to the method of Example 1 with the structure ITO(100Ω/ sq. m ) /CuPc (25nm) / α-NPB (60 nm)/Zr<sub>q</sub><sub>4</sub>:DCJT (35:0.5nm) / Zr<sub>q</sub><sub>4</sub>(35nm)/LiF (0.5 nm)/Al.

Where DCJT is 4-(Dicyanomethylene)-2-methyl-6-(julolidin-4-yl-vinyl)-4H-pyran.

25

An electric current was applied across the device and the performance shown in figs. 26 to 28.

## Example 7

A device was fabricated according to the method of Example 1 with the structure

- 26 -

ITO(100Ω/ sq. m ) /CuPc (50nm) / α-NPB (75 nm)/Zrq<sub>4</sub>:DCM (60:0.5nm) /  
Zrq<sub>4</sub>(10nm/KF (0.4 nm)/Al.

Where KF is potassium fluoride and where DCM is 4-(Dicyanomethylene)-2-methyl-  
6-(p-dimethylaminostyryl)-4H-pyran.

5

An electric current was applied across the device and the performance shown in figs.  
29 to 31.

#### Example 8

10 A device was fabricated according to the method of Example 1 with the structure  
ITO(100Ω/ sq. m ) /CuPc (50nm) / α-NPB (75 nm)/Zrq<sub>4</sub>:DPQA (60:0.5nm) /  
Zrq<sub>4</sub>(35nm/LiF (0.5 nm)/Al.

An electric current was applied across the device and the performance shown in figs.

15 32-34.

#### Example 9

A device was fabricated according to the method of Example 1 with the structure  
ITO(100Ω/ sq. m ) /CuPc (25nm) / α-NPB (40 nm)/Zrq<sub>4</sub>:DPQA (30:0.2nm) /  
20 Zrq<sub>4</sub>(10nm/LiF (0.5 nm)/Al.

An electric current was applied across the device and the performance shown in figs.  
35-36.

25 In fig. 48 are shown the colours of some of the examples on the CIE chart.

#### Example 10

Comparison of Zirconium and aluminium quinolate (Zrq<sub>4</sub> with Alq<sub>3</sub>).

- 27 -

The comparison of zirconium and aluminium quinolates as electroluminescent materials was made under identical conditions.

The devices were fabricated by the same method according to Example 1.

5

The results are shown graphically in figs 37 to 47 with the electroluminescent devices as in the figures.

The comparison is shown in Table 1

10

Table 1

Performance	Alq <sub>3</sub>	Zrq <sub>4</sub>
Efficiency / cd A <sup>-1</sup> @ 300 cd m <sup>-2</sup>	5	7
Luminance / cd m <sup>-2</sup> @ 20 mA cm <sup>-2</sup>	1100	1500
Peak Luminance / cd m <sup>-2</sup>	2.5 x 10 <sup>4</sup>	2.8 x 10 <sup>4</sup>
Turn on Voltage / V	7	6

15

As can be seen the Zrq<sub>4</sub> had an increase in efficiency of 40% compared with Alq<sub>3</sub> at 300 cd m<sup>-2</sup> and a luminance 36% greater at 20 mA cm<sup>-2</sup>.

20

Referring to figs. 37 to 47, there is a marked increase in efficiency and improved luminance and lower current density with no reduction or with an improvement in other properties. The colour emitted is improved compared with aluminium quinolate as is shown by the colour coordinates and illustrated in fig. 49 which shows a CIE colour chart with the colour of emissions of devices A and B where devices A and B had the structure of figs. 37-42.

25

## Claims

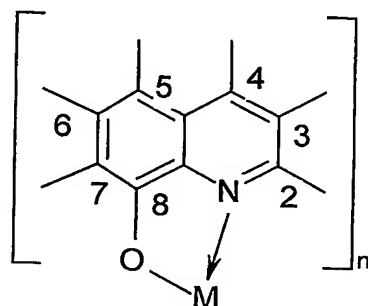
1. An electroluminescent compound of formula  $M(L)_n$  or  $MO(L)_{n-2}$  where M is a metal in a valency state n of greater than 3 and L is an organic ligand; the ligands L can be the same or different.
2. An electroluminescent compound as claimed in claim 1 of formula  $M^{(iv)}(L_1)(L_2)(L_3)(L_4)$ ,  $M^{(iv)}O(L_1)(L_2)$ ,  $M^{(v)}(L_1)(L_2)(L_3)(L_4)(L_5)$ ,  $M^{(v)}O(L_1)(L_2)(L_3)$ .
3. An electroluminescent compound as claimed in claim 1 or 2 in which the metal M is titanium, zirconium or hafnium in the four valency state or vanadium, niobium or tantalum in the five valency state.
4. An electroluminescent compound as claimed in claim 1 of formula of figs. 1a to 1d.
5. An electroluminescent compound as claimed in claim 1 of formula  $M(L_1)(L_2)(L_3)(L_4)$  or  $MO(L_1)(L_2)$  where the groups  $(L_1)$ ,  $(L_2)$ ,  $(L_3)$  and  $(L_4)$  are the same or different where M is zirconium, hafnium or titanium in the four valency state.
6. An electroluminescent compound as claimed in claim 1 of formula  $M(L_1)(L_2)(L_3)(L_4)(L_5)$  or  $MO(L_1)(L_2)(L_3)$  where the groups  $(L_1)$ ,  $(L_2)$ ,  $(L_3)$  and  $(L_4)$   $(L_5)$  are the same or different where M is vanadium, niobium or tantalum in the five valency state.
7. An electroluminescent compound as claimed in any one of the preceding claims in which the ligands L are selected from ligands (I) to (XVIII) herein.

- 29 -

8. An electroluminescent compound as claimed in any one of the preceding claims in which the ligands L are selected from quinolates, porphoryins, pyrazalones, and  $\beta$ -diketones.

5 9. An electroluminescent compound as claimed in any one of the preceding claims in which the ligands L is dibenzoyl methane.

10. An electroluminescent compound of formula



10 where M is the metal selected from titanium, zirconium or hafnium in the four valency state or vanadium, niobium or tantalum in the five valency state, n is the valency state of the M and where the substituents are the same or different in the 2, 3, 4, 5, 6 and 7 positions.

15 11. An electroluminescent compound as claimed in claim 11 in which the substituents are selected from alky, alkoxy, aryl, aryloxy, sulphonic acids, esters, carboxylic acids, amino and amido groups or are aromatic, polycyclic or heterocyclic groups.

20 12. An electroluminescent compound as claimed in claim 10 in which the complex is a 2-methyl or 5-methyl metal quinolate.

13. An electroluminescent composition which comprises an electroluminescent compound as claimed in any one of claims 1 to 12 and a dopant comprising a fluorescent material.

- 30 -

14. An electroluminescent composition as claimed in claim 13 in which the dopant is selected from diphenylacridine, coumarins, perylene, quinolates, porphoryin, porphines, pyrazalones and their derivatives.

5 15. An electroluminescent composition as claimed in claim 13 or 14 in which there is up to 10 mole percent fluorescent material, based on moles of organo metallic complex.

10 16. An electroluminescent composition as claimed in claim 13 or 14 in which there is up to 1 mole percent fluorescent material, based on moles of organo metallic complex.

15 17. An electroluminescent composition as claimed in claim 13 or 14 in which there is less than  $10^{-3}$  mole percent fluorescent material, based on moles of organo metallic complex.

20 18. An electroluminescent composition as claimed in any one of claims 13 to 17 in which the dopant is selected from compounds of formula (A), (B) and (C) and compounds of figures 9 to 13 of the drawings.

19. An electroluminescent device which comprises (i) a first electrode, (ii) a layer of electroluminescent compound or composition as claimed in any one of claims 1 to 18 and (iii) a second electrode.

25 20. An electroluminescent device as claimed in claim 19 in which there is a layer of a hole transmitting material between the first electrode and the electroluminescent layer.

30 21. An electroluminescent device as claimed in claim 20 in which the hole transmitting material is an aromatic amine complex.



22. An electroluminescent device as claimed in claim 20 in which the hole transmitting material is a polyaromatic amine complex.

5 23. An electroluminescent device as claimed in claim 20 in which the hole transmitting material is a film of a polymer selected from poly(vinylcarbazole), N,N'-diphenyl-N,N'-bis (3-methylphenyl) -1,1' -biphenyl -4,4'-diamine (TPD), polyaniline, substituted polyanilines, polythiophenes, substituted polythiophenes, polysilanes and substituted polysilanes.

10

24. An electroluminescent device as claimed in claim 20 in which the hole transmitting material is a film of a compound of formula (XIX) or (XX) herein or as in figures 4 to 8 of the drawings.

15 25. An electroluminescent device as claimed in claim 20 in which the hole transmitting material is a copolymer of aniline, a copolymer of aniline with o-anisidine, m-sulphanilic acid or o-aminophenol, or o-toluidine with o-aminophenol, o-ethylaniline, o-phenylene diamine or with an amino anthracene.

20 26. An electroluminescent device as claimed in claim 20 in which the hole transmitting material is a conjugated polymer.

25 27. An electroluminescent device as claimed in claim 26 in which the conjugated polymer is selected from poly (p-phenylenevinylene)-PPV and copolymers including PPV, poly(2,5 dialkoxyphenylene vinylene), poly (2-methoxy-5-(2-methoxypentyloxy-1,4-phenylene vinylene), poly(2-methoxypentyloxy)-1,4-phenylenevinylene), poly(2-methoxy-5-(2-dodecyloxy-1,4-phenylenevinylene) and other poly(2,5 dialkoxyphenylenevinylenes) with at least one of the alkoxy groups being a long chain solubilising alkoxy group, poly fluorenes and oligofluorenes,

- 32 -

polyphenylenes and oligophenylenes, polyanthracenes and oligo anthracenes, ploythiophenes and oligothiophenes.

28. An electroluminescent device as claimed in any one of claims 20 to 28 in which  
5 the electroluminescent compound is mixed with the hole transmitting material.

29. An electroluminescent device as claimed in any one of claims 19 to 28 in which there is a layer of an electron transmitting material between the cathode and the electroluminescent compound layer.

10

30. An electroluminescent device as claimed in claim 29 in which the electron transmitting material is a metal quinolate.

15

31. An electroluminescent device as claimed in claim 30 in which the metal quinolate is an aluminium quinolate, zirconium quinolate or lithium quinolate

32. An electroluminescent device as claimed in claim 30 in which the electron transmitting material is of formula  $Mx(DBM)_n$  where  $Mx$  is a metal and DBM is dibenzoyl methane and  $n$  is the valency of  $Mx$ .

20

33. An electroluminescent device as claimed in claim 29 in which the electron transmitting material is a cyano anthracene such as 9,10 dicyano anthracene, a polystyrene sulphonate or a compound of formulae shown in figure 2 or 3 of the drawings.

25

34. An electroluminescent device as claimed in any one of claims 29 to 33 in which the electron transmitting material is mixed with the electroluminescent compound.

- 33 -

35. An electroluminescent device as claimed in any one of claims 19 to 34 in which the first electrode is a transparent electricity conducting glass electrode.
36. An electroluminescent device as claimed in any one of claims 19 to 35 in which the second electrode is selected from aluminium, calcium, lithium, magnesium and alloys thereof and silver/magnesium alloys.
- 5

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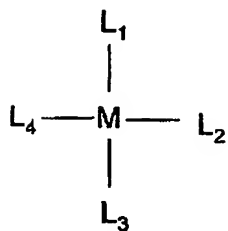


Fig. 1a

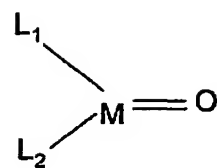


Fig. 1b

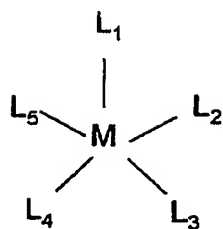


Fig. 1c

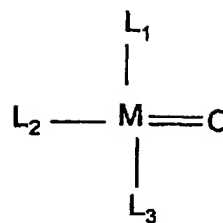


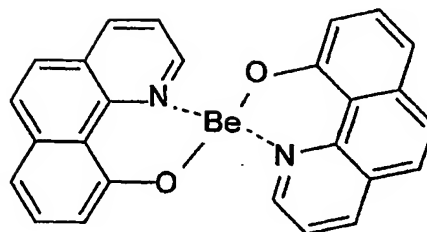
Fig. 1d

Fig. 1

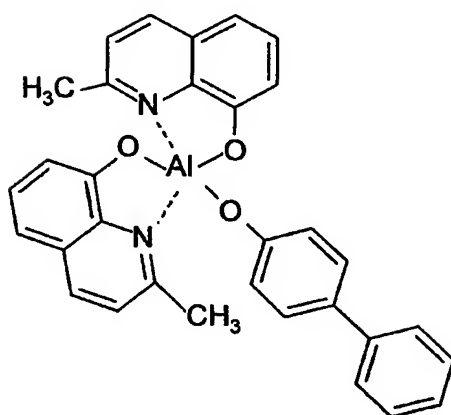
2/49



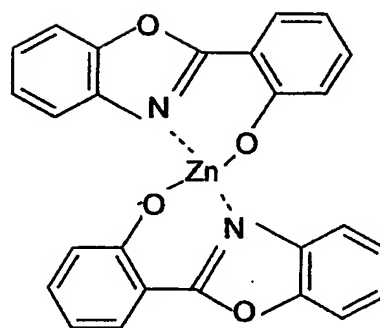
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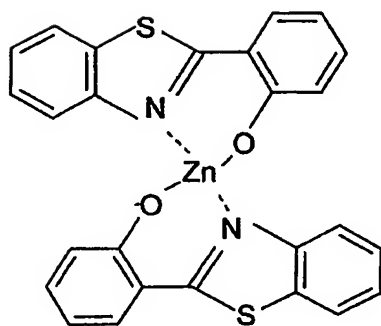
Beq



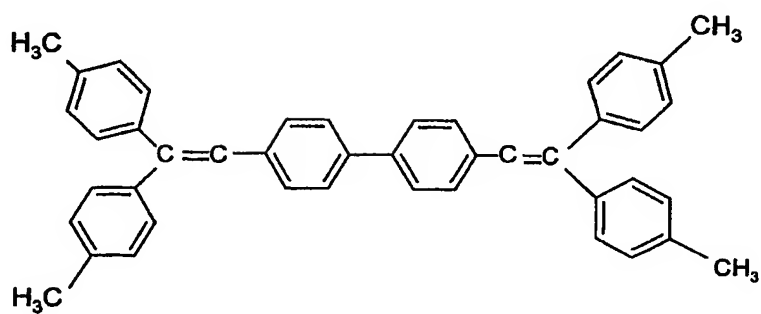
BAiq1



ZnPBO



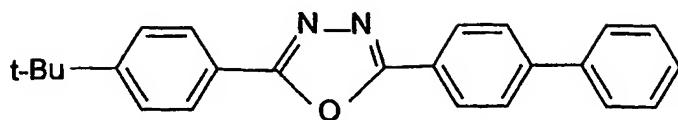
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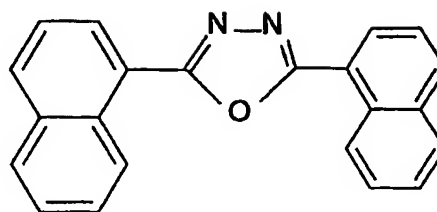
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Fig. 2

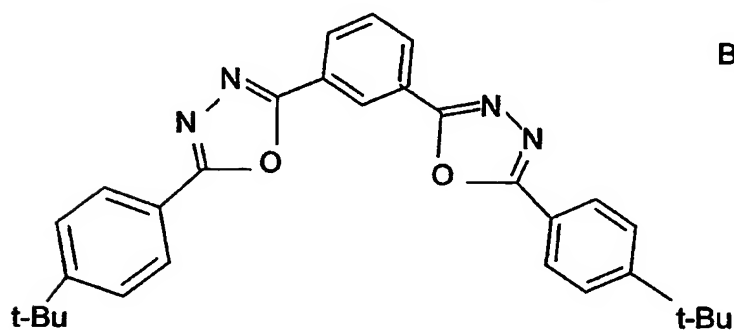
3/49



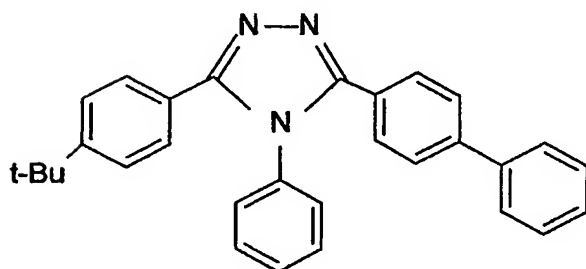
t-Bu-PBD



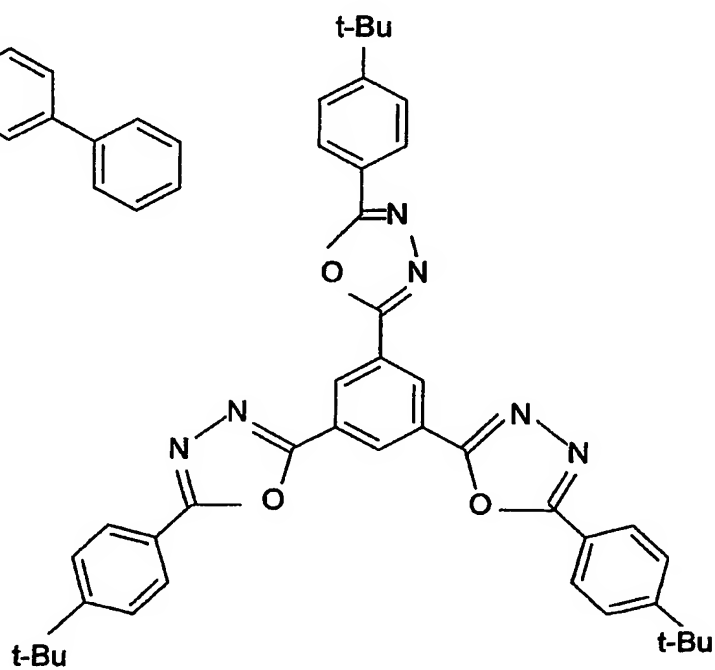
BND



OXD-7



TAZ



OXD-Star

Fig. 3

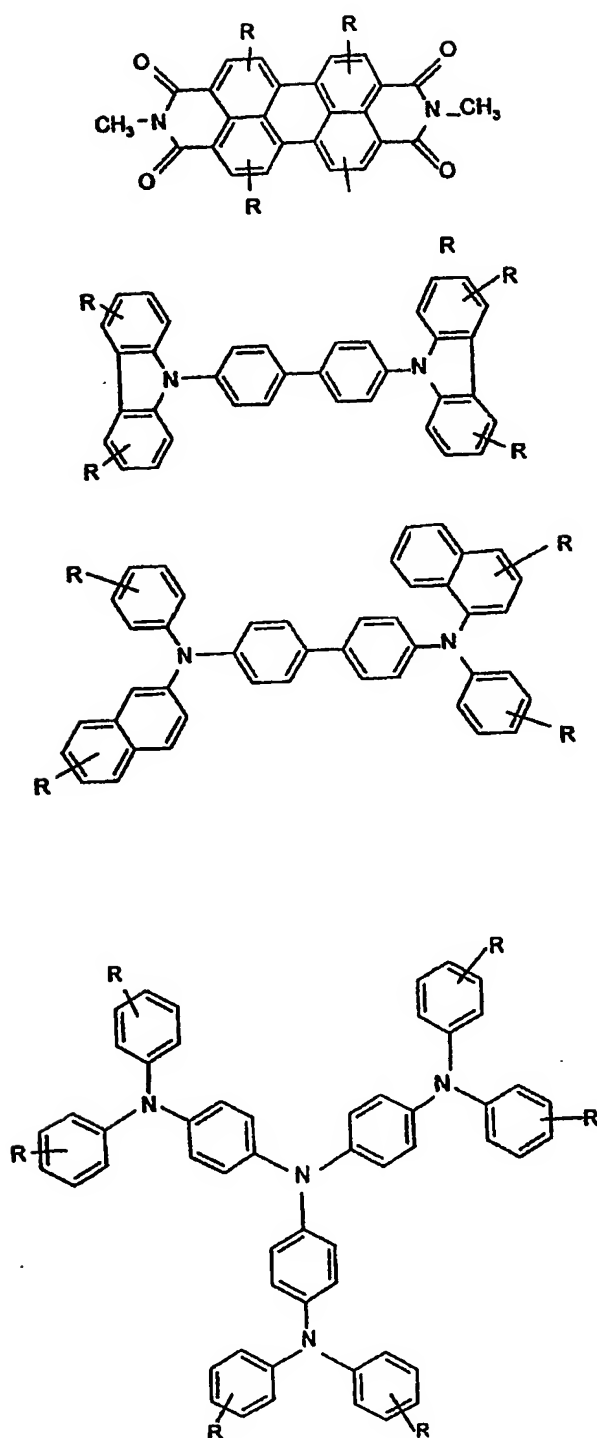


Fig. 4

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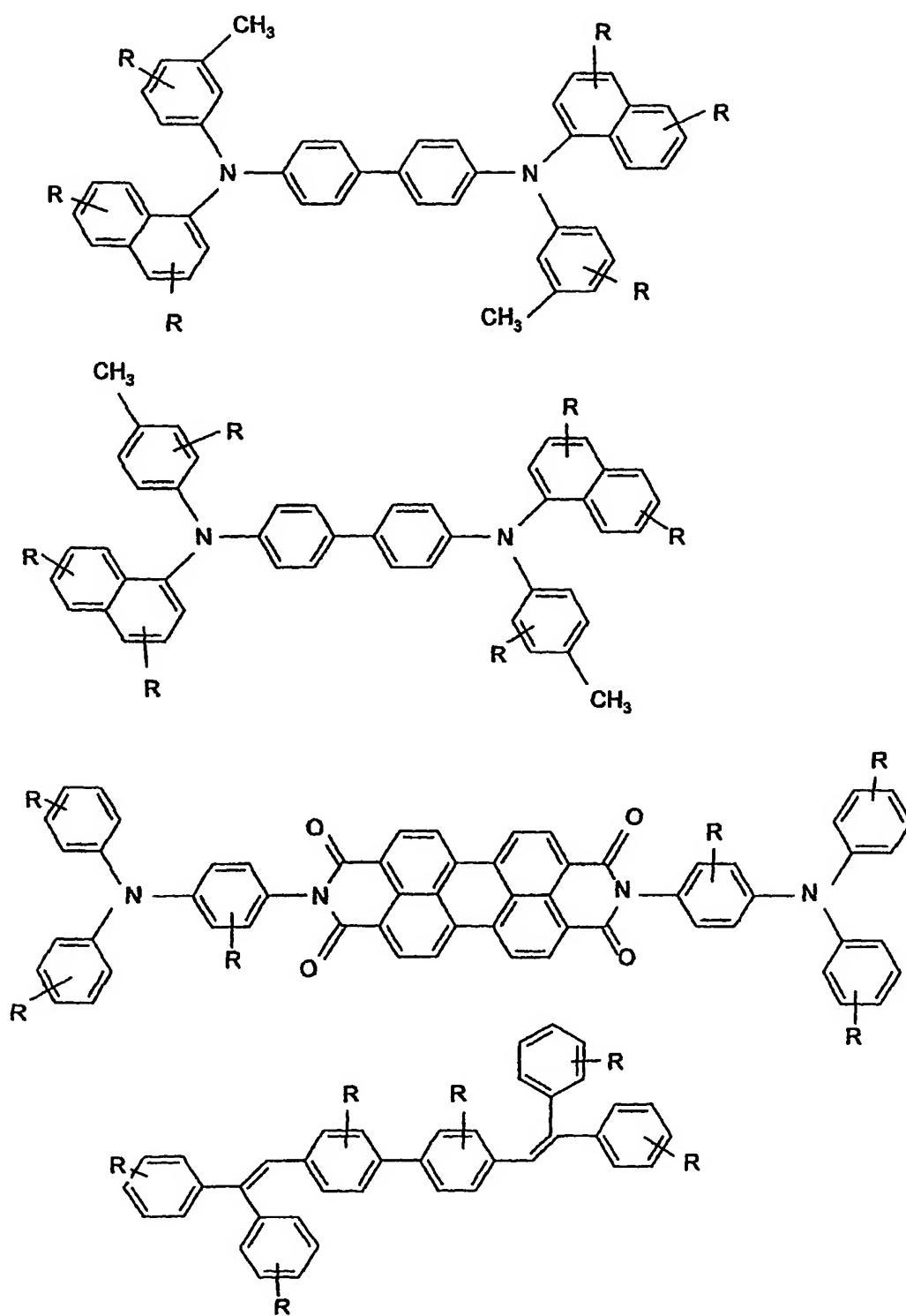


Fig. 5



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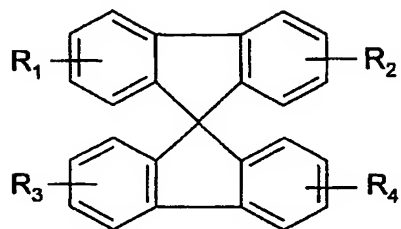


Fig. 14a

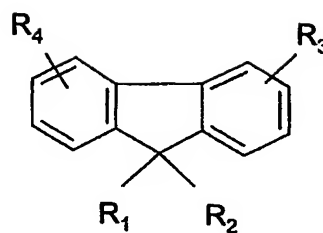
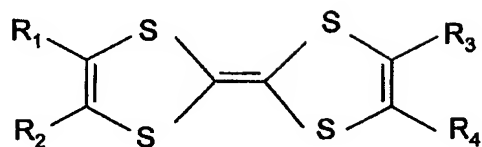


Fig. 14b



or

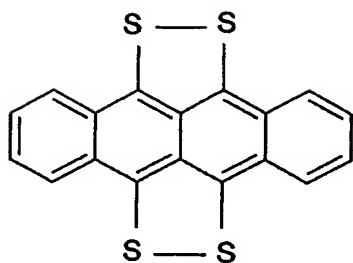
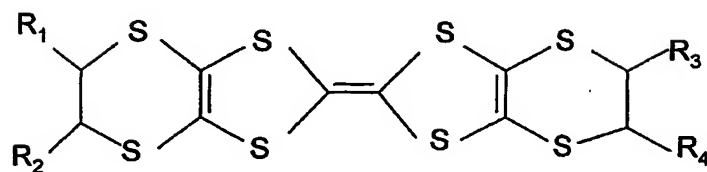


Fig. 6

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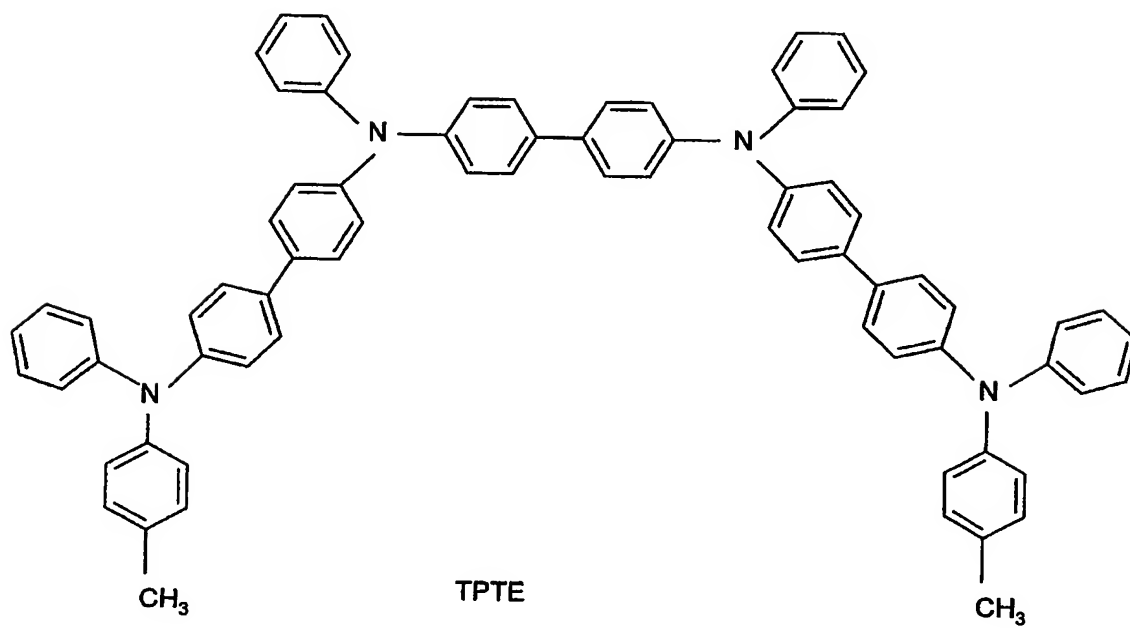
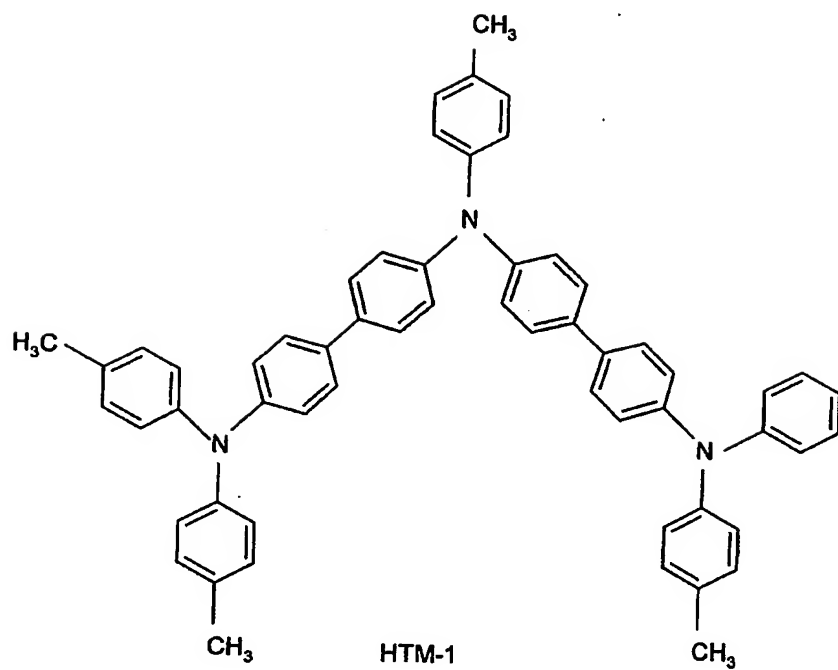
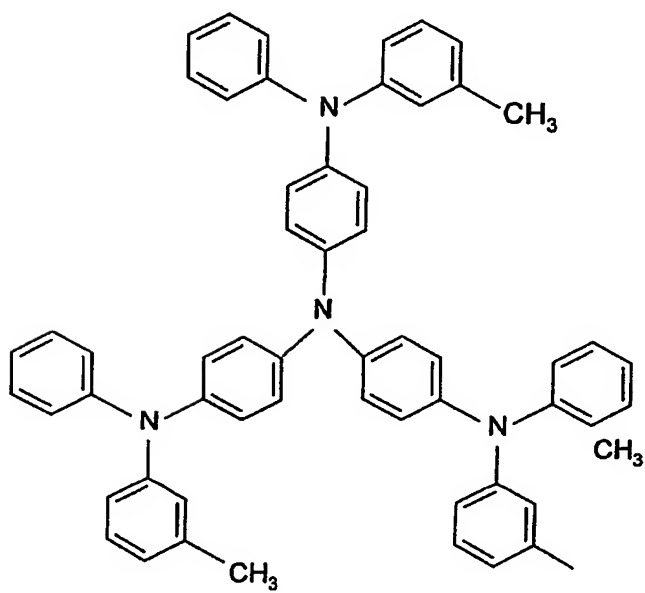
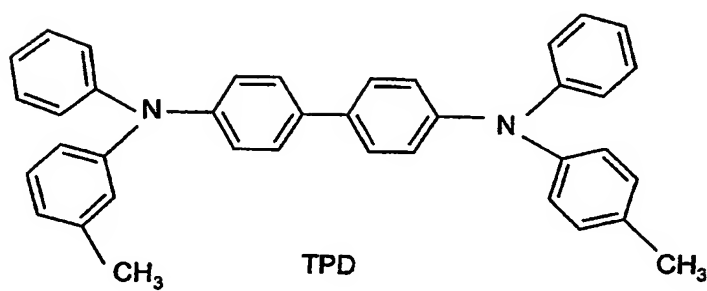
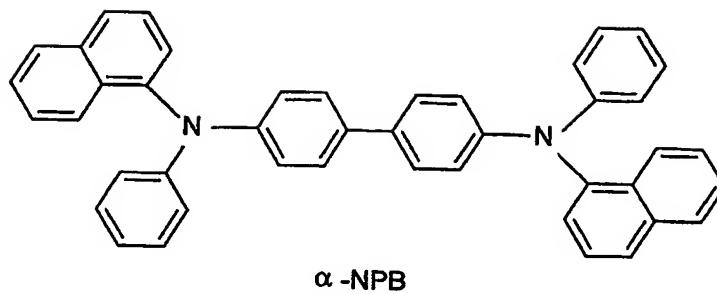


Fig. 7

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mTADATA

Fig. 8

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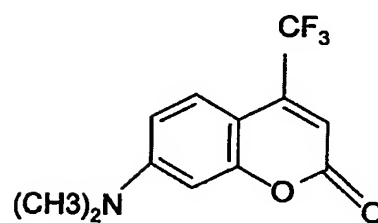
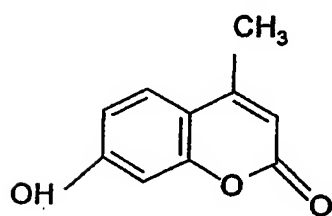
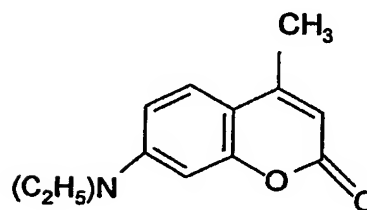
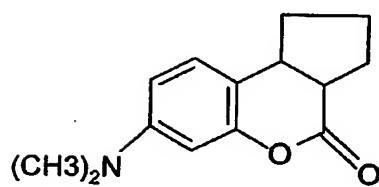
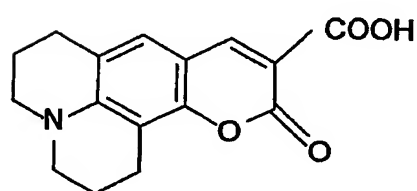
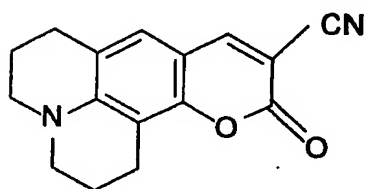
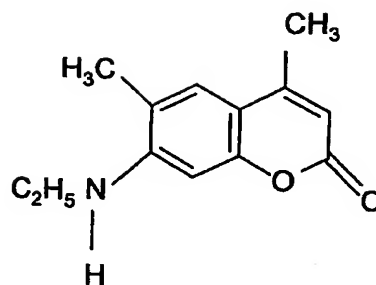
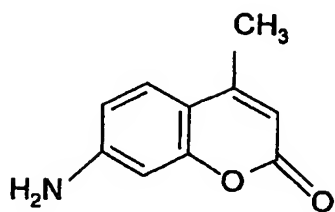


Fig. 9

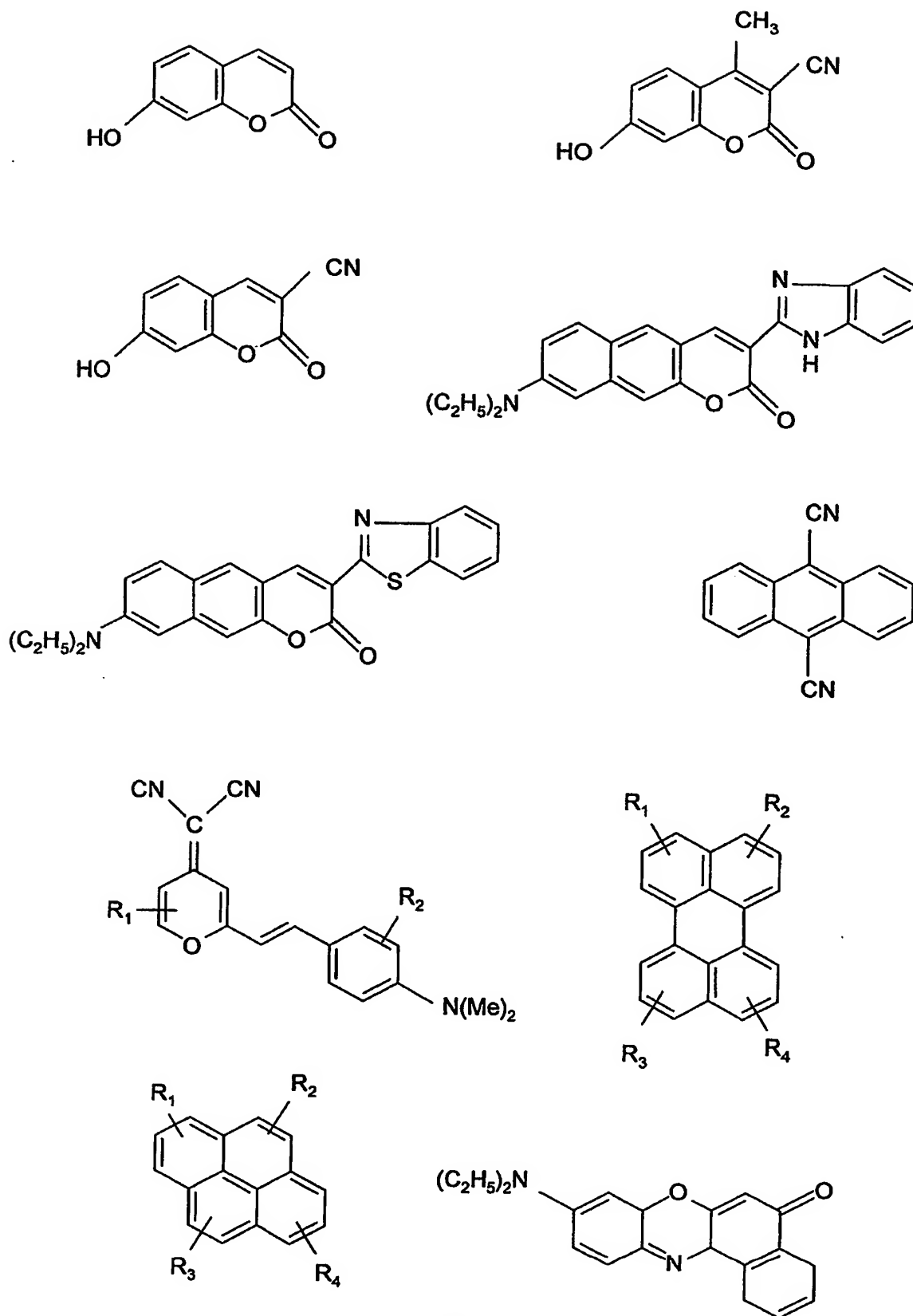


Fig. 10

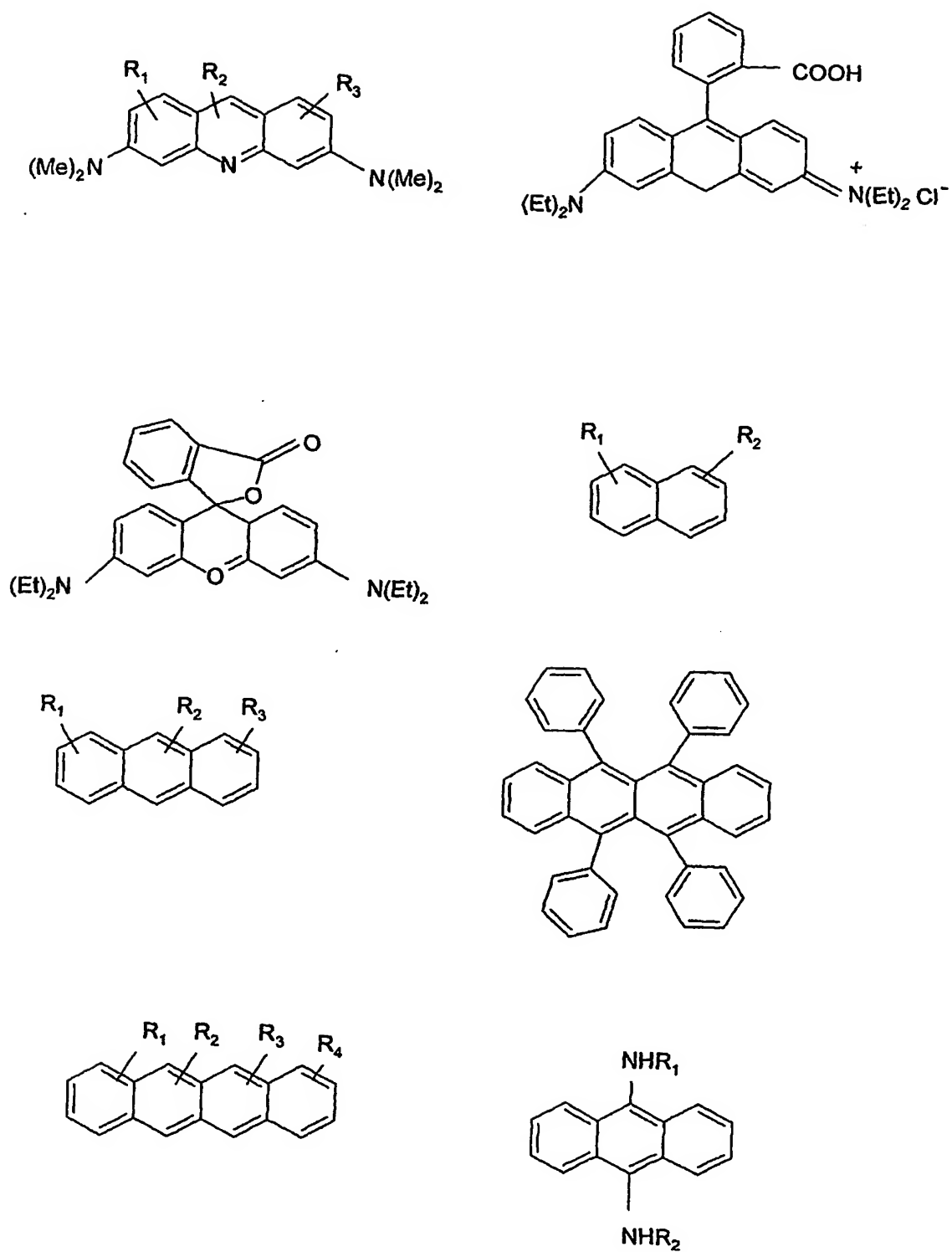


Fig. 11

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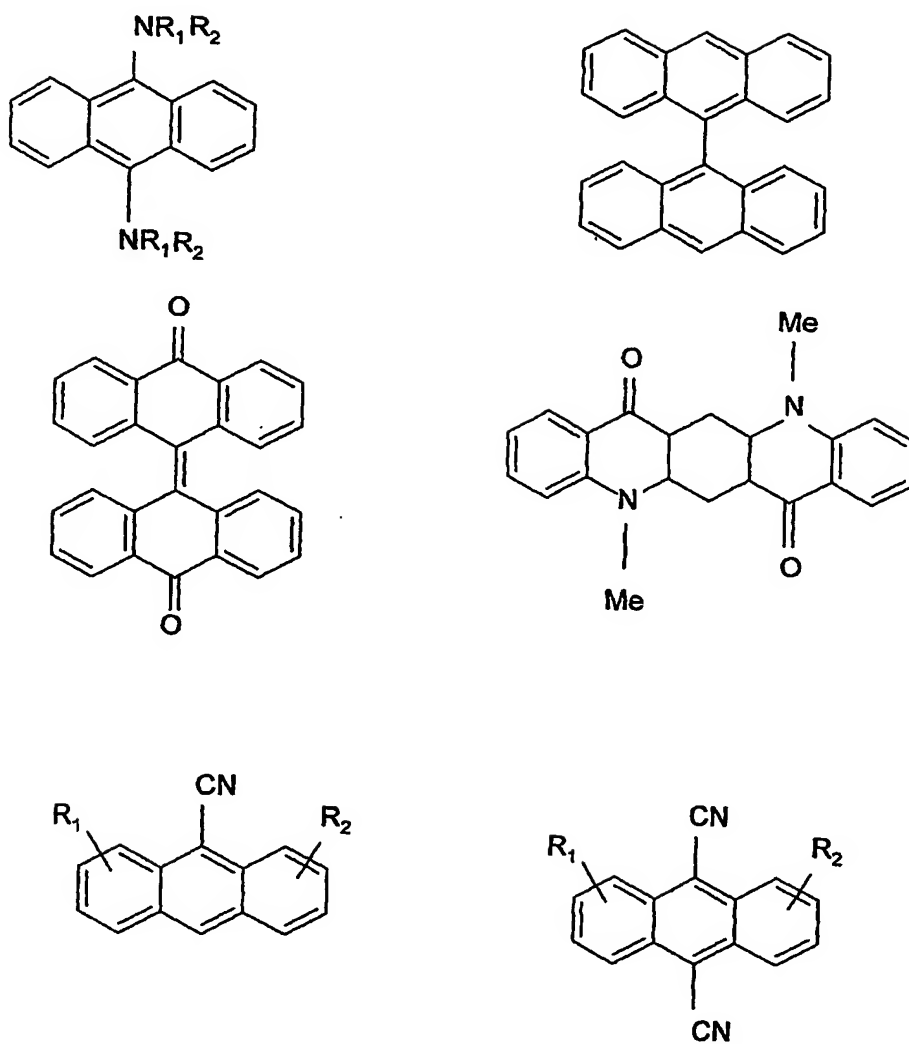


Fig. 12

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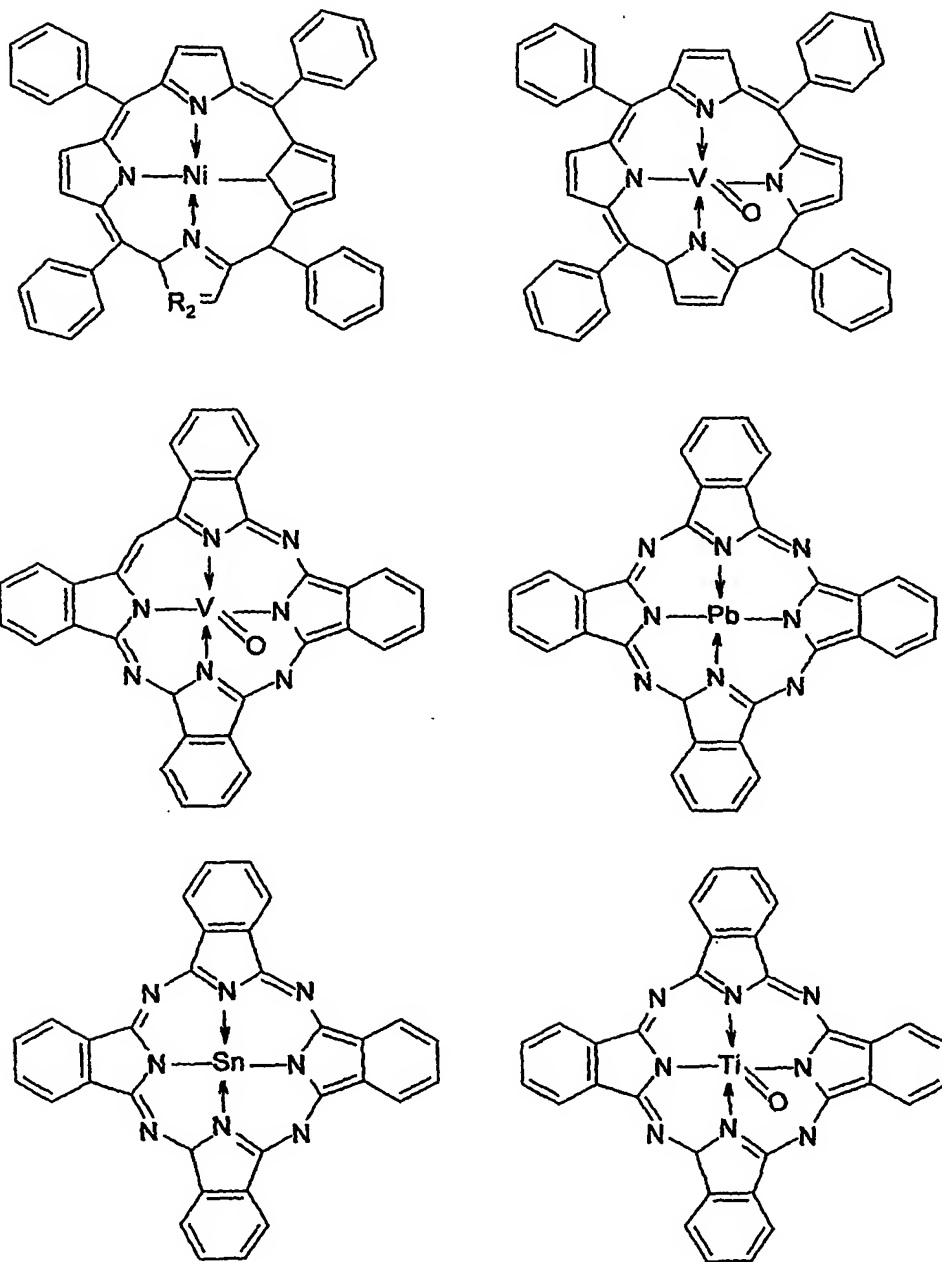


Fig. 13



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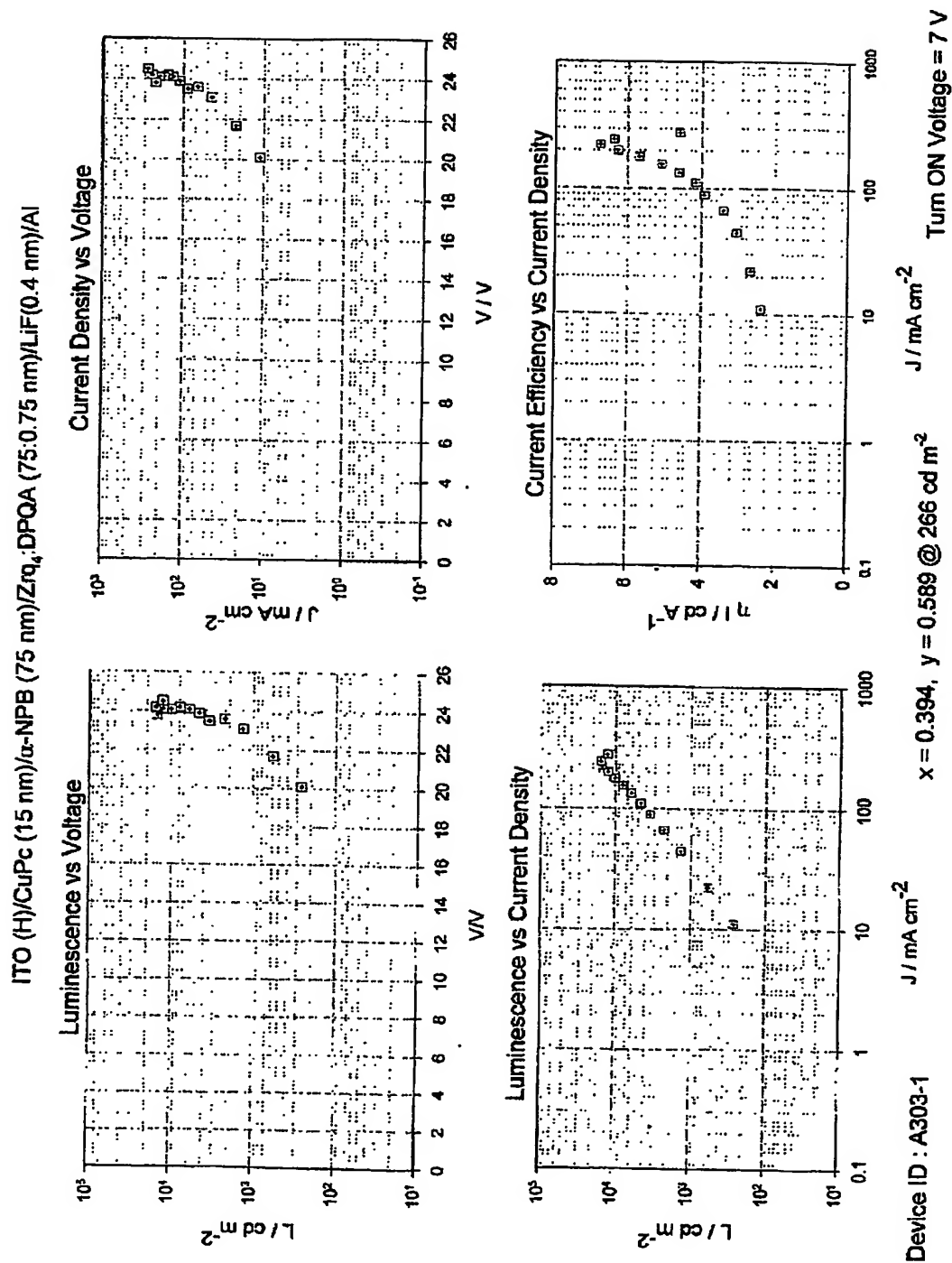


Fig. 14

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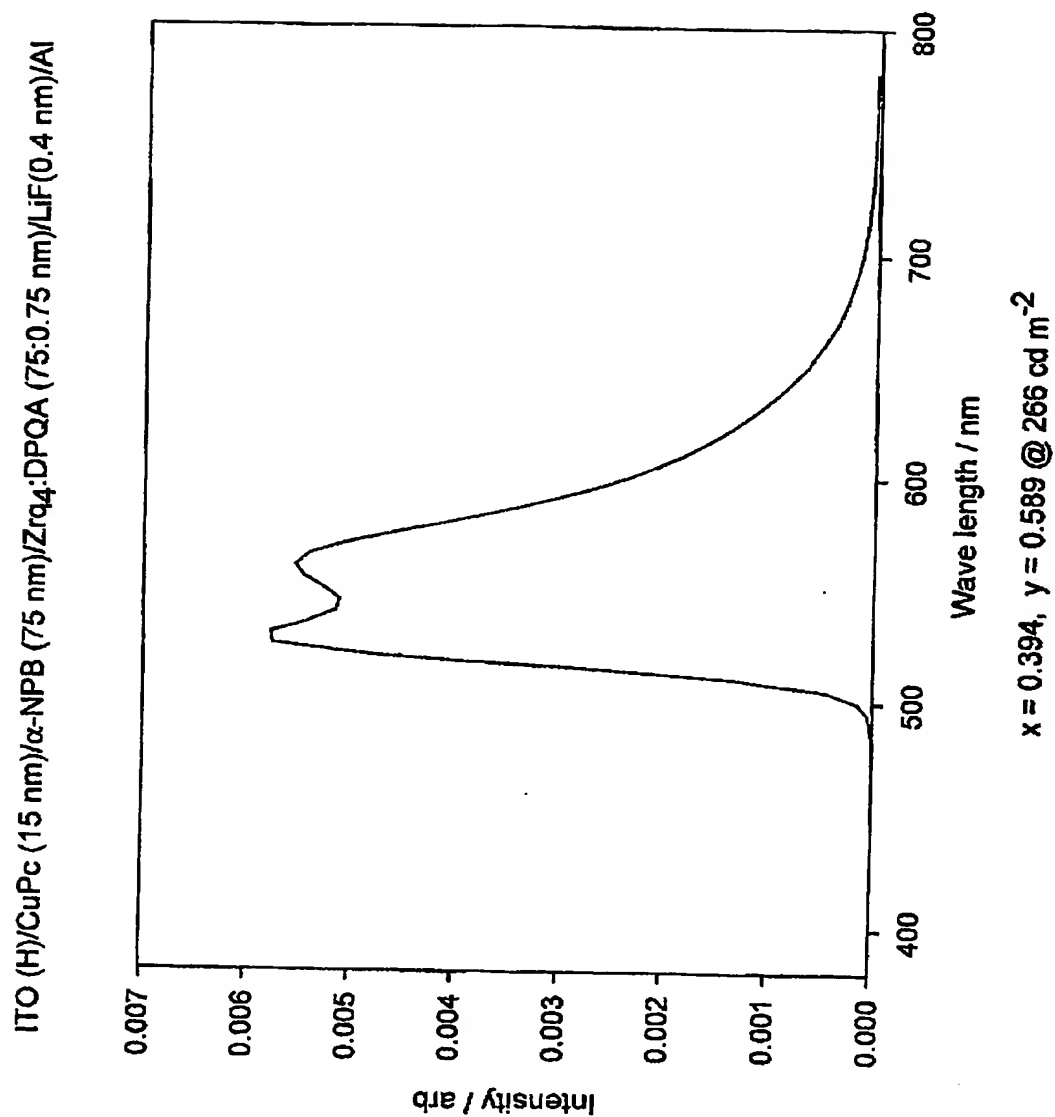
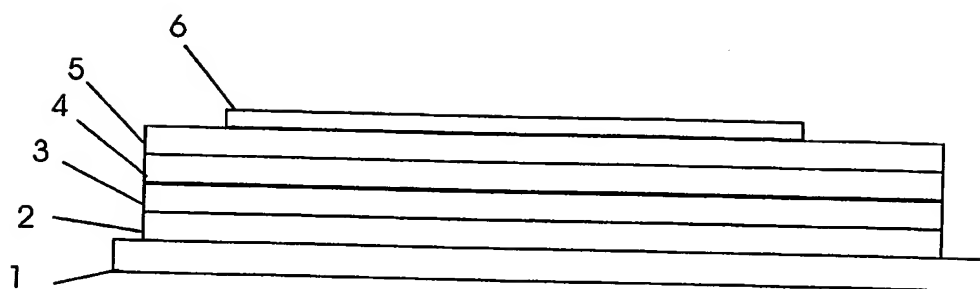


Fig. 15

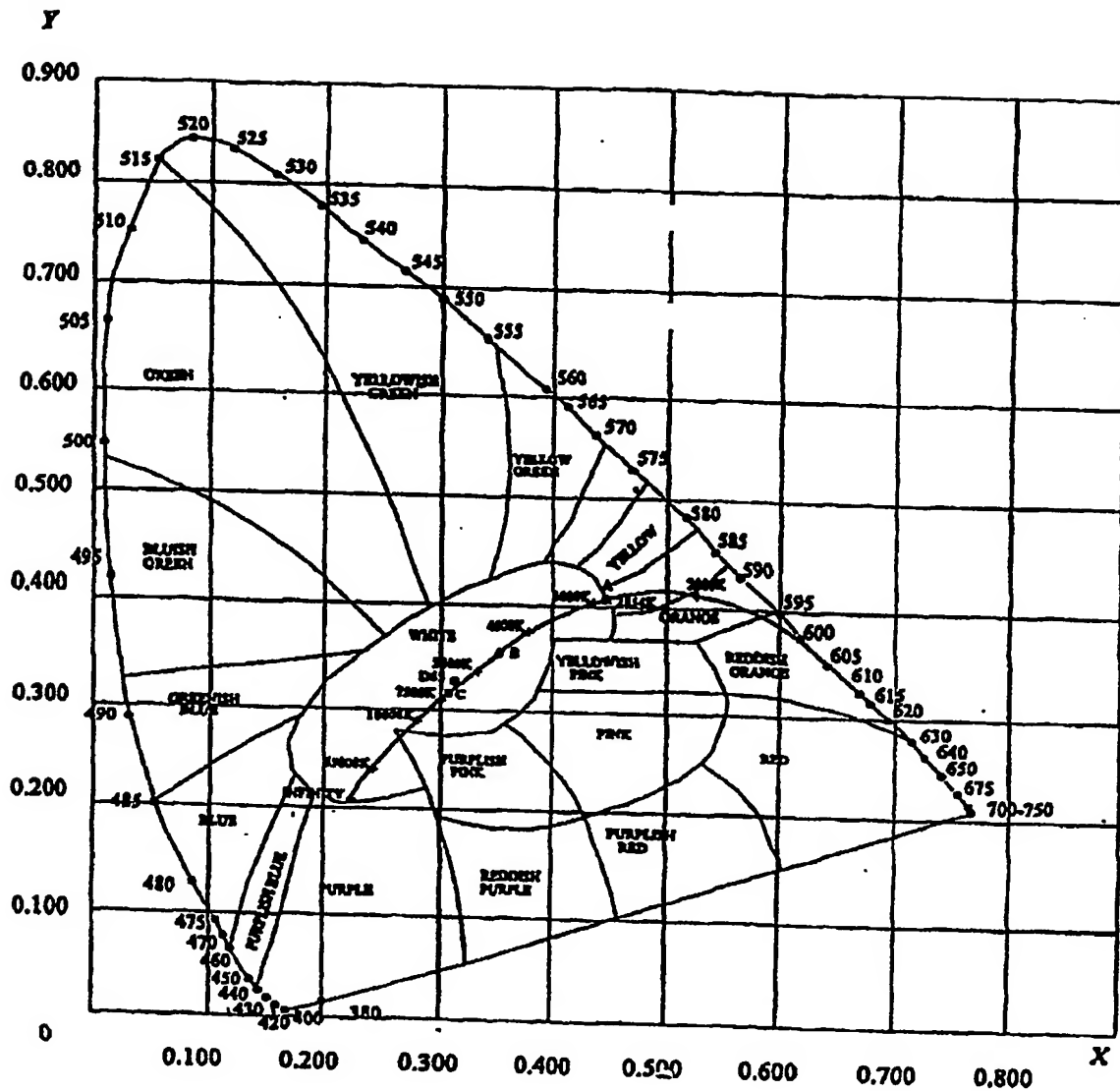
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1 is ITO; 2 is CuPC; 3 is  $\alpha$ -NPB; 4 is Zr<sub>q4</sub>:DPQA; 5 is LiF and 6 is Al.

Fig. 16

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CIE 1931 x,y chromacity diagram showing approximate position of perceived colours

Fig. 17

ITO (H)/CuPc (25 nm)/ $\alpha$ -NPB (75 nm)/Zr<sub>q</sub><sub>4</sub>:NileRed (75:0.38 nm)/LiF (0.4 nm)/Al

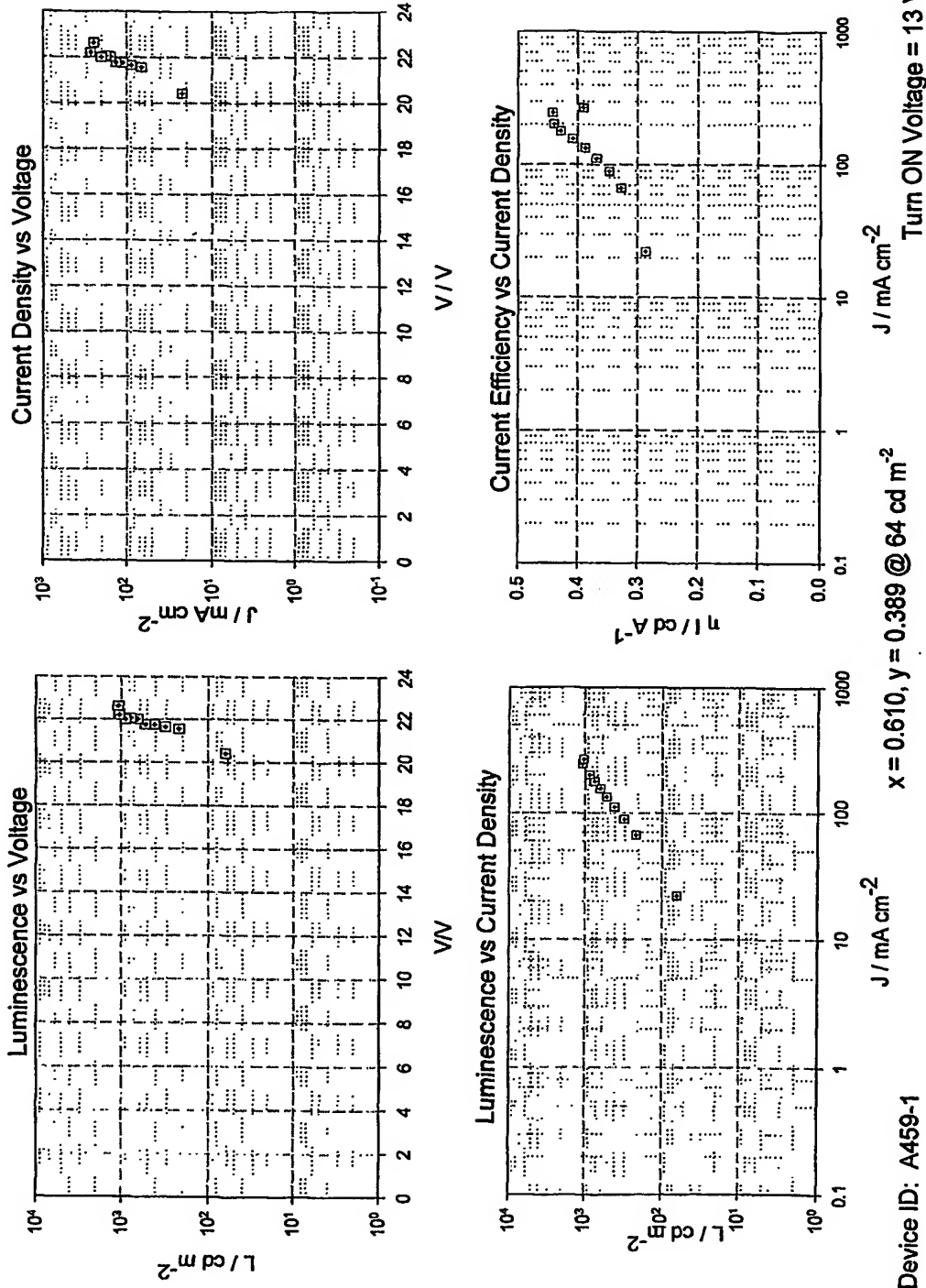


Fig. 18

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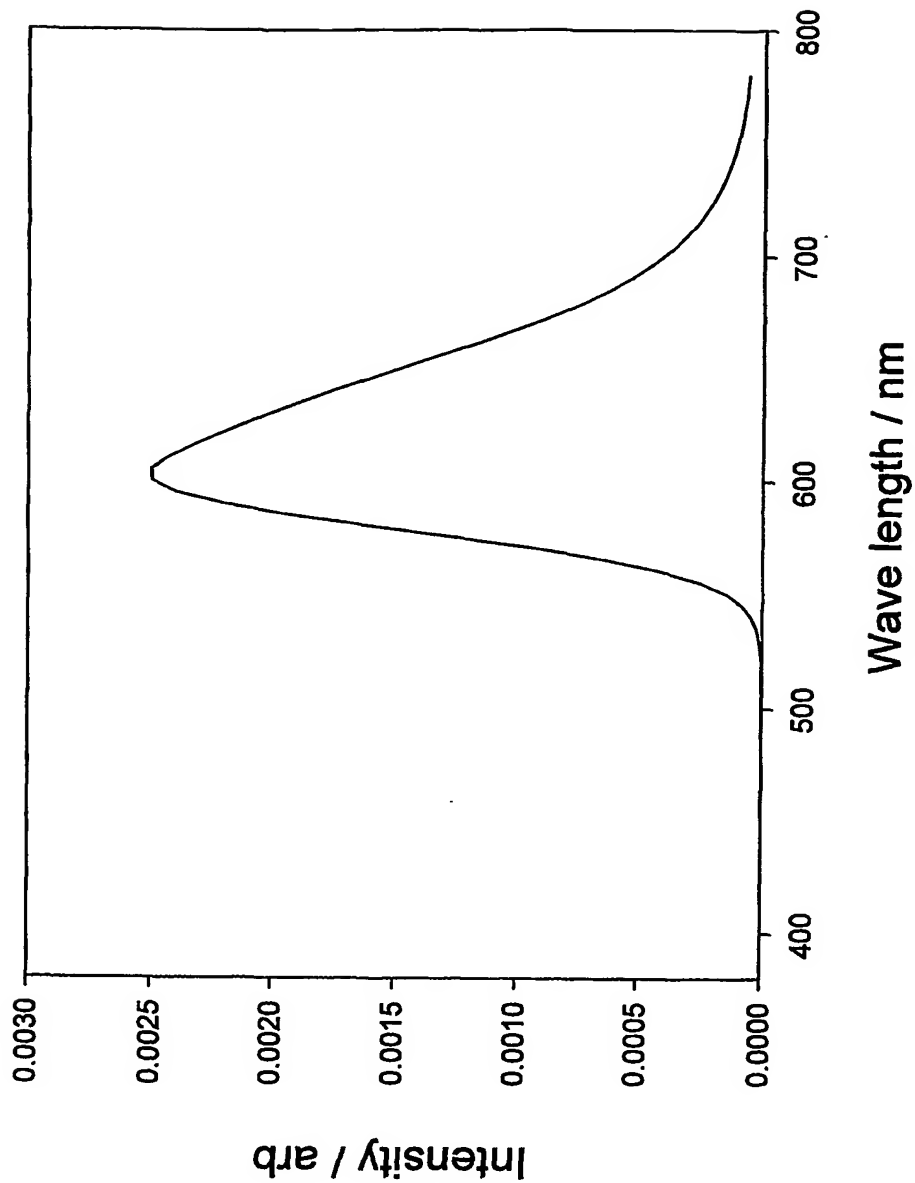
ITO (H)/ CuPc (25 nm)/ $\alpha$ -NPB (75 nm)/Zr<sub>q</sub><sub>4</sub>:Nilered (75:0.38 nm)/LiF (0.4 nm)/Al $x = 0.610, y = 0.389 @ 64 \text{ cd m}^{-2}$ 

Fig. 19

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ITO (H)/CuPc (25 nm)/ $\alpha$ -NPB (75 nm)/ZrQ4:DPQA(60:0.4 nm)/ZrQ4 (10 nm)/LiF (0.4 nm)/Al

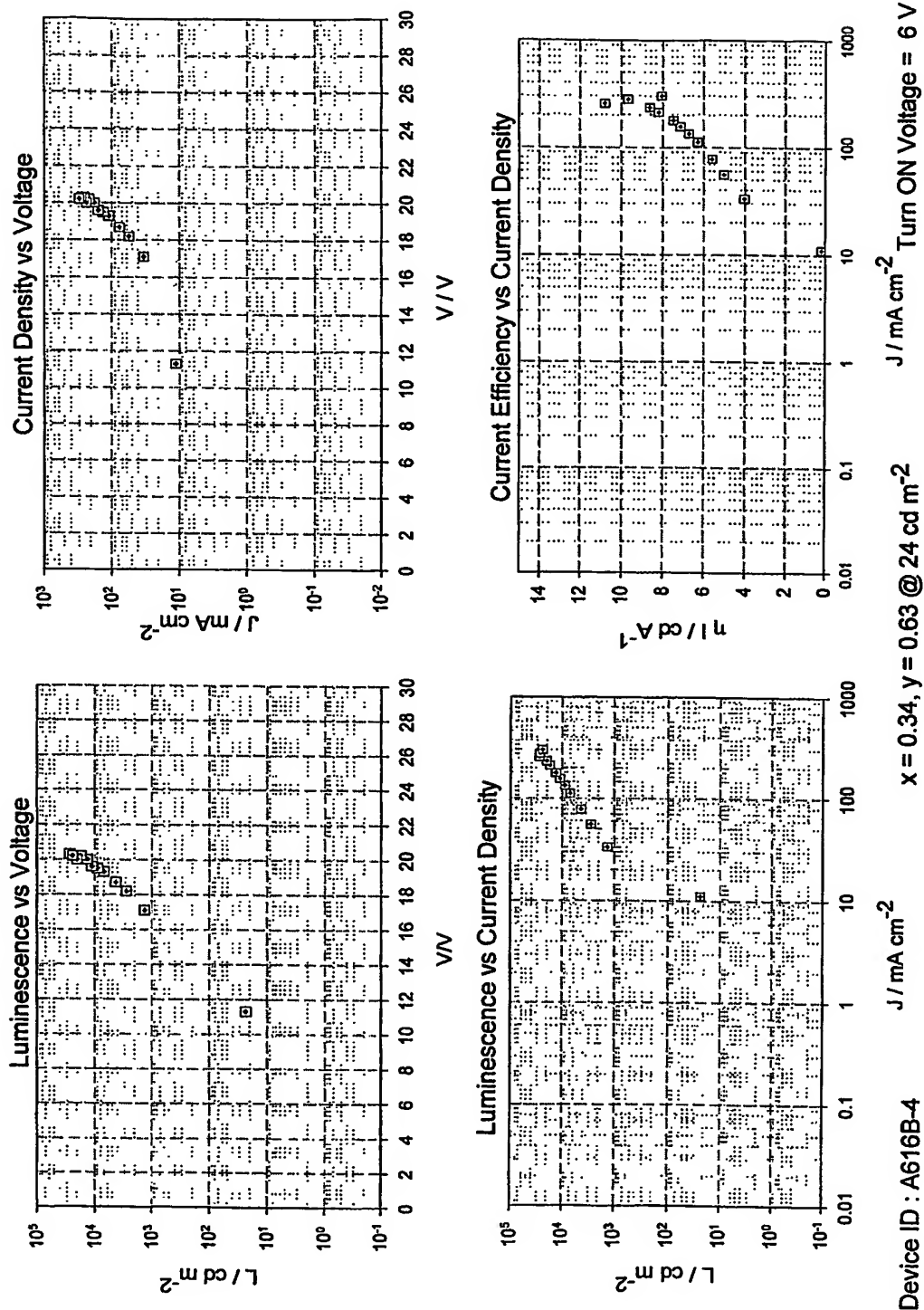


Fig. 20

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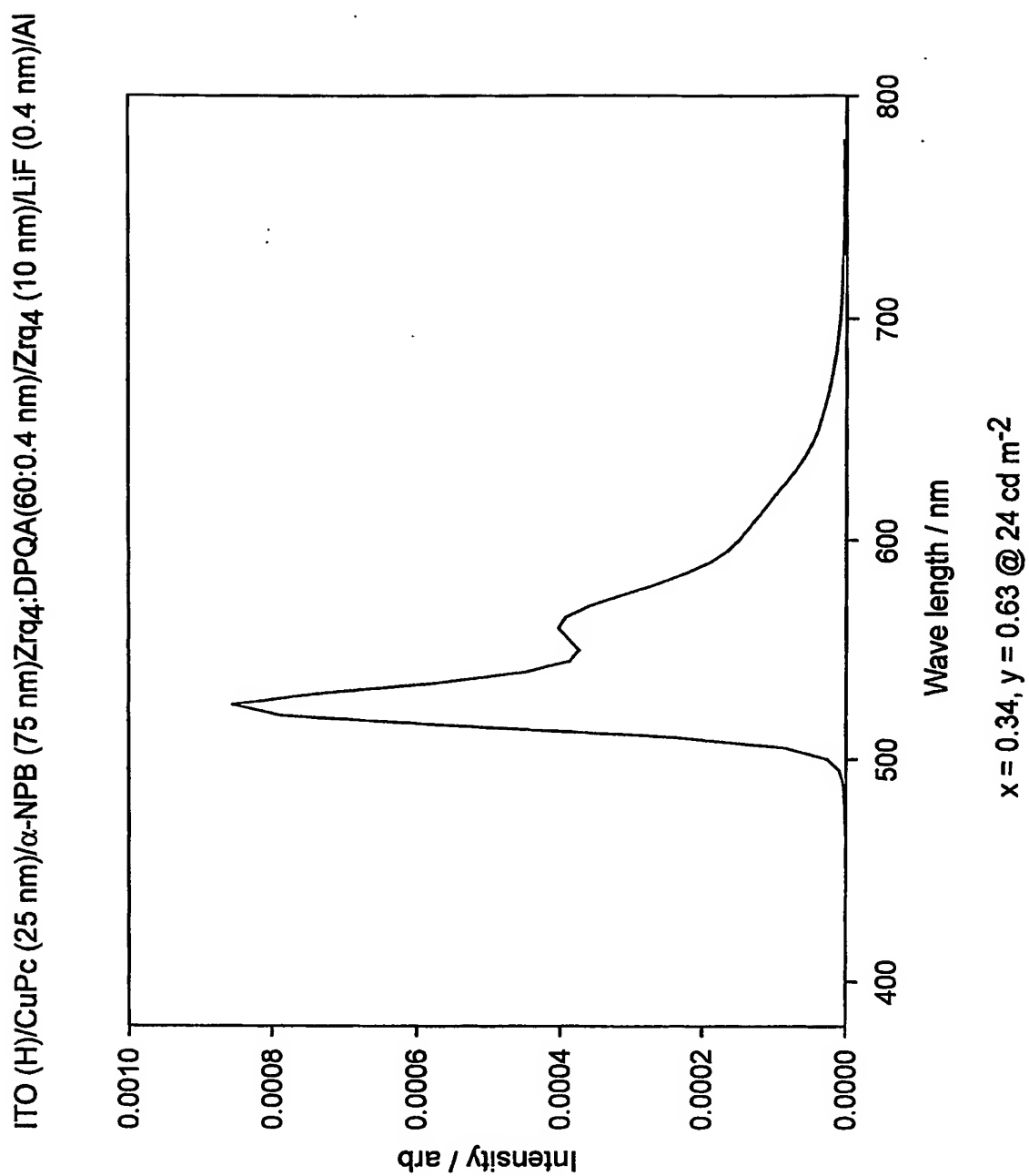


Fig. 21



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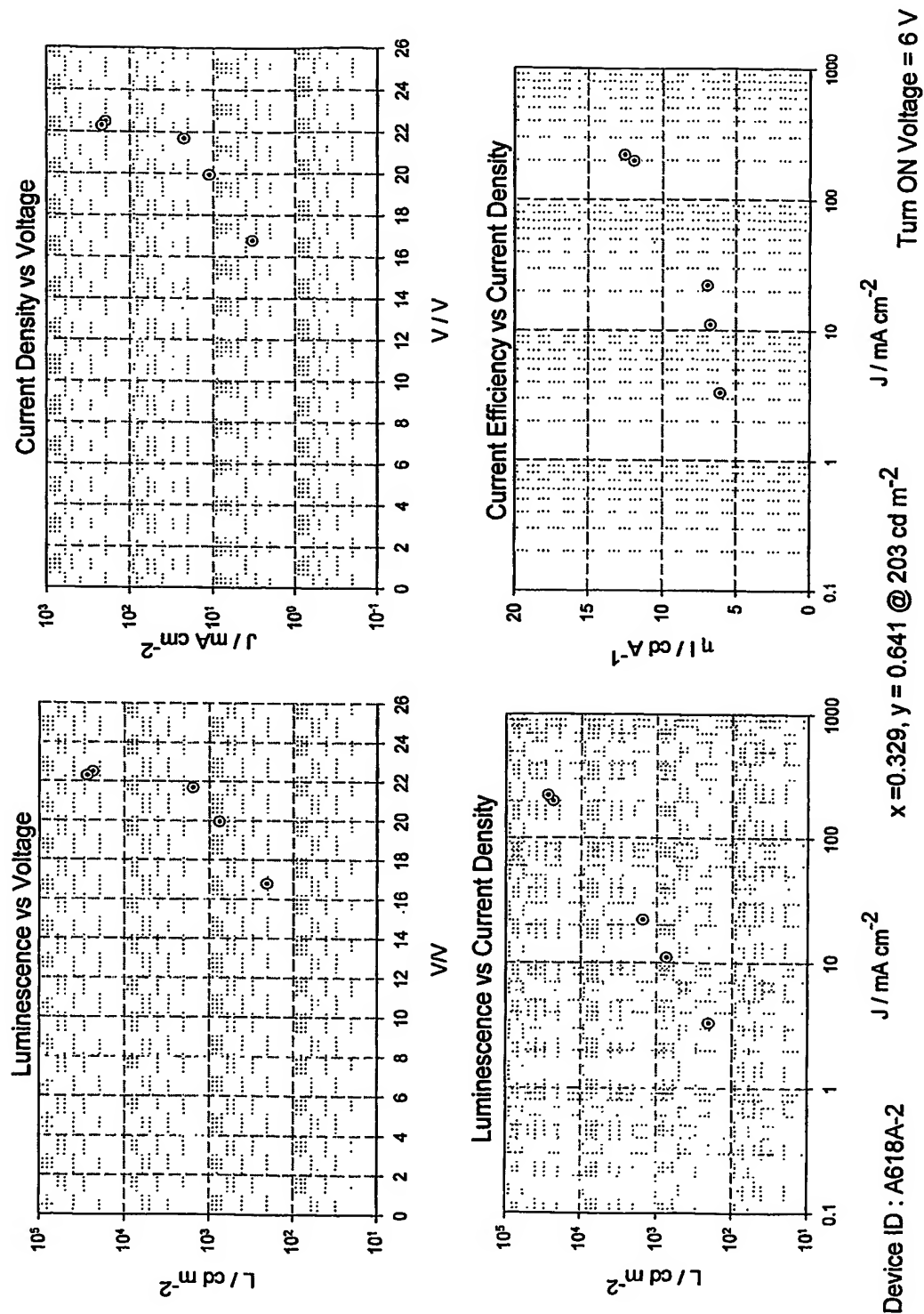
ITO (H)/CuPc:TPTP (15:15 nm)/ $\alpha$ -NPB (75 nm)/Zr<sub>q</sub>:DPQA (60:0.5 nm)/LiF (0.2 nm)/Al

Fig. 22

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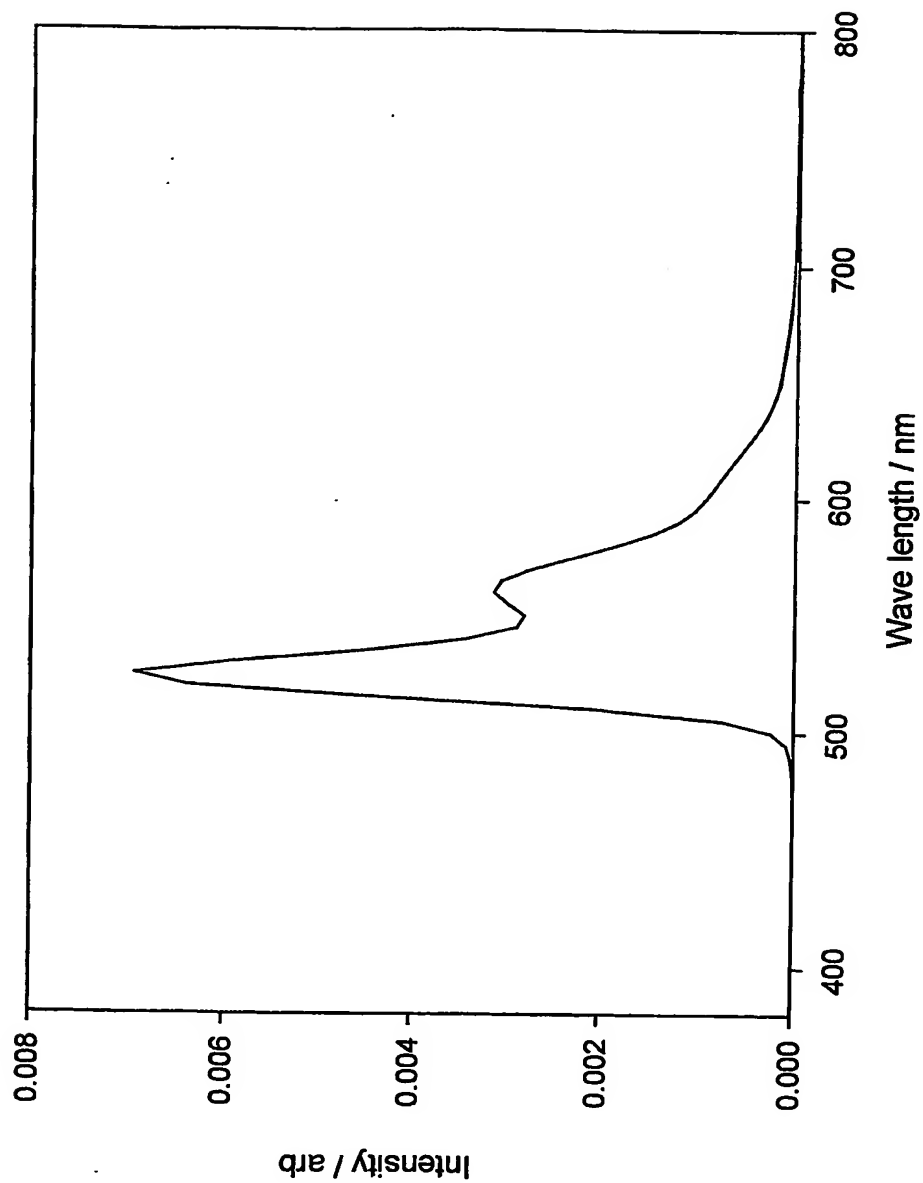
ITO (H)/CuPc:TPTP (15:15 nm)/ $\alpha$ -NPB (75 nm)/Zr<sub>q</sub><sub>4</sub>:DPQA (60:0.5 nm)/LiF (0.2 nm)/Alx = 0.329, y = 0.641 @ 203 cd m<sup>-2</sup>

Fig. 23

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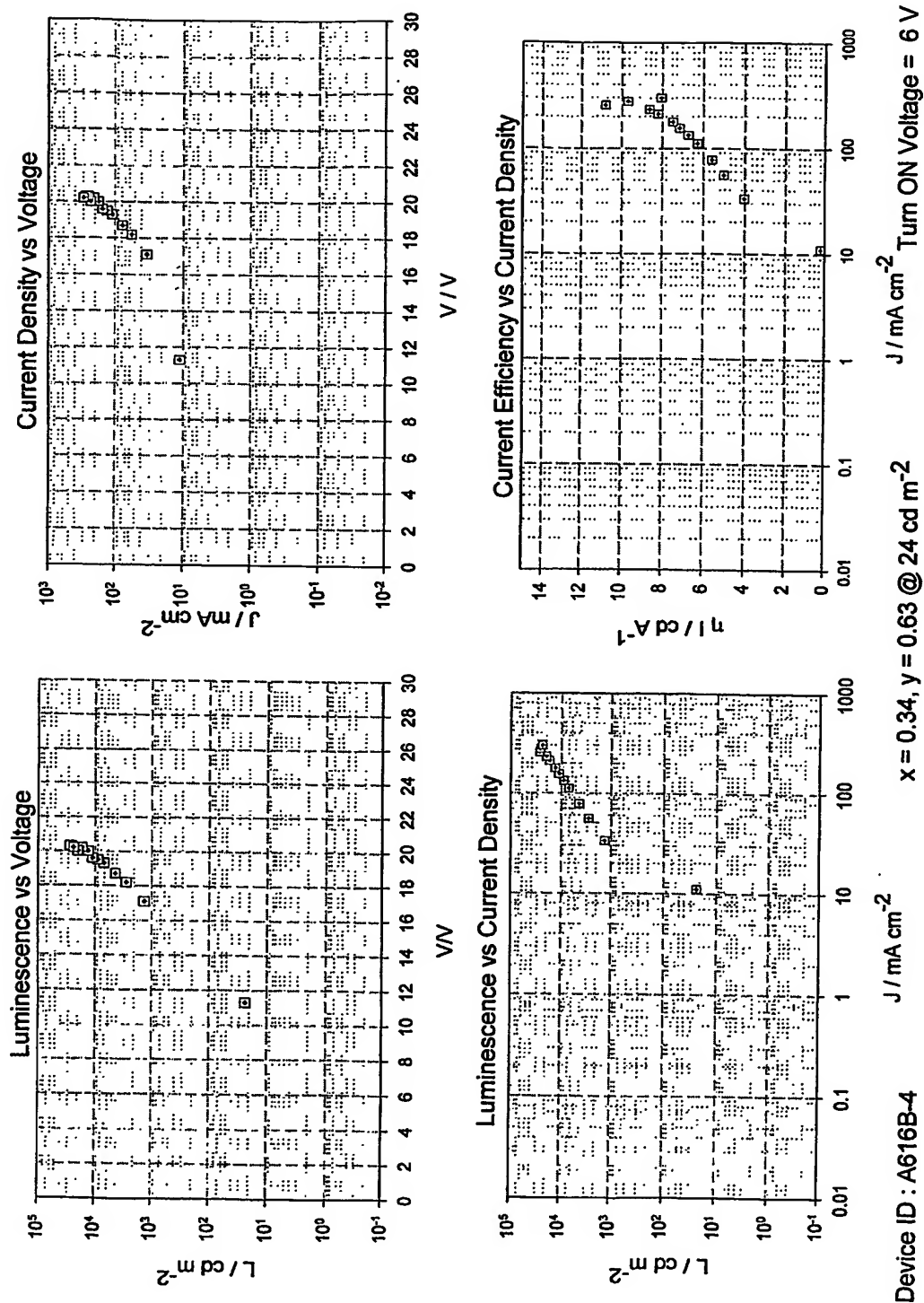
ITO (H)/CuPc (25 nm)/ $\alpha$ -NPB (75 nm)/Zrqa:DPQA(60:0.4 nm)/Zrqa (10 nm)/LiF (0.4 nm)/Al

Fig. 24

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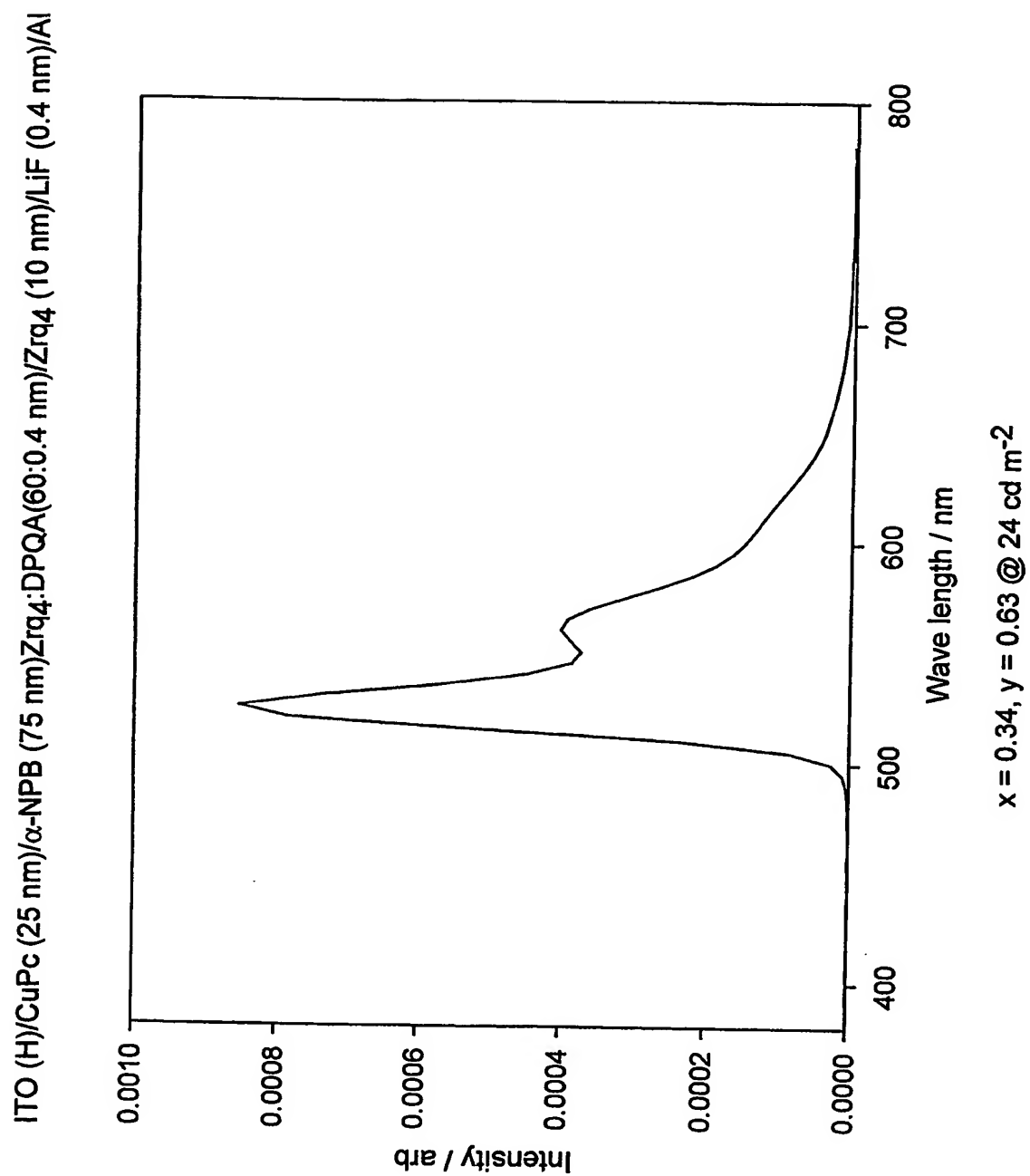


Fig. 25

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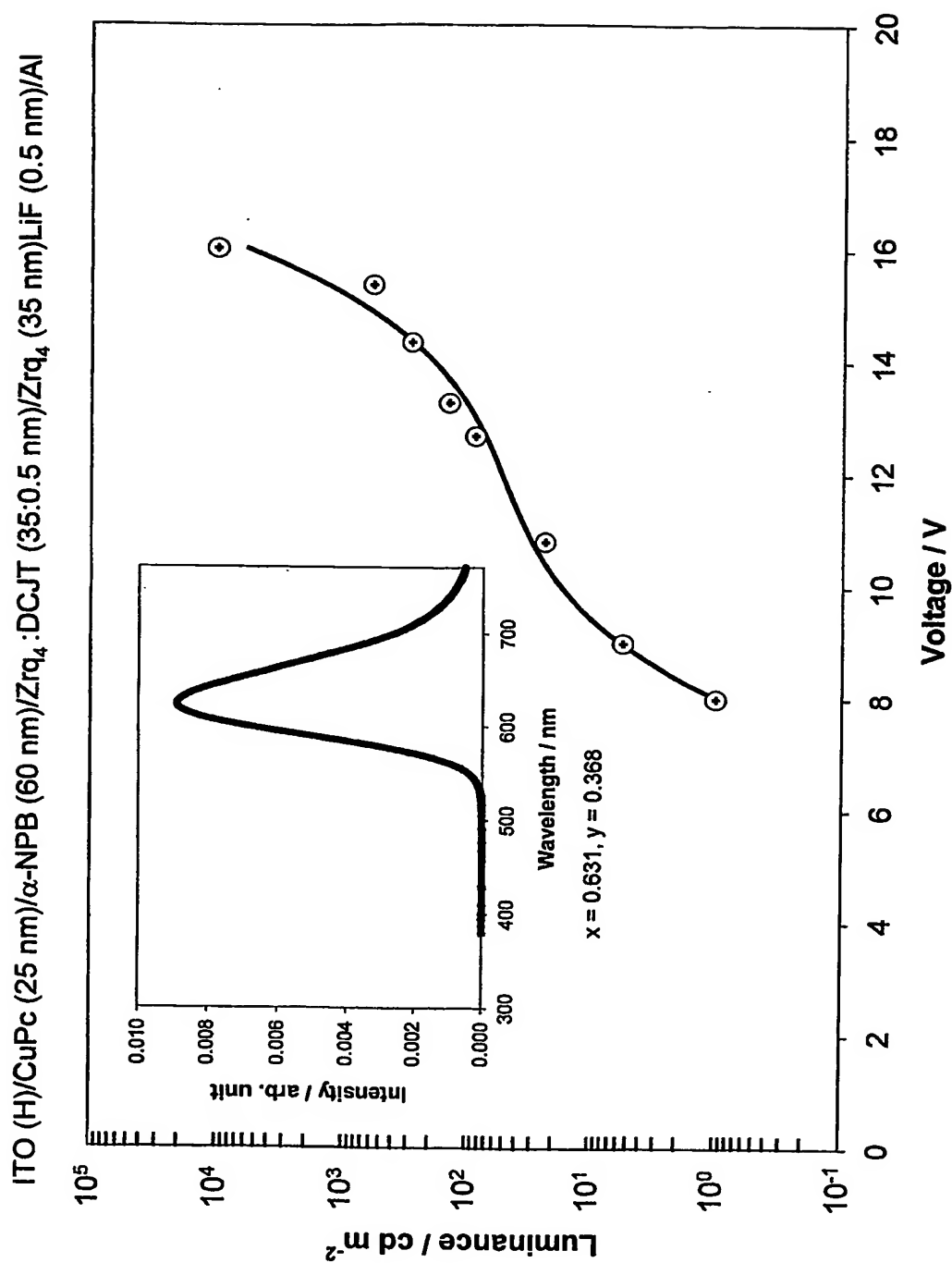


Fig. 26

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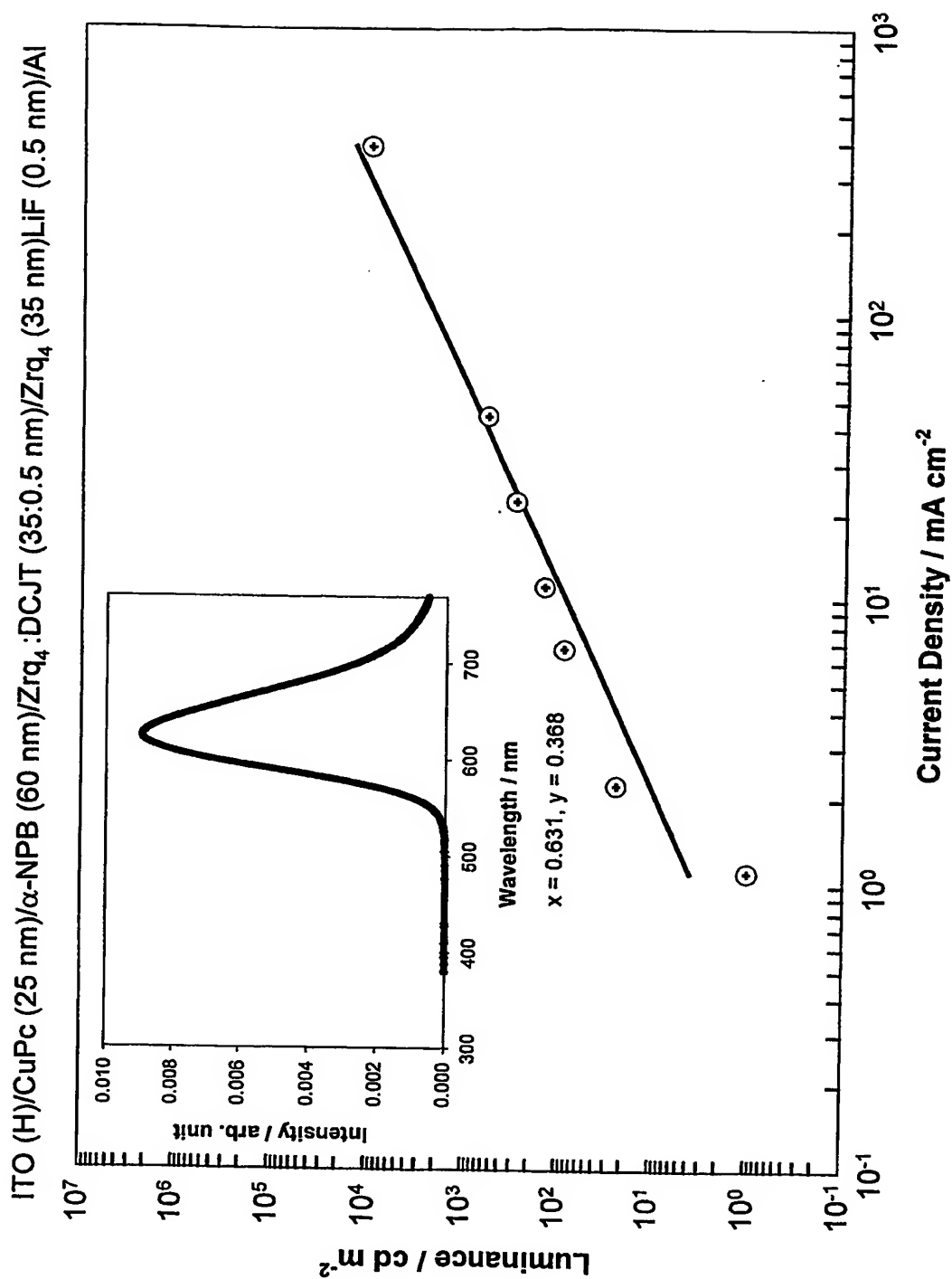


Fig. 27

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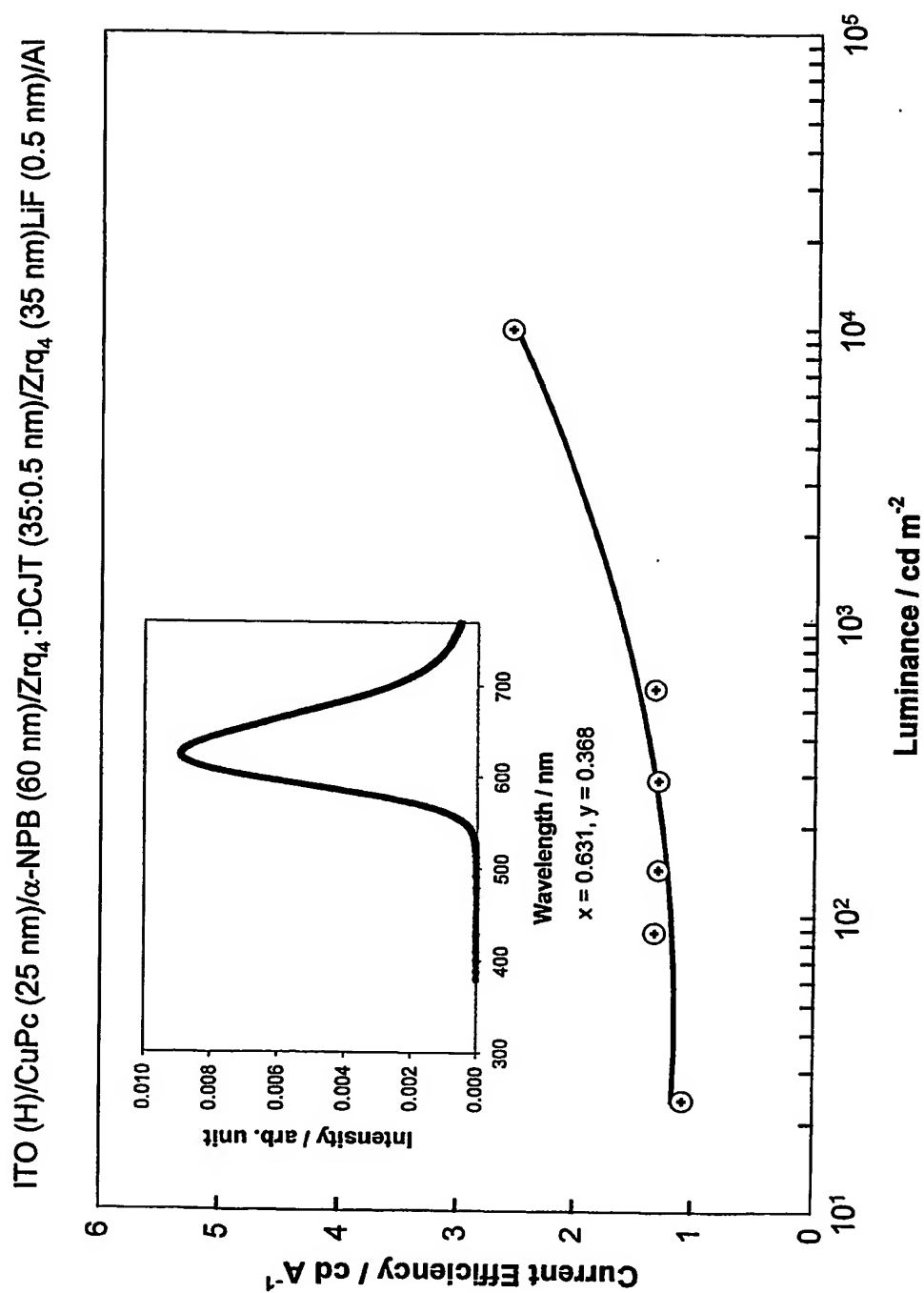


Fig. 28

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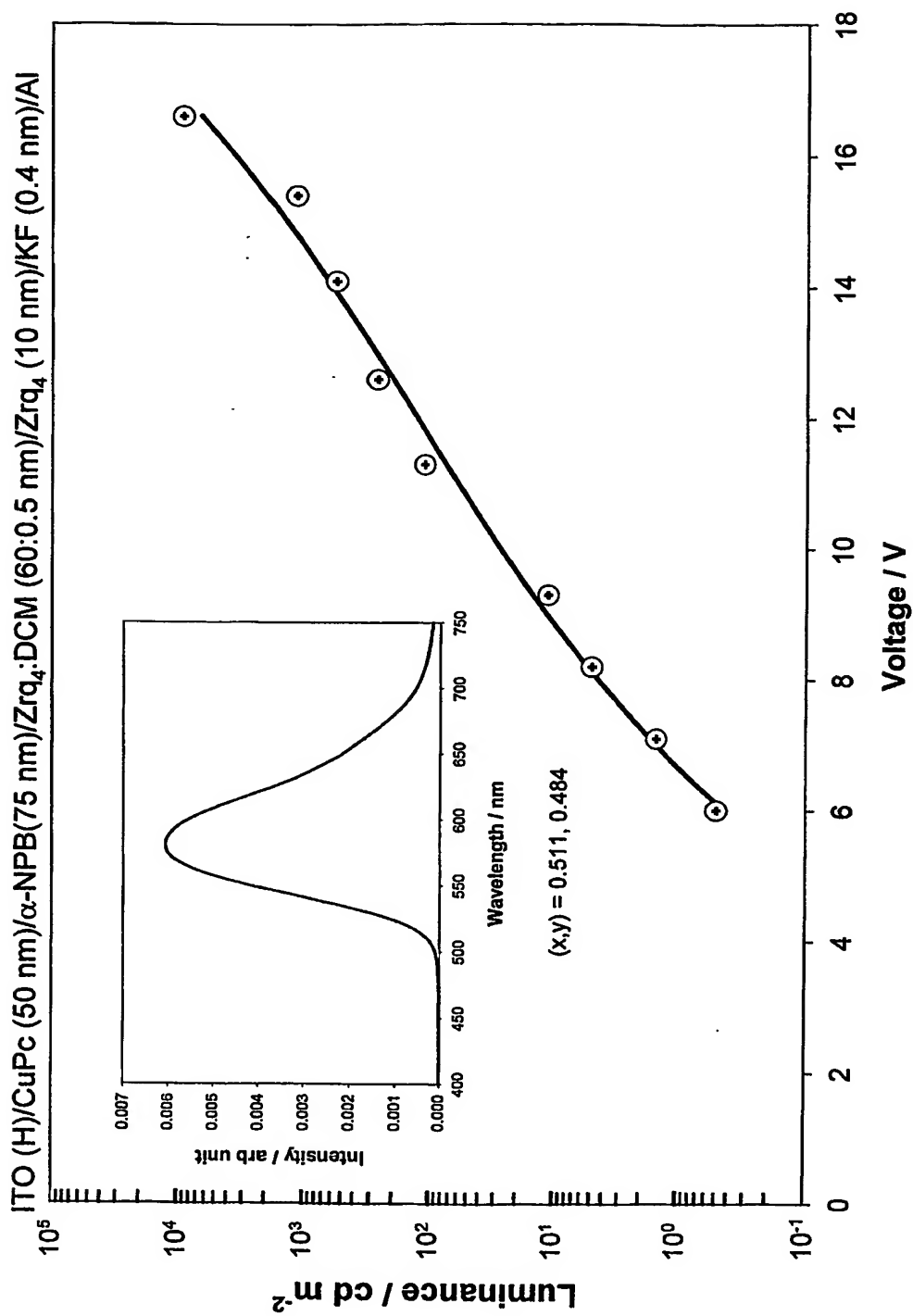


Fig. 29



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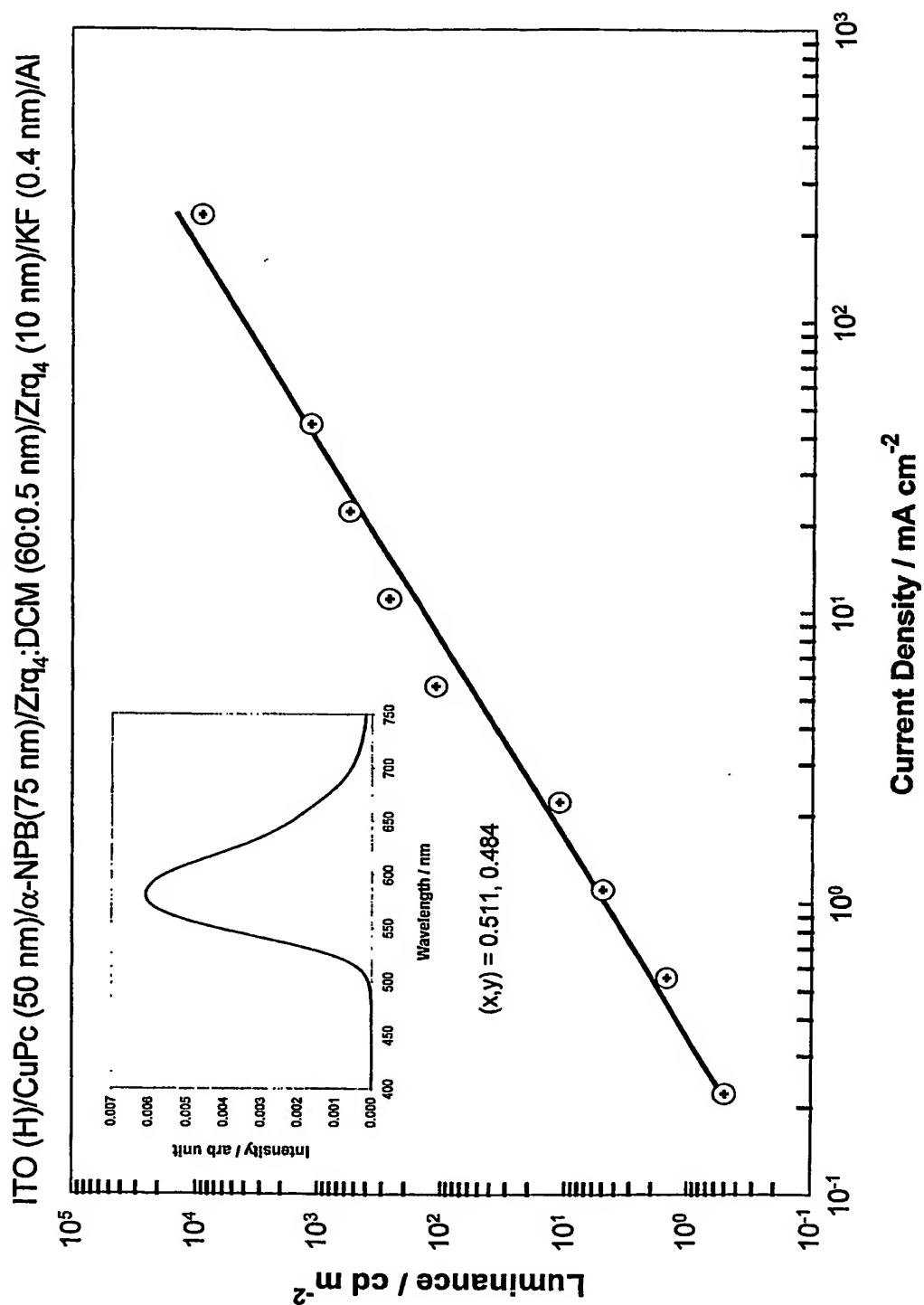


Fig. 30

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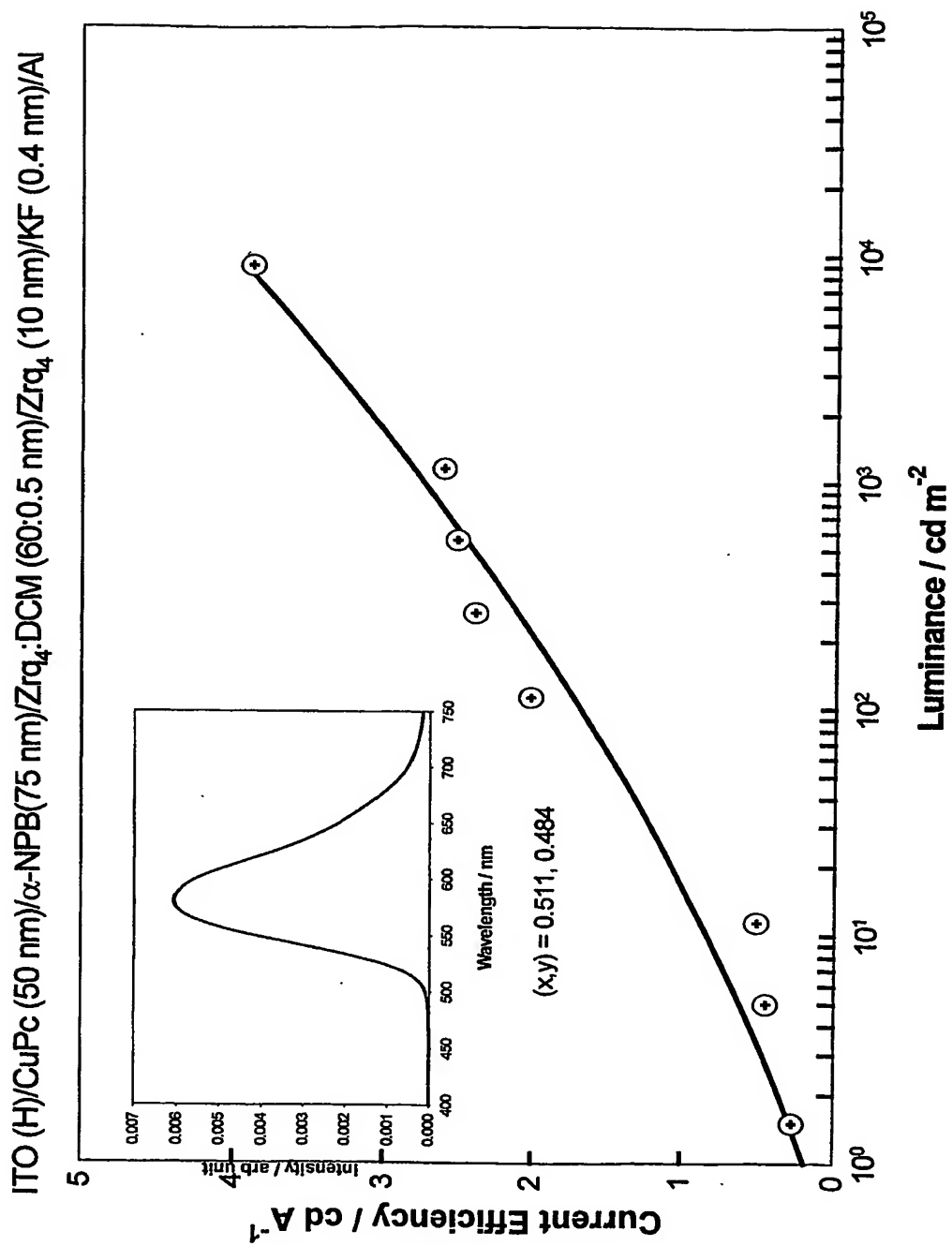


Fig. 31

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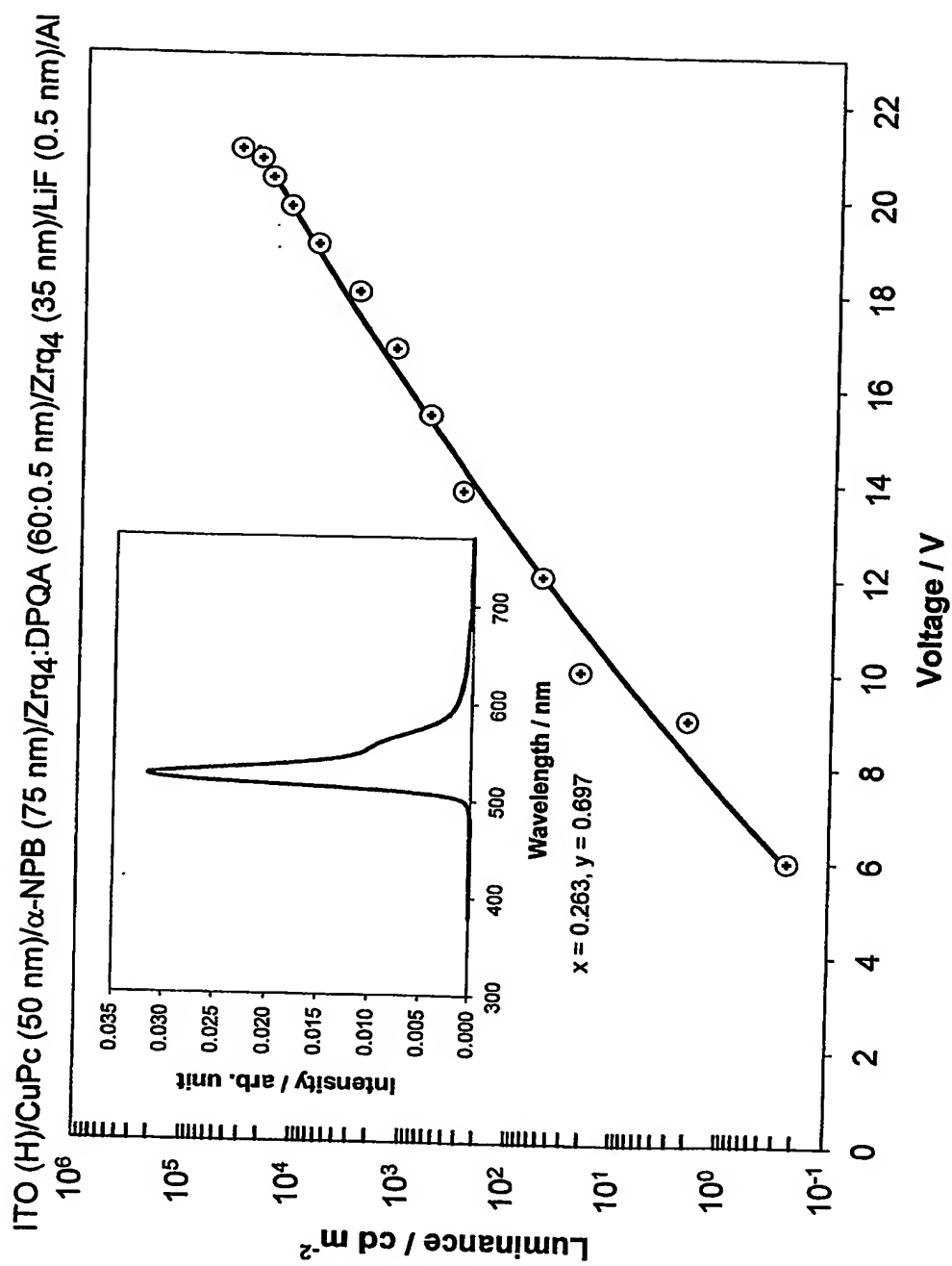


Fig. 32

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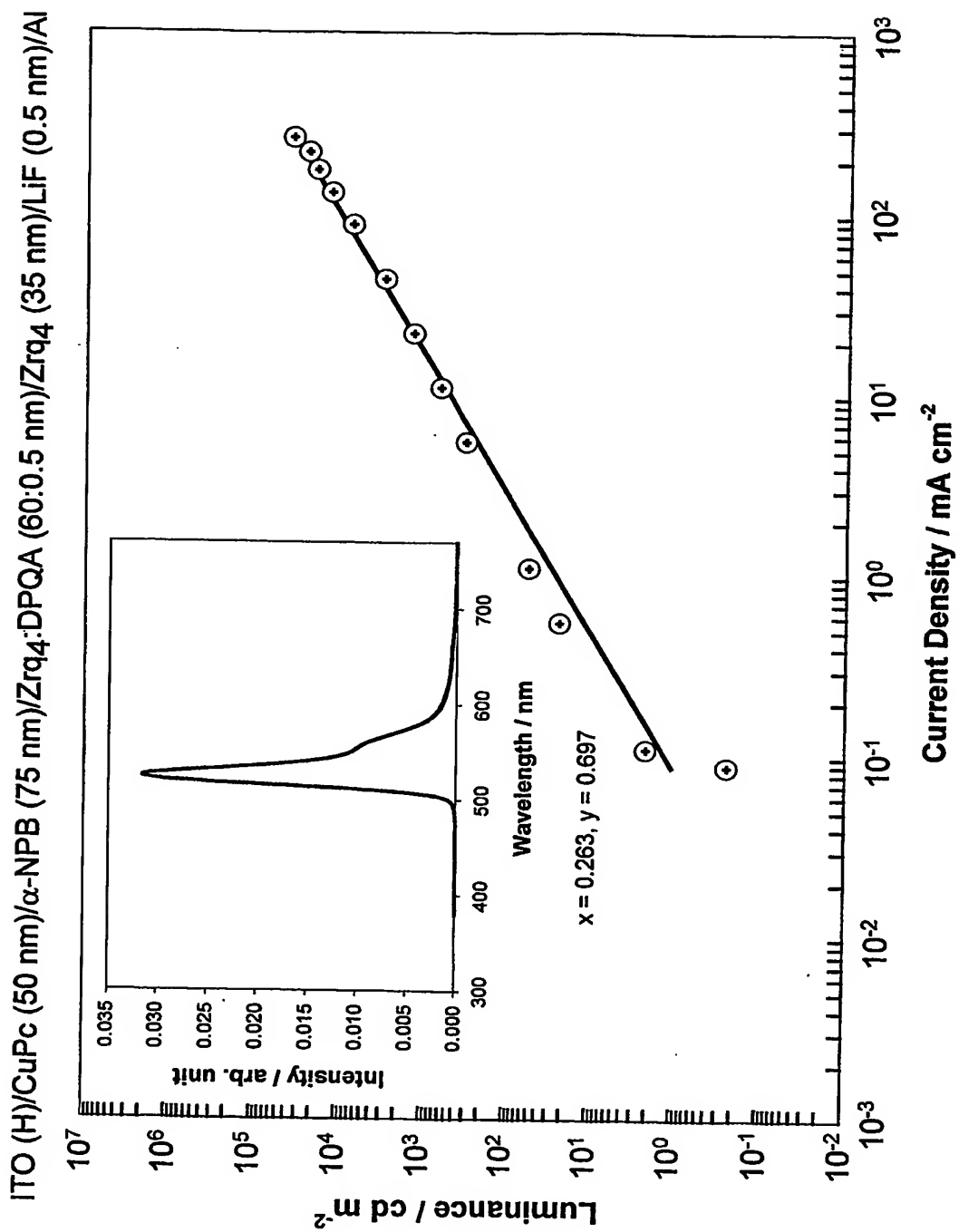


Fig. 33

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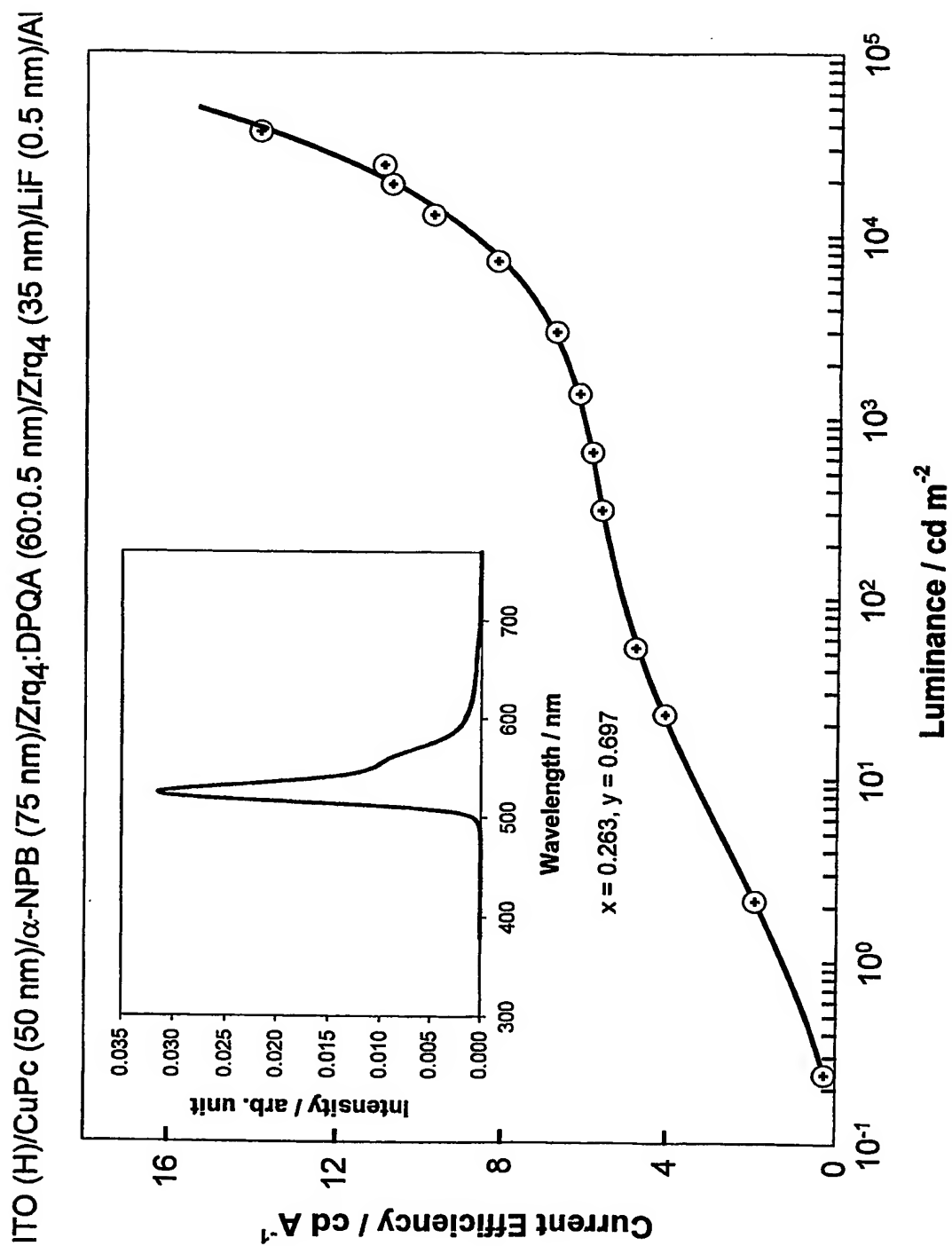


Fig. 34

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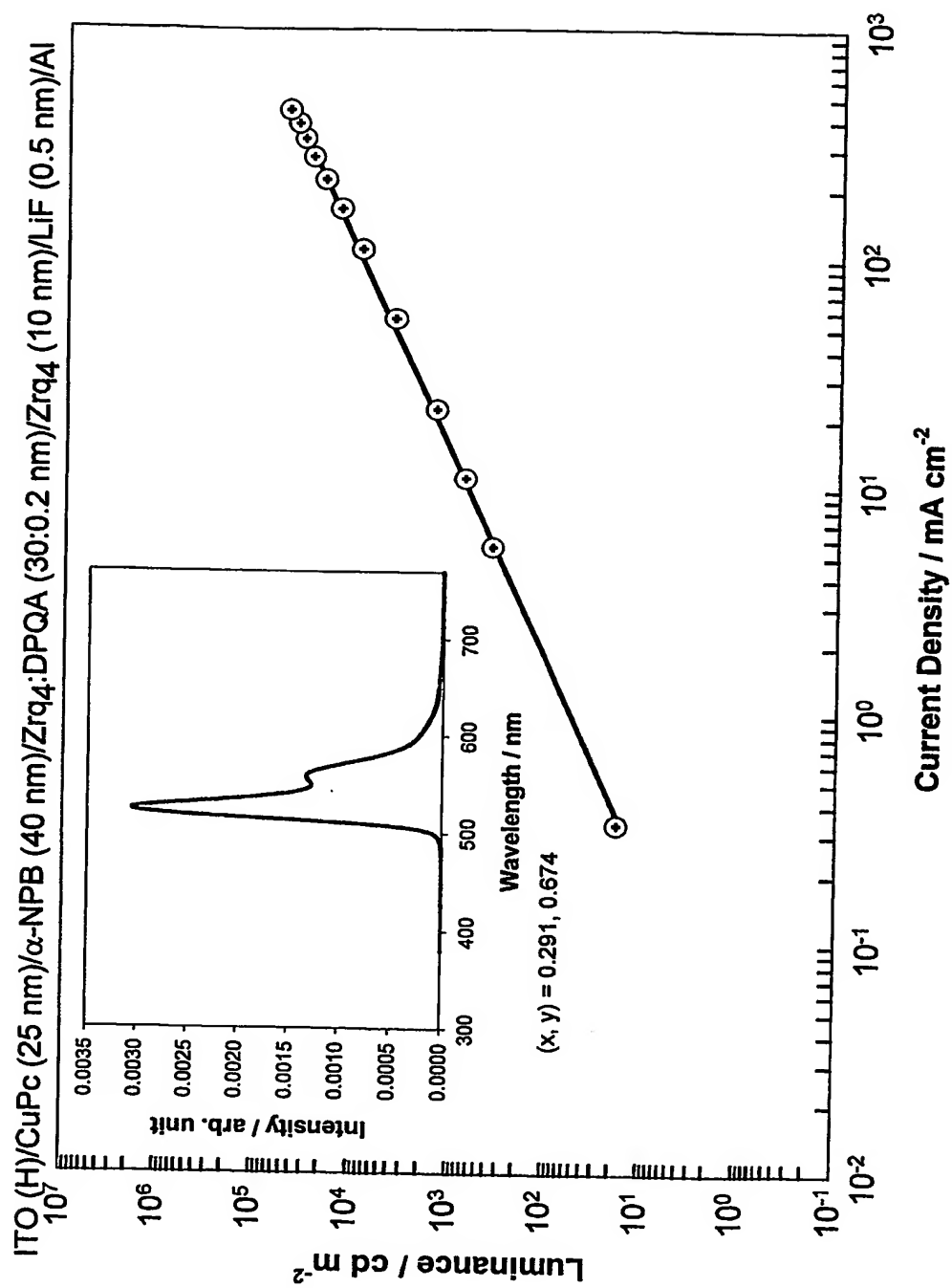


Fig. 35

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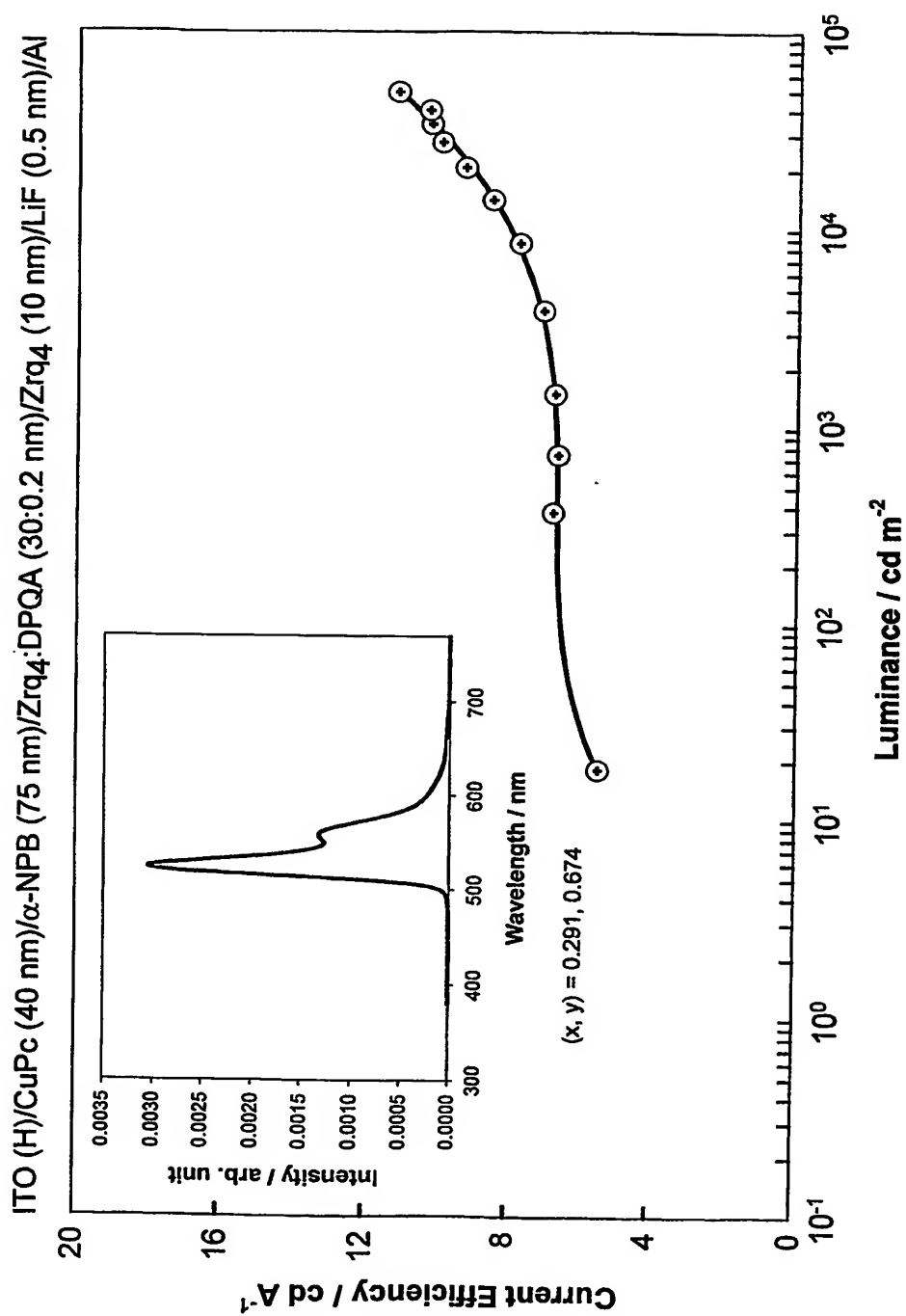
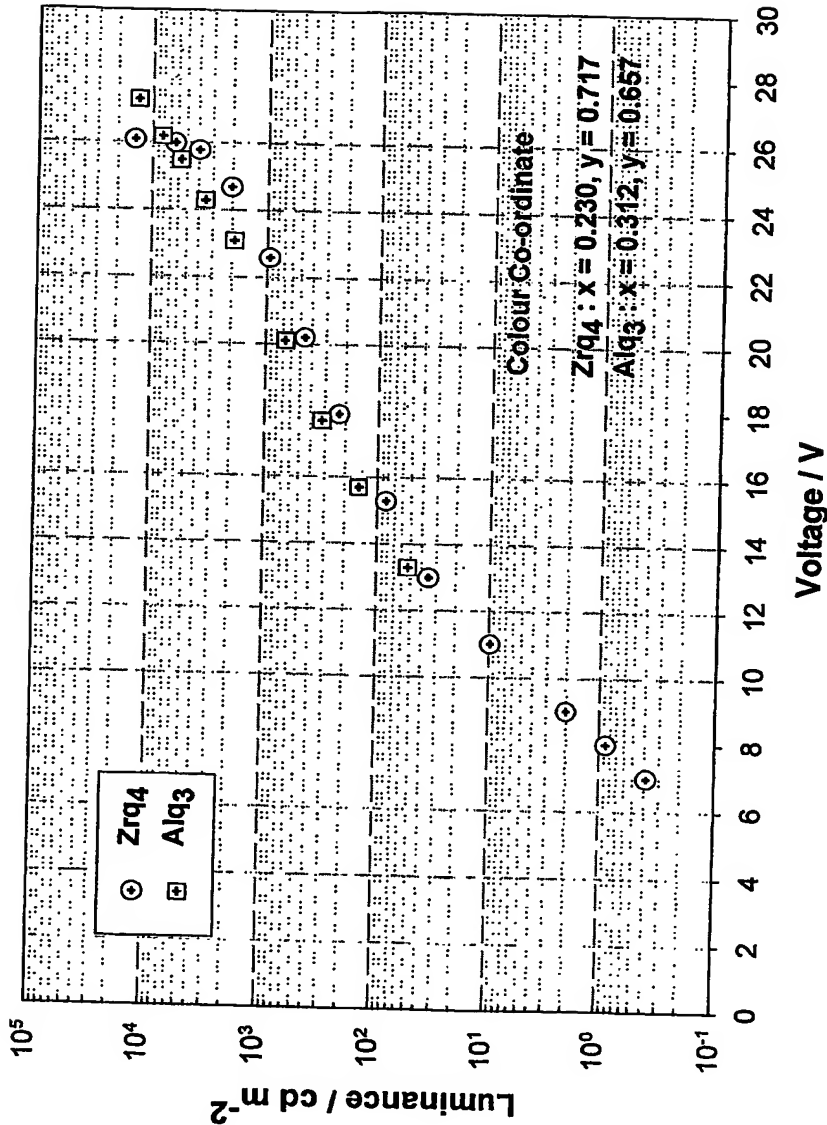


Fig. 36

Comparison of Doped Zrq4 Device with Doped Alq3 Device



ITO/CuPc (50 nm)/ $\alpha$ -NPB (75 nm)/Alq3 : DPQA (75 : 0.75 nm)/Alq3 (10 nm)/LiF (0.4 nm)/Al

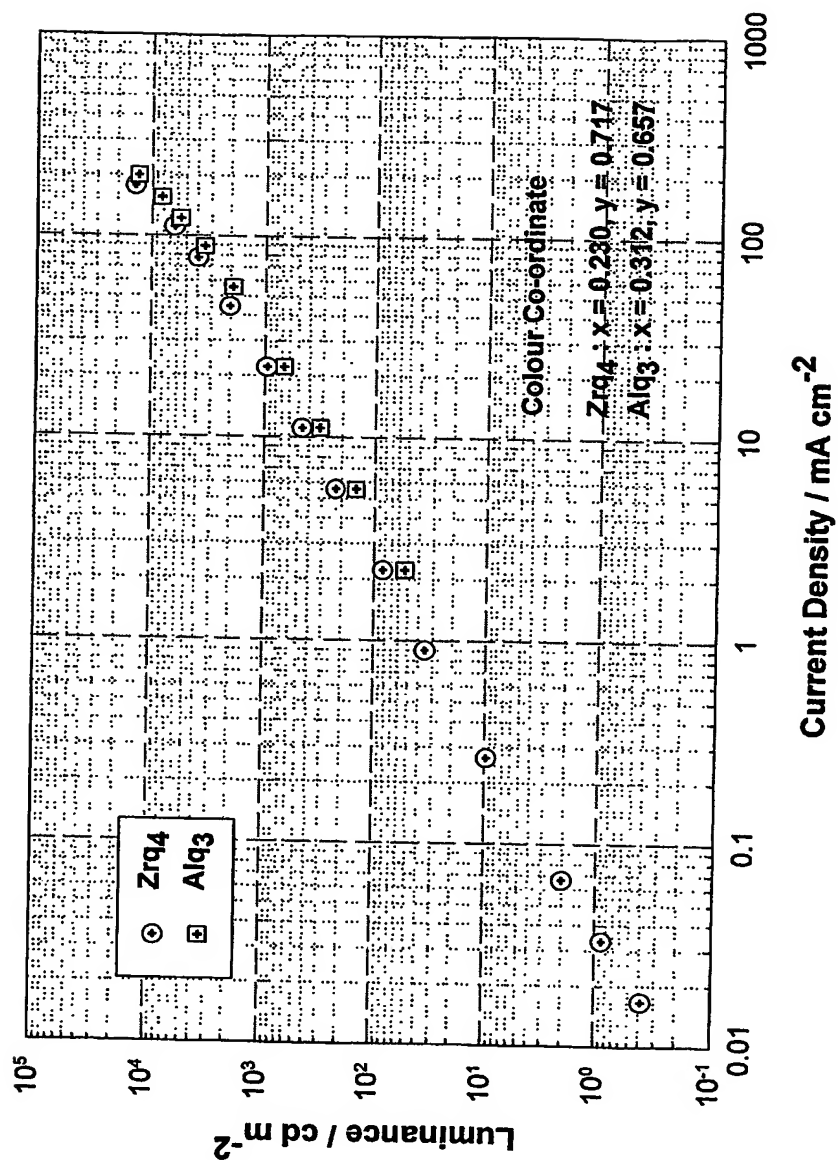
ITO/CuPc (50 nm)/ $\alpha$ -NPB (75 nm)/Zrq4 : DPQA (60 : 0.5 nm)/Zrq4 (10 nm)/LiF (0.4 nm)/Al

Fig. 37



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# Comparison of Doped Zrq<sub>4</sub> Device with Doped Alq<sub>3</sub> Device

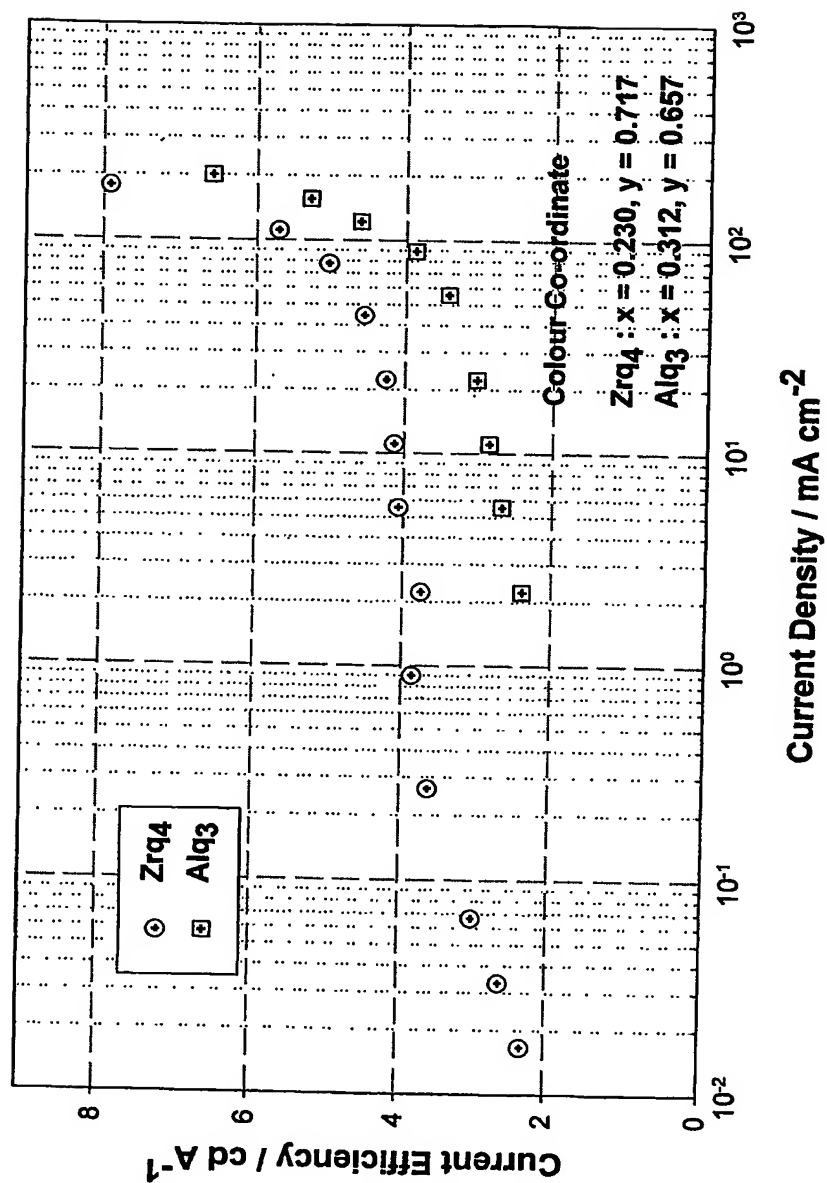


ITO/CuPc (50 nm)/ $\alpha$ -NPB (75 nm)/Alq<sub>3</sub> : DPQA (75 : 0.75 nm)/Alq<sub>3</sub> (10 nm)/LiF (0.4 nm)/Al  
ITO/CuPc (50 nm)/ $\alpha$ -NPB (75 nm)/Zrq<sub>4</sub> : DPQA (60 : 0.5 nm)/Zrq<sub>4</sub> (10 nm)/LiF (0.4 nm)/Al

Fig. 38

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# Comparison of Doped Zrq<sub>4</sub> Device with Doped Alq<sub>3</sub> Device

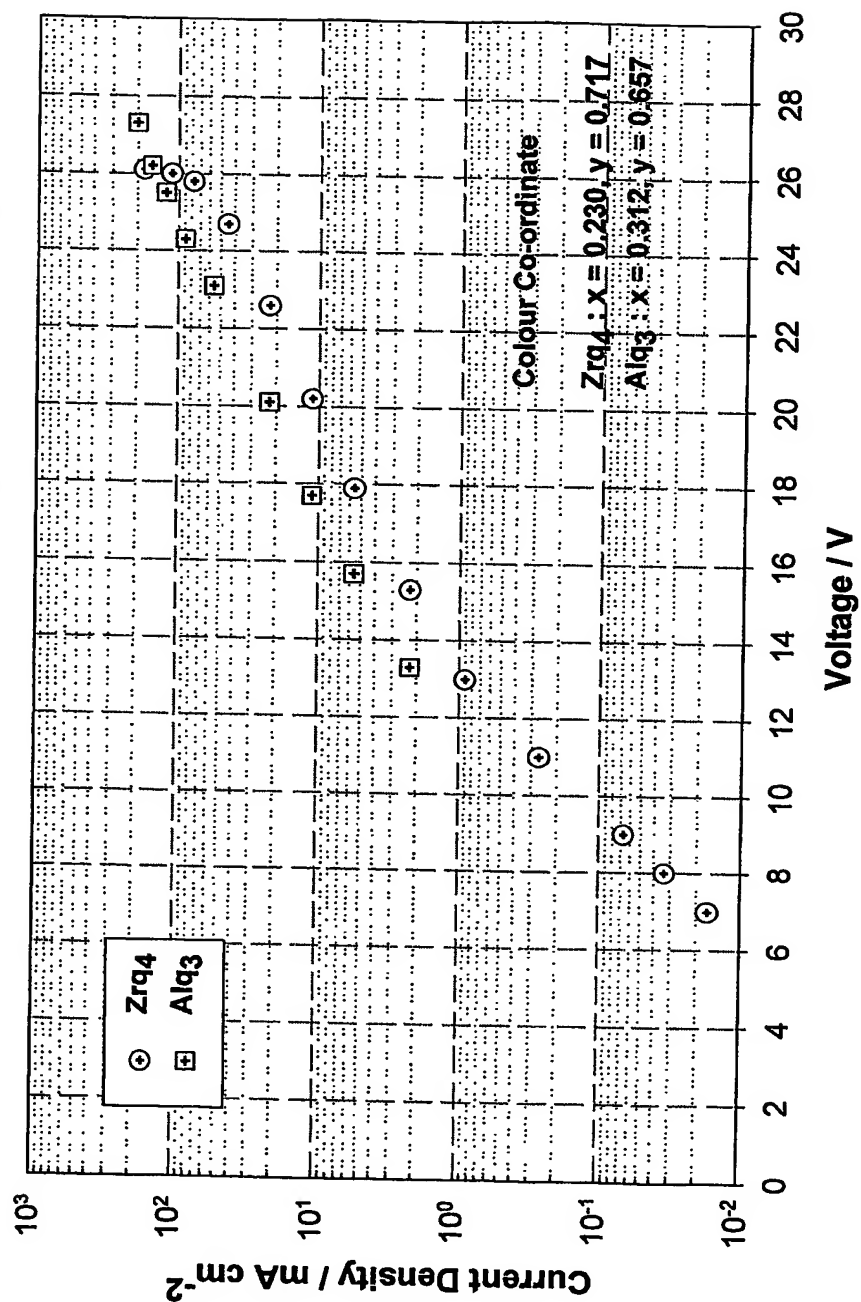


ITO/CuPc (50 nm)/ $\alpha$ -NPB (75 nm)/Alq<sub>3</sub> : DPQA (75 : 0.75 nm)/Alq<sub>3</sub> (10 nm)/LiF (0.4 nm)/A  
 ITO/CuPc (50 nm)/ $\alpha$ -NPB (75 nm)/Zrq<sub>4</sub> : DPQA (60 : 0.5 nm)/Zrq<sub>4</sub> (10 nm)/LiF (0.4 nm)/Al

Fig. 39

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# Comparison of Doped Zrq<sub>4</sub> Device with Doped Alq<sub>3</sub> Device

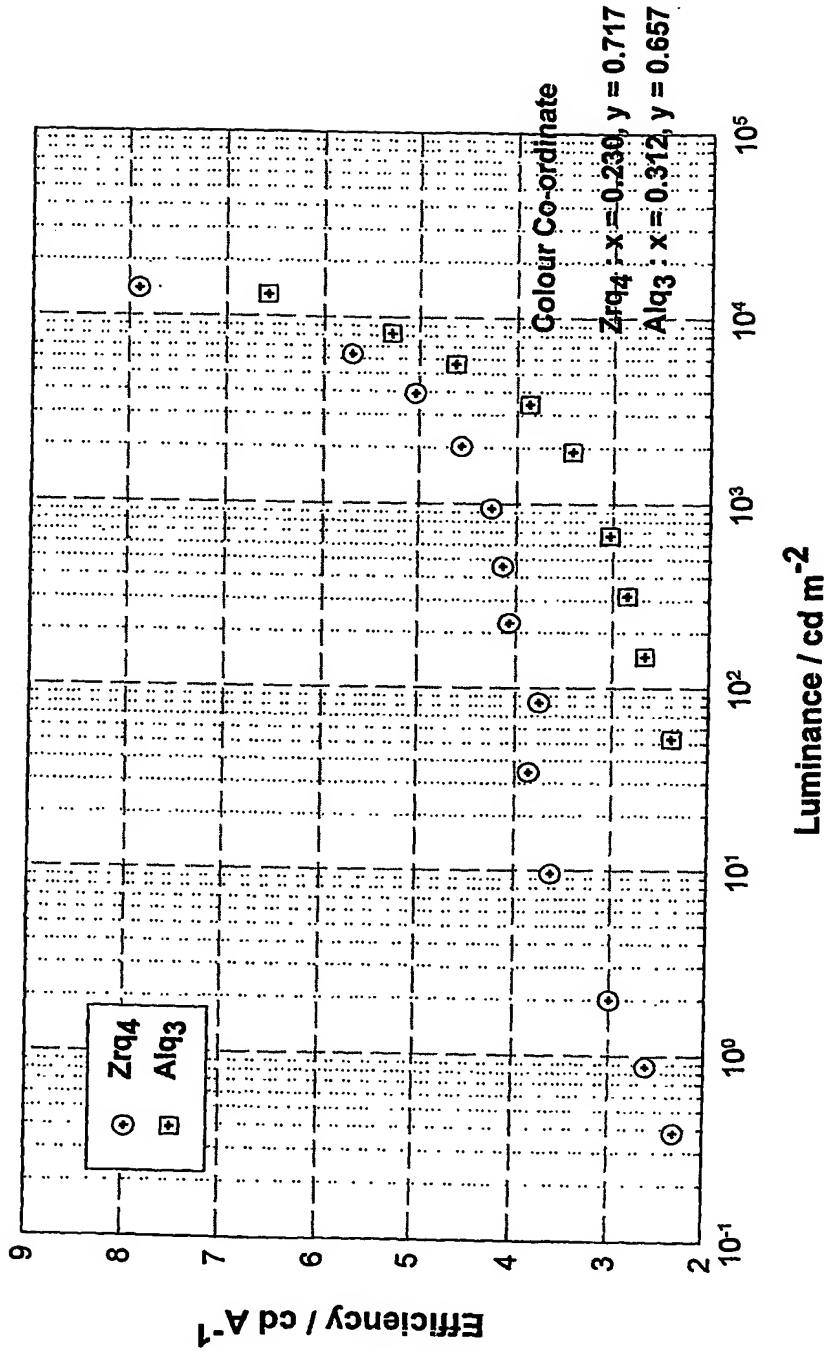


ITO/CuPc (50 nm)/α-NPB (75 nm)/Alq<sub>3</sub> : DPQA (75 : 0.75 nm)/Alq<sub>3</sub> (10 nm)/LiF (0.4 nm)/Al

ITO/CuPc (50 nm)/α-NPB (75 nm)/Zrq<sub>4</sub> : DPQA (60 : 0.5 nm)/Zrq<sub>4</sub> (10 nm)/LiF (0.4 nm)/Al

Fig. 40

Comparison of Doped Zrq4 Device with Doped Alq3 Device



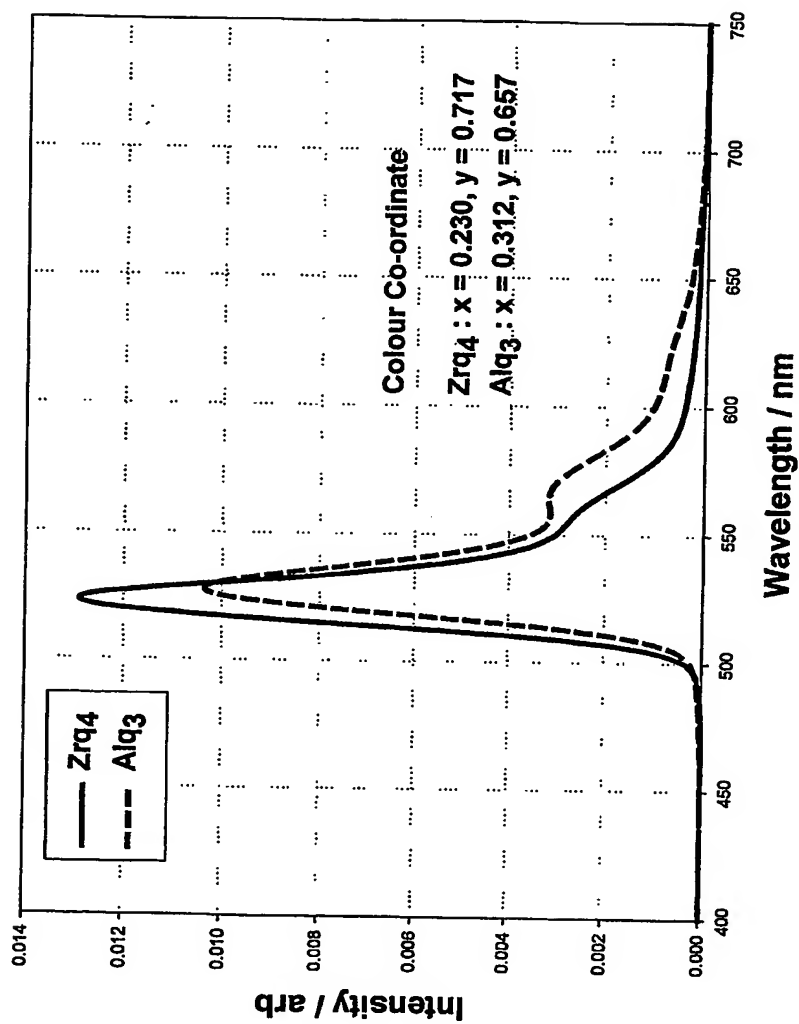
ITO/CuPc (50 nm)/ $\alpha$ -NPB (75 nm)/Alq3 : DPQA (75 : 0.75 nm)/Alq3 (10 nm)/LiF (0.4 nm)/Al

ITO/CuPc (50 nm)/ $\alpha$ -NPB (75 nm)/Zrq4 : DPQA (60 : 0.5 nm)/Zrq4 (10 nm)/LiF (0.4 nm)/Al

Fig. 41

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# Comparison of Doped Zrq<sub>4</sub> Device with Doped Alq<sub>3</sub> Device

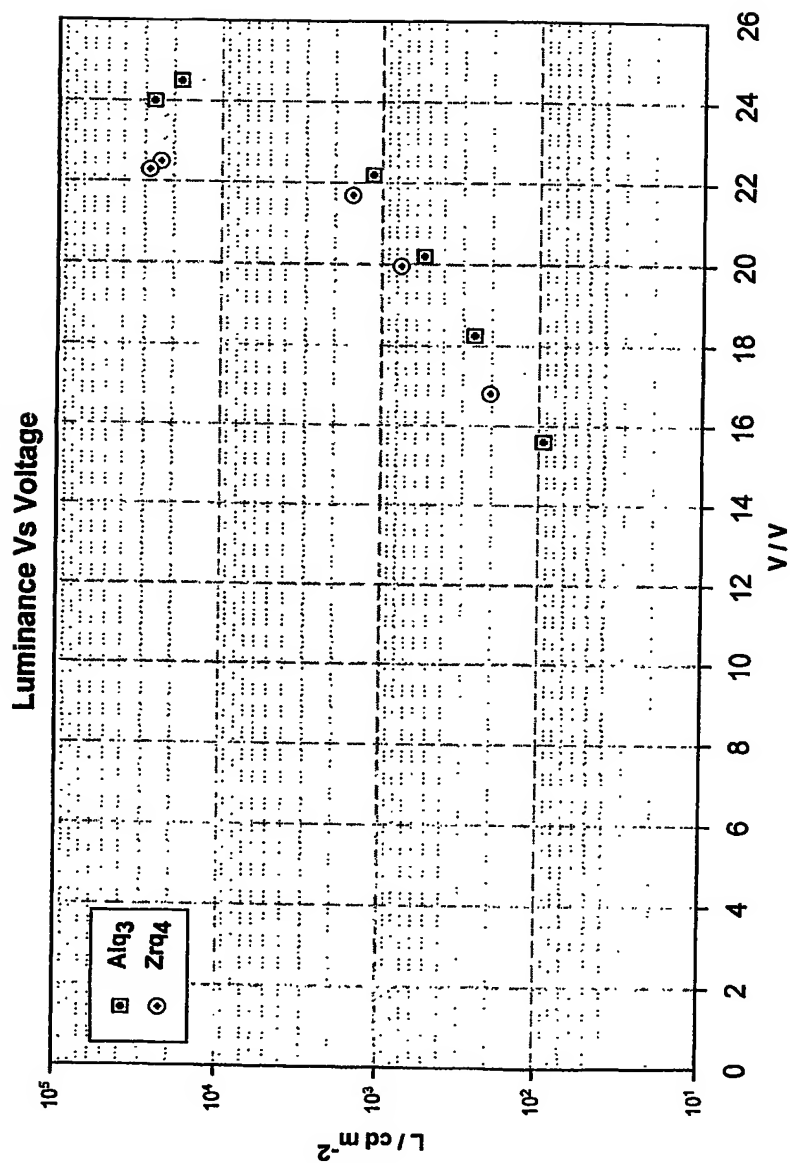


ITO/CuPc (50 nm)/ $\alpha$ -NPB (75 nm)/Alq<sub>3</sub> : DPQA (75 : 0.75 nm)/Alq<sub>3</sub> (10 nm)/LiF (0.4 nm)/Al  
 ITO/CuPc (50 nm)/ $\alpha$ -NPB (75 nm)/Zrq<sub>4</sub> : DPQA (60 : 0.5 nm)/Zrq<sub>4</sub> (10 nm)/LiF (0.4 nm)/Al

Fig. 42

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# Comparison of Doped Alq<sub>3</sub> Device with Doped Zrq<sub>4</sub> Device

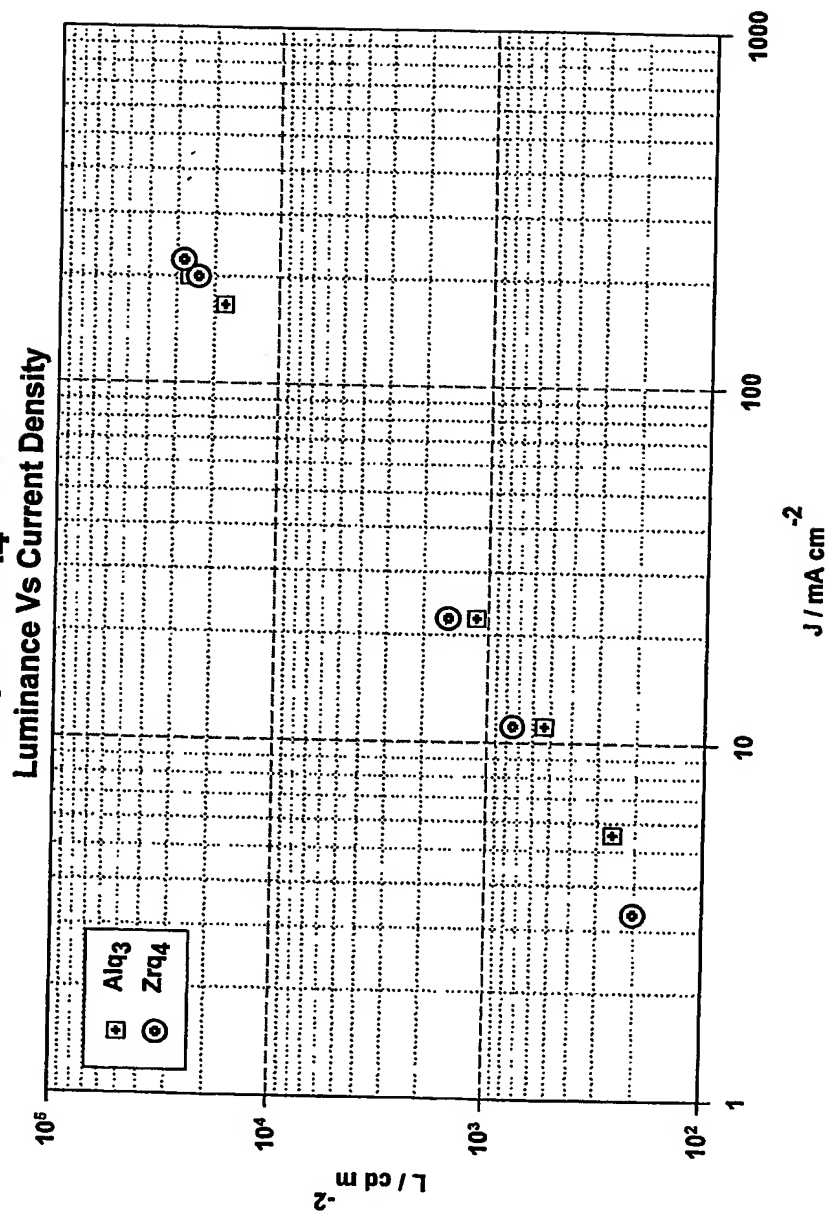


ITO/CuPc (50 nm)/ $\alpha$ -NPB (75 nm)/Alq<sub>3</sub>:DPQA (75:0.75 nm)/Alq<sub>3</sub> (10 nm)/LiF (0.2 nm)/Al  
 ITO/CuPc:TPTP (15:15 nm)/ $\alpha$ -NPB (75 nm)/Zrq<sub>4</sub>:DPQA (60:0.5 nm)/LiF (0.2 nm)/Al

Fig. 43

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# Comparison of Doped Alq<sub>3</sub> Device with Doped Zrq<sub>4</sub> Device

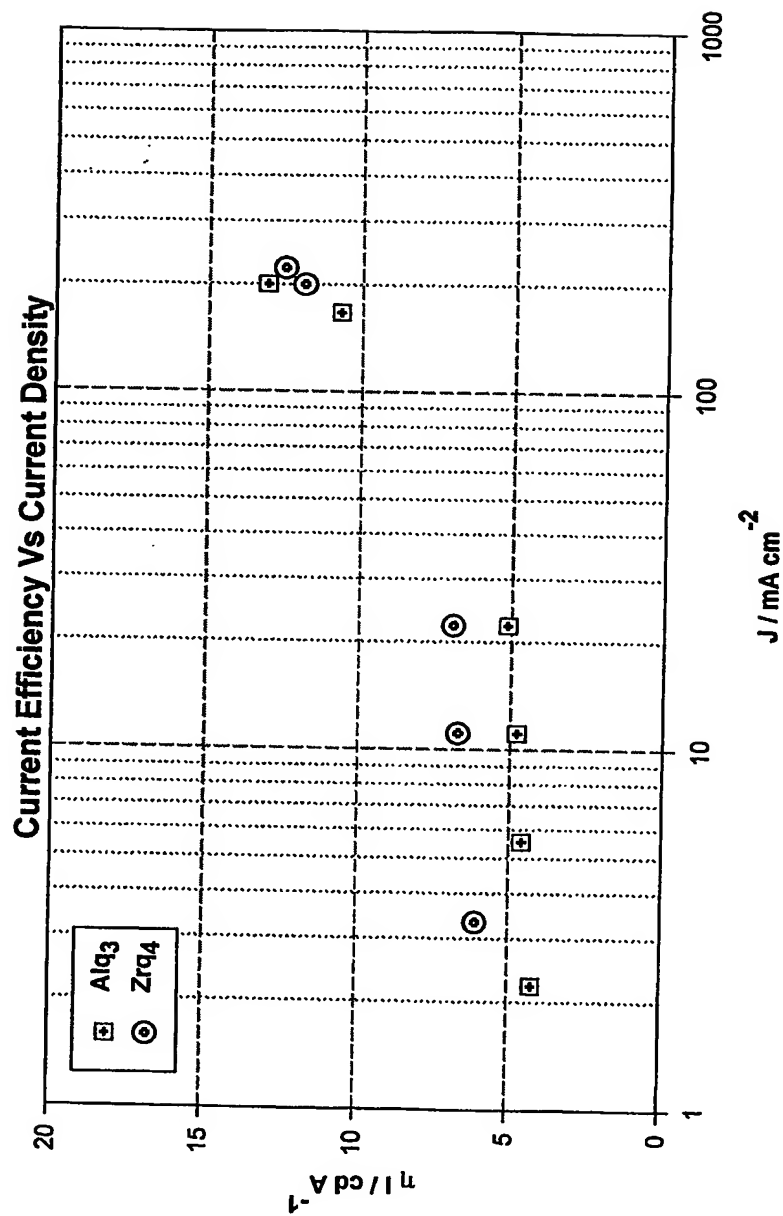


ITO/CuPc (50 nm)/ $\alpha$ -NPB (75 nm)/Alq<sub>3</sub>:DPQA (75:0.75 nm)/Alq<sub>3</sub> (10 nm)/LiF (0.2 nm)/Al  
 ITO/CuPc:TPTP (15:15 nm)/ $\alpha$ -NPB (75 nm)/Zrq<sub>4</sub>:DPQA (60:0.5 nm)/LiF (0.2 nm)/Al

Fig. 44

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# Comparison of Doped Alq<sub>3</sub> Device with Doped Zrq<sub>4</sub> Device



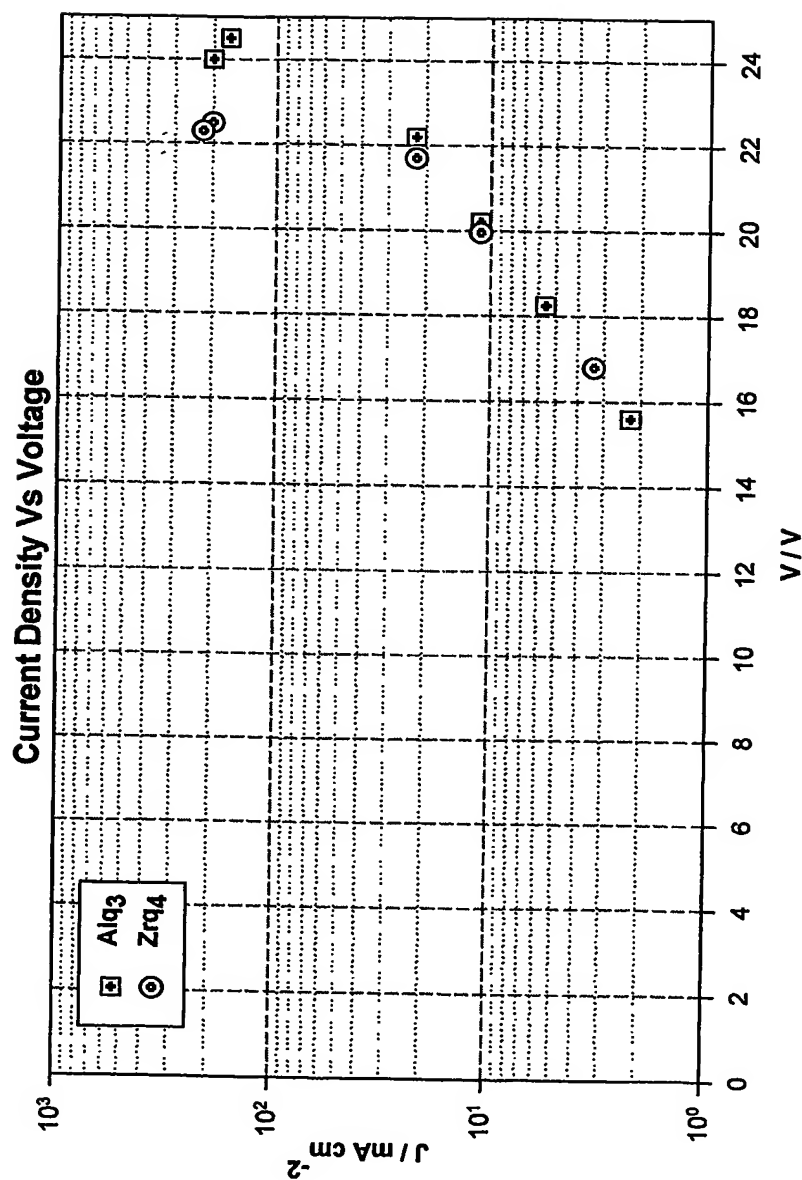
ITO/CuPc (50 nm)/ $\alpha$ -NPB (75 nm)/Alq<sub>3</sub>:DPQA (75:0.75 nm)/Alq<sub>3</sub> (10 nm)/LiF (0.2 nm)/Al  
 ITO/CuPc:TPTP (15:15 nm)/ $\alpha$ -NPB (75 nm)/Zrq<sub>4</sub>:DPQA (60:0.5 nm)/LiF (0.2 nm)/Al

Fig. 45



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# Comparison of Doped Alq<sub>3</sub> Device with Doped Zrq<sub>4</sub> Device

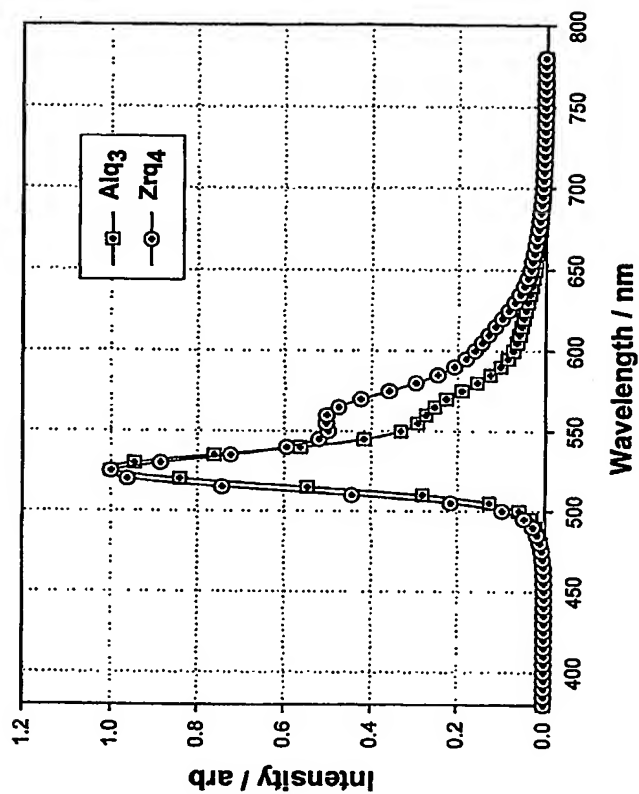


ITO/CuPc (50 nm)/ $\alpha$ -NPB (75 nm)/Alq<sub>3</sub>:DPQA (75:0.75 nm)/Alq<sub>3</sub> (10 nm)/LiF (0.2 nm)/Al  
 ITO/CuPc:TPTP (15:15 nm)/ $\alpha$ -NPB (75 nm)/Zrq<sub>4</sub>:DPQA (60:0.5 nm)/LiF (0.2 nm)/Al

Fig. 46

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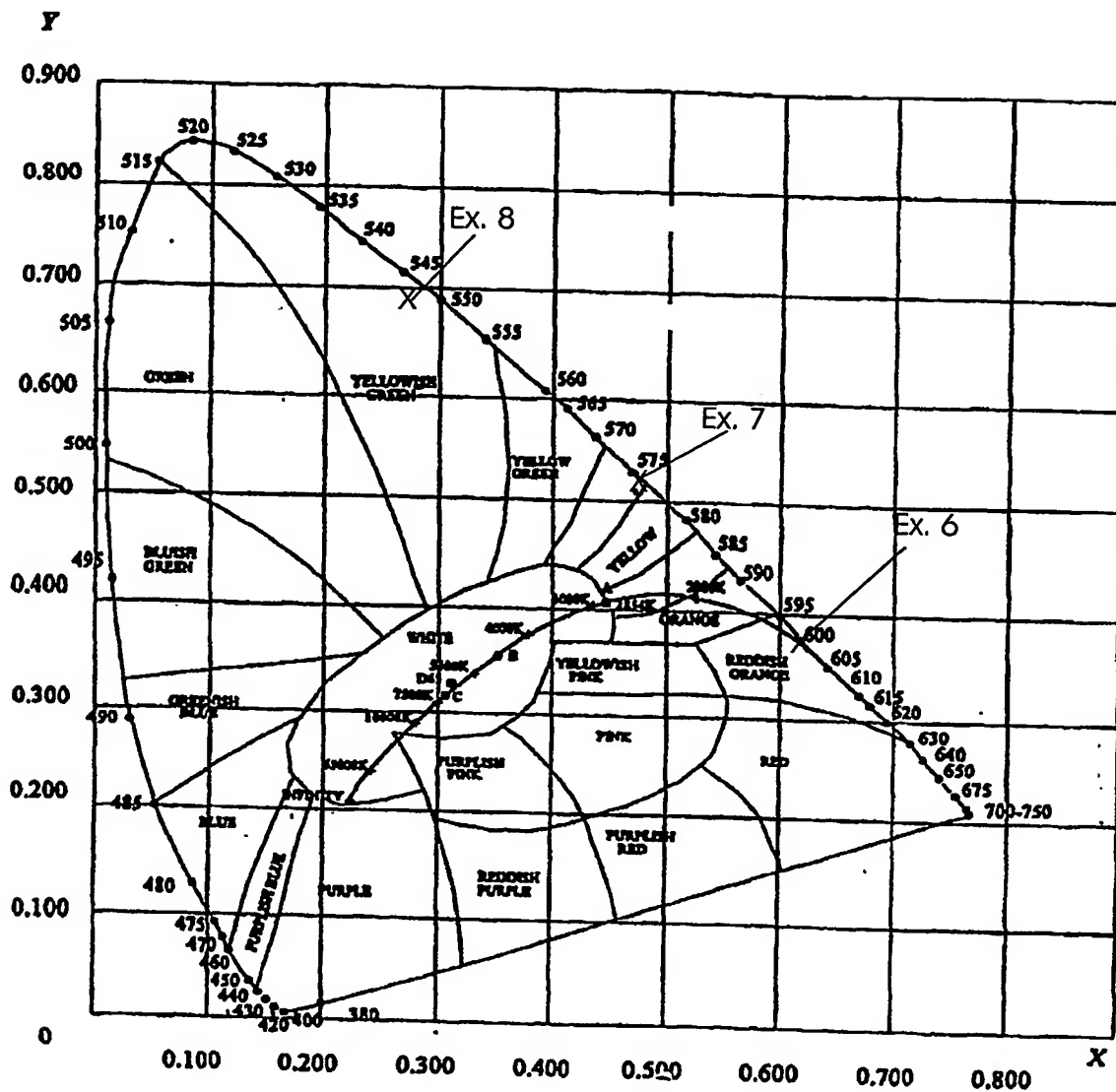
# Comparison of Doped Alq<sub>3</sub> Device with Doped Zrq<sub>4</sub> Device



ITO/CuPc (50 nm)/ $\alpha$ -NPB (75 nm)/Alq<sub>3</sub>:DPQA (75:0.75 nm)/Alq<sub>3</sub> (10 nm)/LiF (0.2 nm)/Al  
ITO/CuPc:TPTP (15:15 nm)/ $\alpha$ -NPB (75 nm)/Zrq<sub>4</sub>:DPQA (60:0.5 nm)/LiF (0.2 nm)/Al

Fig. 47

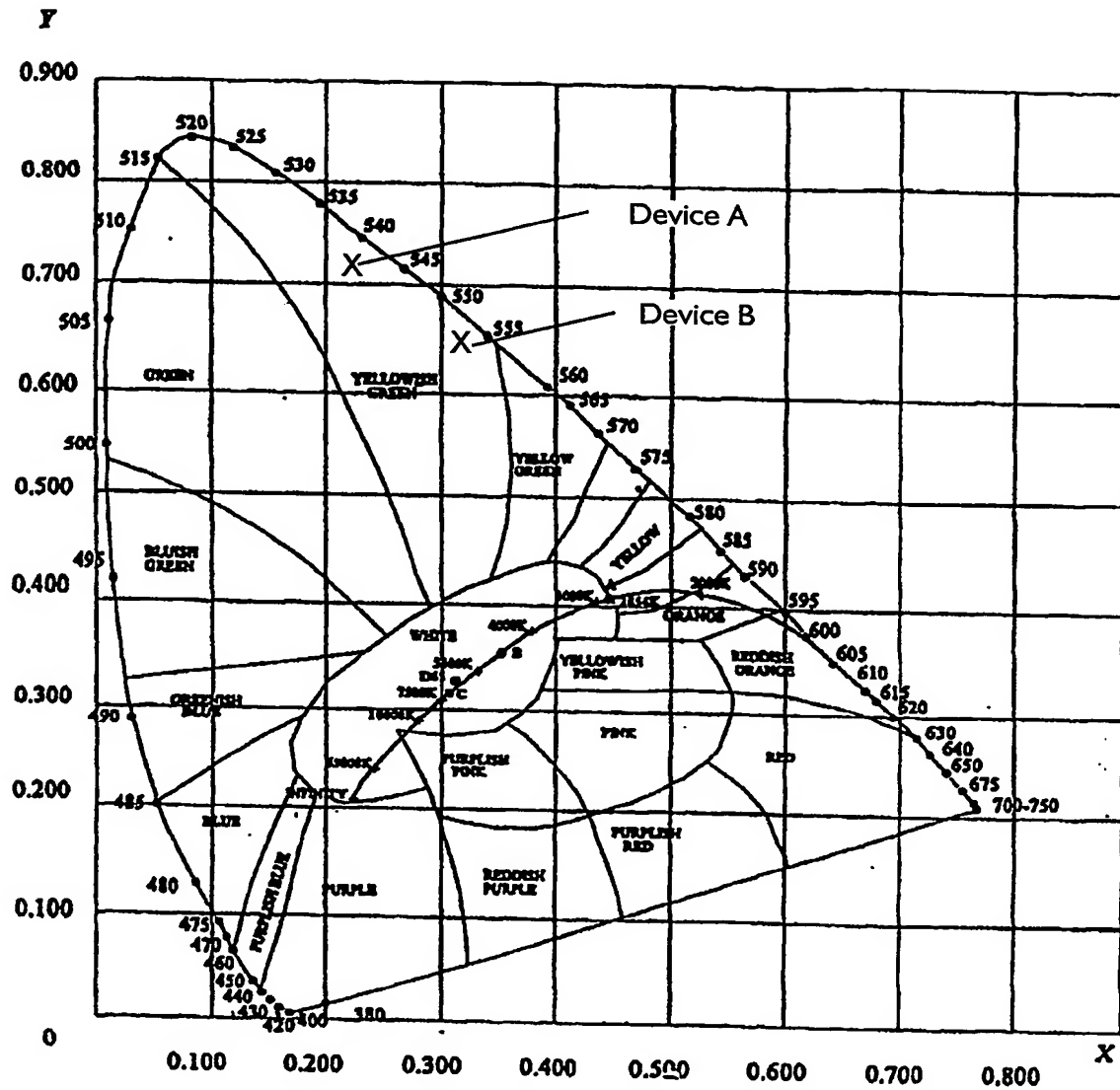
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CIE 1931 x,y chromaticity diagram showing approximate position of perceived colours

Fig. 48

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CIE 1931 x,y chromaticity diagram showing approximate position of perceived colours

Fig. 49

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/QB 03/05573

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C09K11/06 H05B33/14 H01L51/20 H01L51/30

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C09K H05B H01L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, CHEM ABS Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 99/53724 A (THE UNIVERSITY OF SOUTHERN CALIFORNIA, USA; THE TRUSTEES OF PRINCETON U) 21 October 1999 (1999-10-21) * Experiments, page 27 through page 44, claims *	1-36
X	DATABASE CA 'Online! CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; SUZUKI, MUTSUOKO ET AL: "Organic electroluminescent devices" XP002277664 retrieved from STN Database accession no. 134:245058 * abstract, structural formula 16 * -/--	1-36

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the International filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the International filing date but later than the priority date claimed

- \*T\* later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the International search

23 April 2004

Date of mailing of the International search report

12/05/2004

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Authorized officer

Nemes, C

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/GB 03/05573

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>-&amp; JP 2001 076879 A (MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD., JAPAN) 23 March 2001 (2001-03-23) * page 3, formula (I), page 4, table, entries 3,4 *</p> <p>-----</p> <p>DATABASE CA 'Online! CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; KIM, YOUNG KYU ET AL: "Organometallic light-emitting substances, their manufacture, and organic electroluminescent devices using them" XP002277665 retrieved from STN Database accession no. 132:187445 * abstract, structural formulae 14 and 15 *</p> <p>-&amp; JP 2000 053957 A (ADVANCED TECHNICAL RESEARCH INSTITUTE, S. KOREA) 22 February 2000 (2000-02-22) * page 4, formula 13, page 7, formula (5) *</p>	1-36
X	<p>-----</p> <p>DATABASE CA 'Online! CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; UEDA, HIDEAKI ET AL: "Organic electroluminescent device" XP002277666 retrieved from STN Database accession no. 132:129805 * abstract, structural formulae 17-21 *</p> <p>-&amp; JP 2000 030864 A (MINOLTA CAMERA CO., LTD., JAPAN) 28 January 2000 (2000-01-28) * page 5, compounds 1-9 *</p> <p>-----</p>	1-36

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/GB 03/05573

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☒ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
see FURTHER INFORMATION sheet PCT/ISA/210
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this International application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: -

The initial phase of the search revealed a very large number of documents relevant to the issue of novelty. So many documents were retrieved that it is impossible to determine which parts of the claims may be said to define subject-matter for which protection might legitimately be sought (Article 6 PCT). For these reasons, a meaningful search over the whole breadth of the claims is impossible. Consequently, the search has been restricted to the compound of claim 10 combined with the use in an electroluminescent device, an electroluminescent composition comprising said compound in a device and an electroluminescent device comprising said compound.

The applicant's attention is drawn to the fact that claims relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure. If the application proceeds into the regional phase before the EPO, the applicant is reminded that a search may be carried out during examination before the EPO (see EPO Guideline C-VI, 8.5), should the problems which led to the Article 17(2) declaration be overcome.



# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/GB 03/05573

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9953724	A	21-10-1999	US 6287712 B1 US 6210814 B1 US 6312836 B1 AU 3486199 A WO 9953724 A1	11-09-2001 03-04-2001 06-11-2001 01-11-1999 21-10-1999
JP 2001076879	A	23-03-2001	NONE	
JP 2000053957	A	22-02-2000	JP 3302945 B2 JP 2001302646 A JP 2001288463 A JP 2001288464 A KR 2000006345 A US 2002045063 A1 US 2002045064 A1 US 2002045065 A1 US 2003165711 A1	15-07-2002 31-10-2001 16-10-2001 16-10-2001 25-01-2000 18-04-2002 18-04-2002 18-04-2002 04-09-2003
JP 2000030864	A	28-01-2000	NONE	